

# CS 620 – Theory of Computation – Fall 2009

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## Assignment #1

### Sample Solutions

#### Question 1:

Consider the following predicate  $P(x, y)$ :

$$P(x, y) = \begin{cases} 1 & \text{if } x \geq 2y + 3 \\ 0 & \text{otherwise} \end{cases}$$

- Write a program in the language  $\mathcal{L}$  that computes  $P(x, y)$ . You cannot use any macros at all.
- Write down the complete list of snapshots that occur during the computation of  $P(5, 2)$ . Use the format shown on page 29 in the textbook.

Answer a): The following program computes  $f(X_1, X_2)$ , which is equivalent to  $P(x, y)$ :

- $Z_1 \leftarrow Z_1 + 1$
- $Z_1 \leftarrow Z_1 + 1$
- $Z_1 \leftarrow Z_1 + 1$
- if  $X_2 \neq 0$  GOTO A
- if  $Z_1 \neq 0$  GOTO B
- [A]  $X_2 \leftarrow X_2 - 1$
- $Z_1 \leftarrow Z_1 + 1$
- $Z_1 \leftarrow Z_1 + 1$
- if  $X_2 \neq 0$  GOTO A
- [B] if  $X_1 \neq 0$  GOTO C
- if  $Z_1 \neq 0$  GOTO E
- [C]  $X_1 \leftarrow X_1 - 1$
- $Z_1 \leftarrow Z_1 - 1$
- if  $X_1 \neq 0$  GOTO C
- if  $Z_1 \neq 0$  GOTO E
- $Y \leftarrow Y + 1$

Answer b):

- (1, {X<sub>1</sub> = 5, X<sub>2</sub> = 2, Y = 0, Z<sub>1</sub> = 0})
- (2, {X<sub>1</sub> = 5, X<sub>2</sub> = 2, Y = 0, Z<sub>1</sub> = 1})
- (3, {X<sub>1</sub> = 5, X<sub>2</sub> = 2, Y = 0, Z<sub>1</sub> = 2})
- (4, {X<sub>1</sub> = 5, X<sub>2</sub> = 2, Y = 0, Z<sub>1</sub> = 3})
- (6, {X<sub>1</sub> = 5, X<sub>2</sub> = 2, Y = 0, Z<sub>1</sub> = 3})
- (7, {X<sub>1</sub> = 5, X<sub>2</sub> = 1, Y = 0, Z<sub>1</sub> = 3})
- (8, {X<sub>1</sub> = 5, X<sub>2</sub> = 1, Y = 0, Z<sub>1</sub> = 4})
- (9, {X<sub>1</sub> = 5, X<sub>2</sub> = 1, Y = 0, Z<sub>1</sub> = 5})
- (6, {X<sub>1</sub> = 5, X<sub>2</sub> = 1, Y = 0, Z<sub>1</sub> = 5})
- (7, {X<sub>1</sub> = 5, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 5})
- (8, {X<sub>1</sub> = 5, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 6})
- (9, {X<sub>1</sub> = 5, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 7})
- (10, {X<sub>1</sub> = 5, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 7})
- (12, {X<sub>1</sub> = 5, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 7})
- (13, {X<sub>1</sub> = 4, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 7})
- (14, {X<sub>1</sub> = 4, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 6})
- (12, {X<sub>1</sub> = 4, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 6})
- (13, {X<sub>1</sub> = 3, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 6})
- (14, {X<sub>1</sub> = 3, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 5})
- (12, {X<sub>1</sub> = 3, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 5})
- (13, {X<sub>1</sub> = 2, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 5})
- (14, {X<sub>1</sub> = 2, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 4})
- (12, {X<sub>1</sub> = 2, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 4})
- (13, {X<sub>1</sub> = 1, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 4})
- (14, {X<sub>1</sub> = 1, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 3})
- (12, {X<sub>1</sub> = 1, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 3})
- (13, {X<sub>1</sub> = 0, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 3})
- (14, {X<sub>1</sub> = 0, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 2})
- (15, {X<sub>1</sub> = 0, X<sub>2</sub> = 0, Y = 0, Z<sub>1</sub> = 2})

Output: 0

### Question 2:

Now you want to write a program in your favorite language  $\mathcal{L}$  that computes the square root function  $f(x) = \sqrt{x}$ . Remember that we only consider natural numbers; for example,  $f(49) = 7$ , but  $f(17) = \uparrow$ .

- a) Write down the program using macros. You are allowed to use the macros  $V \leftarrow V'$ ,  $V \leftarrow m$ , GOTO L, and  $W \leftarrow f(V_1, \dots, V_n)$ . Use at least one macro of the form  $W \leftarrow f(V_1, \dots, V_n)$ , and whenever you do so, provide a program that computes  $f(V_1, \dots, V_n)$ .

- b) In your program, expand all the macros of the form  $W \leftarrow f(V_1, \dots, V_n)$  using the convention given in Section 2.5 in the textbook. Write down the expanded version of your program, which should now contain no macros except (possibly) those of the forms  $V \leftarrow V'$ ,  $V \leftarrow m$ , and GOTO L

Answer a):

```
    IF X≠0 GOTO A
    GOTO E
[A]  Z1 ← X
     Y ← Y + 1
     Z2 ← SQ(Y) // SQ(Y) = Y·Y
[B]  Z1 ← Z1 - 1
     Z2 ← Z2 - 1
     IF Z2≠0 GOTO C
     IF Z1≠0 GOTO A
     GOTO E
[C]  IF Z1≠0 GOTO B
     GOTO C
```

Macro SQ(X) = X·X:

```
    IF X≠0 GOTO A
    GOTO E
[A]  Z1 ← X
[B]  Z2 ← X
[C]  Z2 ← Z2 - 1
     Y ← Y + 1
     IF Z2≠0 GOTO C
     Z1 ← Z1 - 1
     IF Z1≠0 GOTO B
```

Answer b):

```
    IF X≠0 GOTO A
    GOTO E
[A]  Z1 ← X
     Y ← Y + 1
     Z3 ← 0
     Z4 ← Y
     Z5 ← 0
     Z6 ← 0
     IF Z4≠0 GOTO A4
     GOTO E3
[A4] Z5 ← Z4
[A5] Z6 ← Z4
[A6] Z6 ← Z6 - 1
     Z3 ← Z3 + 1
     IF Z6≠0 GOTO A6
     Z5 ← Z5 - 1
     IF Z5≠0 GOTO A5
[E3] Z2 ← Z3
[B]  Z1 ← Z1 - 1
     Z2 ← Z2 - 1
     IF Z2≠0 GOTO C
     IF Z1≠0 GOTO A
     GOTO E
[C]  IF Z1≠0 GOTO B
     GOTO C
```

### Question 3:

Give a detailed argument that the following functions are primitive recursive:

- a)  $x^y$
- b) the predecessor function  $p(x)$

These arguments should be of the same form as those provided in Examples 1, 2, and 3 of Section 3.4 in the textbook.

a)  $h(x, y) = x^y$

*Step 1: Write recursive equations*

$$h(x, 0) = 1$$

$$h(x, y+1) = h(x, y) \cdot x$$

*Step 2: Write recursive equations in terms of initial functions*

$$h(x, 0) = s(n(x))$$

$$h(x, y+1) = g(y, h(x, y), x)$$

where

$$g(x_1, x_2, x_3) = u_2^3(x_1, x_2, x_3) \cdot u_3^3(x_1, x_2, x_3)$$

b)  $h(x) = p(x)$ , 'the predecessor function'

*Step 1: Write recursive equations*

$$h(0) = 0$$

$$h(t+1) = t$$

*Step 2: Write recursive equations in terms of initial functions*

$$h(0) = n(t)$$

$$h(t+1) = g(t, h(t))$$

where

$$g(x_1, x_2) = u_1^2(x_1, x_2)$$

#### **Question 4:**

Show that the class of all total functions is a PRC class.

Definition of a total function (from page 30 of the text):

A function  $g$  of  $m$  variables is called total if  $g(r_1, \dots, r_m)$  is defined for all  $r_1, \dots, r_m$ .

Let  $\mathcal{T}$  be the class of all total functions.

On the basis of the Definition on page 42 of the text,  $\mathcal{T}$  is called a PRC class if

1. The initial functions belong to  $\mathcal{T}$ .
2. A function obtained from functions belonging to  $\mathcal{T}$  by either composition or recursion also belongs to  $\mathcal{T}$ .

Requirement 1 is fulfilled, because all three initial functions are total functions, so they belong to  $\mathcal{T}$ .

Requirement 2 is also fulfilled, and we will show this separately for composition and recursion:

**Composition:** Let  $h(x_1, \dots, x_n) = f(g_1(x_1, \dots, x_n), \dots, g_k(x_1, \dots, x_n))$ , where  $f$  and the functions  $g_i$  are members of  $\mathcal{T}$ . The domain of  $h$  is the domain of all  $g_i$ . Because all functions  $g_i$  are total, they will yield  $k$  input values to  $f$  for any given  $x_1, \dots, x_n$ . Since  $f$  is total, it is defined for any  $g_1, \dots, g_k$ . Therefore,  $h$  is defined for all  $x_1, \dots, x_n$ , which means that  $h$  is total.

**Recursion:** Let

$$h(x_1, \dots, x_n, 0) = f(x_1, \dots, x_n)$$

$$h(x_1, \dots, x_n, t + 1) = g(t, h(x_1, \dots, x_n, t), x_1, \dots, x_n),$$

where  $f$  and  $g$  are in  $\mathcal{T}$ . Then we can show by induction that  $h$  is total:

Basis step: For  $t = 0$ ,  $h(x_1, \dots, x_n, 0)$  is defined.

Induction step: Suppose that  $h(x_1, \dots, x_n, t)$  is defined. Then, because  $g$  is total,  $g(t, h(x_1, \dots, x_n, t), x_1, \dots, x_n)$  is defined, and therefore,  $h(x_1, \dots, x_n, t + 1)$  is also defined.

Conclusion:  $h$  is total.

### Question 5:

Let  $f(x)$  be the sum of the divisors of  $x$  if  $x \neq 0$ ;  $f(0) = 0$ . For example,  $f(6) = 1 + 2 + 3 + 6 = 12$ . Show that  $f(x)$  is primitive recursive.

$f(x)$  can be written as

$$f(x) = \sum_{t \leq x} (t \mid x) \cdot t$$

We know from the theorems in the textbook that the operations used in this equation are primitive recursive. Since we use composition of these functions to obtain  $f$ ,  $f$  must also be primitive recursive.

### Question 6:

Let  $u(n)$  be the  $n$ -th number in order of size which is the sum of two squares. Show that  $u(n)$  is primitive recursive.

$u(n)$  can be defined as follows:

$$u(0) = 0$$

$$u(n+1) = \min_{t \leq n^2} [(\exists x)_{\leq t} (\exists y)_{\leq t} (x^2 + y^2 = t) \ \& \ (t > u(n) \vee n = 0)]$$

We know from the theorems in the textbook that the operations used in this equation are primitive recursive. Since we apply composition and recursion to these functions to obtain  $u$ ,  $u$  must also be primitive recursive.

However, we still have to show that  $u(n+1) \leq n^2$  for all  $n$ .

Let us take a look at the sequence  $s(n)$  of perfect squares:

$$s(0) = 0$$

$$s(1) = 0^2 = 0$$

$$s(2) = 1^2 = 1$$

$$s(3) = 2^2 = 4$$

$$s(4) = 3^2 = 9$$

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Obviously, we have  $s(n+1) \leq n^2$  for all  $n$ . Now if we consider  $u(n)$ , it contains all the numbers in  $s(n)$ , but also additional ones that need to be listed (such as  $1^2 + 1^2 = 2$ ), so with larger  $n$  the values in the sequence  $u(n)$  increase more slowly than those in  $s(n)$ .

Therefore, we have  $u(n) \leq s(n)$  for all  $n$ , and since  $s(n+1) \leq n^2$ , we finally get

$$u(n+1) \leq n^2.$$