

## CS430/630 Database Management Systems Spring, 2019

Betty O'Neil  
University of Massachusetts at Boston

## People & Contact Information

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- ▶ **Course-related emails (for instructor and for TA)**
  - ▶ Subject line should start with **[CS430]** or **[CS630]**

▶ 2

## Course Info

- ▶ **Lecture Hours**
  - ▶ Mon and Wed, 4:00-5:15pm
- ▶ **Office Hours**
  - ▶ Mon and Wed 3:30-3:45pm, 5:30-6:45 in S/3/169
  - ▶ By appointment (send email)
- ▶ **Class URL**
  - ▶ <http://www.cs.umb.edu/cs630/>

▶ 3

## Textbooks

- ▶ **Textbooks**
  - ▶ *Database Management Systems*, 3<sup>rd</sup> Edition by Ramakrishnan and Gehrke
  - ▶ J. Murach (M), "*Oracle SQL and PL/SQL for Developers*", 2nd edition.
    - ▶ Please purchase a print copy (under \$50).
    - ▶ Note: No electronic devices are allowed in open-book exams. No book: sit in front to share mine.



▶ 4

## Prerequisites

- ▶ **Data Structures and Algorithms, Java**
  - ▶ CS310 officially, but CS210 or equivalent is acceptable
  - ▶ If an undergraduate, take cs310 before or with cs430/cs630, not after (rule against out-of-order courses)
- ▶ **Familiarity with UNIX/Linux OS**
  - ▶ Exercises will be executed on Oracle 12c server running on a Linux machine in the CS dept (DBS3 Machine)
  - ▶ Access is via sqlplus on pe07.cs.umb.edu, another Linux machine, and later, JDBC from anywhere on the Internet.

▶ 5

## Grading

- ▶ **Final exam (150 points) – open books**
- ▶ **Midterm (100 points) – open books**
  - ▶ Open books does NOT include electronic devices!
- ▶ **Approximately 6 homework assignments**
  - ▶ 10 points each, drop lowest score.
  - ▶ Assignments for CS630 will have additional questions
  - ▶ Assignments are individual – submit your own work only!
  - ▶ No plagiarism! See student code of conduct

▶ 6

## Course Materials

- ▶ **Class URL**
  - ▶ <http://www.cs.umb.edu/cs630/>
- ▶ **Make sure you obtain a cs630 Unix course account**
  - ▶ Apply process: See documentation [here](#).
    - ▶ You need to apply even if you already have an account
    - ▶ You need a "UNIX account" for cs630 (one group for both cs430 and cs630)
    - ▶ Once you have your class account, you will be able to login on our server pe07.
    - ▶ Go to S/3/158 if you need assistance

▶ 7

## University Policies

### ACADEMIC CONDUCT:

It is the expressed policy of the University that every aspect of academic life—not only formal coursework situations, but all relationships and interactions connected to the educational process—shall be conducted in an absolutely and uncompromisingly honest manner. The University presupposes that any submission of work for academic credit indicates that the work is the student's own and is in compliance with University policies. In cases where academic dishonesty is discovered after completion of a course or degree program, sanctions may be imposed retroactively, up to and including revocation of the degree. Students are required to adhere to the Code of Student Conduct, including requirements for academic honesty, delineated in the University of Massachusetts Boston Bulletin, found at: [http://www.umb.edu/life\\_on\\_campus/policies/community/code](http://www.umb.edu/life_on_campus/policies/community/code).

▶ 8

## University Policies

### Accommodations:

The University of Massachusetts Boston is committed to providing reasonable academic accommodations for all students with disabilities. This syllabus is available in alternate format upon request. Students with disabilities who need accommodations in this course must contact the instructor to discuss needed accommodations. Accommodations will be provided after the student has met with the instructor to request accommodations. Students must be registered with the Ross Center for Disability Services, UL 211, [www.ross.center@umb.edu](mailto:www.ross.center@umb.edu) 617.287.7430 before requesting accommodations from the instructor.

▶ 9

## Course Overview

- ▶ Relational Data Model
- ▶ Relational Algebra
- ▶ Structured Query Language
  - ▶ The most important part of the course
- ▶ Conceptual design – the ER model
- ▶ Database application development
  - ▶ Java/JDBC, PL/SQL
- ▶ Design Theory
- ▶ Database Security
- ▶ If time, some coverage of NoSQL Databases

▶ 10

## What is a Relational DBMS (RDBMS)?

- ▶ **Specialized software that provides:**
  - ▶ Uniform and transparent access to data
    - ▶ Application-independence
    - ▶ Application/user is oblivious to internal data organization
    - ▶ Data organization may change, but applications need not change
  - ▶ Efficient access to data
    - ▶ Fast search capabilities, indexing
  - ▶ Data consistency
    - ▶ E.g., cannot delete student record if grade records still in DBMS
  - ▶ Concurrent access to data
  - ▶ Persistent storage and recovery from failure
  - ▶ Security

▶ 11

## Why study databases?

- ▶ **Databases are ubiquitous**
  - ▶ Behind all web service providers there is a DBMS
    - ▶ In some cases a very large-scale one (RDBMSs at eBay, Facebook, etc.)
    - ▶ Amazon uses RDBMS for money handling
  - ▶ Corporations use RDBMS for business processes, HR, etc
  - ▶ Scientific computing relies on very large amounts of data
    - ▶ Humane genome data
    - ▶ Biochemistry data (protein sequences)
    - ▶ Astronomy data
    - ▶ High-energy physics
- ▶ **DBAs are very well-paid!**
  - ▶ And even in other IT areas, DBMS skills are a must
  - ▶ A "full stack developer" needs to know these topics.

▶ 12

### A bit of history ...

- ▶ **First data stores were file systems**
  - ▶ Does not conform to transparency and uniformity desiderata
  - ▶ Search (within file) most often linear
  - ▶ Not portable
  - ▶ Doesn't handle concurrency properly
    - ▶ Sequential access only
- ▶ **Early DBMS appeared in the 60s**
  - ▶ Driven by banking and airline industry
  - ▶ Relatively small record size, and many concurrent accesses
  - ▶ Two prominent models: hierarchical model (tree) and network model (graph)
    - ▶ Lack of support for high-level query languages

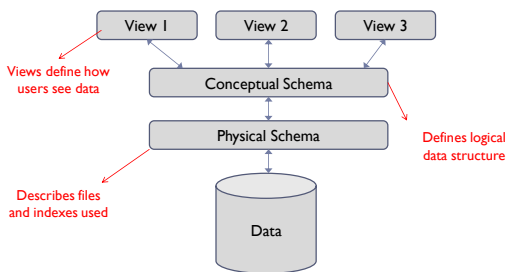
▶ 13

### A bit of history (contd.)

- ▶ **Relational Databases**
  - ▶ Major breakthrough, paper written by Codd (1970)
    - ▶ *Relations* (tables) with rows (records) and columns (fields)
      - ▶ Relationships and constraints among tables
  - ▶ Structured Query Language (SQL): high-level, declarative
    - ▶ Data definition/ manipulation language
  - ▶ Fast search – use of index structures
  - ▶ Data access language independent from internal organization
- ▶ **Newer paradigms**
  - ▶ Data Stream Management Systems
  - ▶ “No-SQL” Databases, Big Data, MapReduce

▶ 14

### Levels of Abstraction

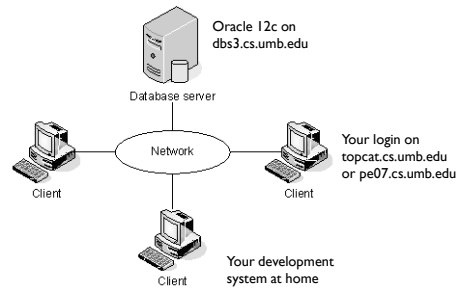


Database Management Systems 3<sup>rd</sup> ed, Ramakrishnan and Gehrke

▶ 15

### System architecture

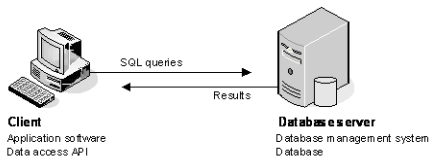
Clients access the database server over the Internet



Slide 16

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### Client software, server software, and the SQL interface

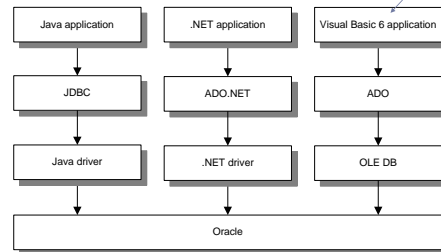


Slide 17

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### Common options for accessing Oracle data

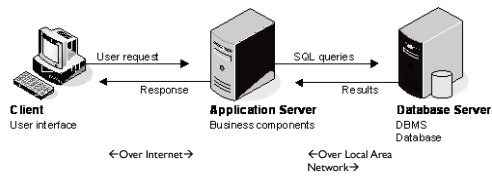
Obsolete!



Slide 18

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## An application that uses an application server



We won't be using an application server in this class, but we do in CS636, a follow-on course, with Java running in the application server (tomcat).

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Slide 19

## The Relational Model

### The Relational Data Model

- ▶ **Structure of data**
  - ▶ Relational model uses tables: just rows, columns, no 'pointers'
  - ▶ Vs. Programming languages deal with arrays, collections, etc. with explicit references from one item to another
- ▶ **Operations on the data (maintaining data consistency)**
  - ▶ Queries: operations that retrieve information
  - ▶ Modifications: operations that change data
- ▶ **Constraints**
  - ▶ Domain constraints (the simplest): e.g., age must be numeric
  - ▶ Other constraints: each student has unique student #

▶ 21

### Prominent Data Models

- ▶ **Relational model:** tables with rows and columns, constraints, relationships, data consistency, but effectively inflexible schema (column definitions).
- ▶ **"NoSQL"** means not following relational model (may support SQL!), various types:
  - ▶ Key-value store (like hash table, key → value) Example: Redis
  - ▶ Document store (key → self-contained document) Ex.: MongoDB
  - ▶ Graph DB (nodes with links) Example: Neo4j
  - ▶ Wide Column Store. Example: Apache HBase, part of Hadoop project. Has tables, but with flexible schema
  - ▶ If time permits, we'll explore NoSQL at the end of the course

▶ 22

### Relational Model

- ▶ **Relational database:** a set of *relations*
- ▶ **Relation:**
  - ▶ two-dimensional *table*, with **rows** and **columns**
    - ▶ #Rows = *cardinality*
    - ▶ #Columns = *degree (or arity)*
  - ▶ Each row represents an entity
    - ▶ A student, a course, a movie
  - ▶ Each column represents a property of the entity
    - ▶ Student age, student matriculation #, student gpa
    - ▶ Column values are **atomic** (e.g., integer or string) within given **domain**
  - ▶ Rows are also called **tuples** or **records**; columns are also called **fields** or **attributes**

▶ 23

### "Students" Relation or Table

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@eecs	18	3.2
53650	Smith	smith@math	19	3.8

Cardinality = 3, Degree = 5

▶ 24

## Relational Schema

- ▶ **Schema:** specifies name of relation, plus name and domain of each column
  - ▶ **Students** ( sid: integer, name: string, login: string, age: integer, gpa: real )
- ▶ Each relation must have a schema
  - ▶ Similar to a data type in programming languages
- ▶ Relational database schema = collection of relations' schemas
- ▶ Vs. NoSQL DBs: these have more flexible schemas: add new data items, etc.

▶ 25

## Use of “string” as a domain in R&G

- ▶ If you already know some SQL, you know there is no data type “string” in SQL, but we see “string” in many schemas in the R&G book
- ▶ The first use is on pg. 59, for students, as on the last slide.
- ▶ In SQL, we have char(20) for example, for 20-character strings.
- ▶ So think of “string” in R&G as standing for strings with some length limit.

▶ 26

## More about Relations

- ▶ Relations are sets of *tuples*
  - ▶ Sets are NOT ordered
  - ▶ Do NOT retrieve by row number, but by content!
- ▶ Relation **Instance**
  - ▶ Contents of a relation may change over time
    - ▶ Tuples are added/deleted/modified
    - ▶ E.g., Students join or leave the university
  - ▶ **Instance** represents set of tuples at a certain point in time
- ▶ Schemas may change too
  - ▶ Although this is not frequent in practice
  - ▶ Changing schema can be very expensive

▶ 27

## Instance of “Students” Relation

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@eecs	18	3.2
53650	Smith	smith@math	19	3.8

Cardinality = 3, Degree = 5

▶ 28

## Another Instance of “Students”

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@eecs	18	3.2
53650	Smith	smith@math	19	3.8
53660	Korth	korth@math	22	3.6

Cardinality = 4, Degree = 5

▶ 29

## Typical SQL Application life cycle

- Install application: create tables for application, once and for all
- Use application: add/delete rows, update rows, query rows

Of course, some applications create tables dynamically.

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## Keys

- ▶ A **key** of a relation is a set of fields **K** such that:
  1. No two distinct tuples in **ANY** relation instance have same values in all key fields, and
  2. No subset of **K** is a key (otherwise **K** is a **superkey**)
- ▶ **Key may not be unique**
  - ▶ Multiple **candidate** keys may exist
  - ▶ One of the keys is chosen (by DBA) to be the **primary key**
- ▶ **Keys are shown underlined in schema**
- ▶ In the relational model, duplicate tuples do not exist!
  - ▶ But most DBMS implementations do allow duplicates
  - ▶ Key constraints must be set by DBA to avoid duplicates
  - ▶ Rule of thumb: every table should have a primary key

▶ 31

## Example of Keys

- Students (**sid**: string, **name**: string, **login**: string, **age**: integer, **gpa**: real)
- ▶ **sid** is a **key**; {**sid, name**} is a **superkey**

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@eecs	18	3.2
53650	Smith	smith@math	19	3.8

- ▶ In practice, it is not easy to know when there exists a unique attribute combination in the data (e.g., names)
  - ▶ artificial keys are created: student ID, customer ID, etc.
  - ▶ SSN is also often used for keys (but what if multinational...)
  - ▶ Also, must keep SSNs hidden from public

▶ 32

### Murach Example: The Vendors table

viewed here in Oracle SQL Developer

Primary key		Columns			
VENDOR_ID	VENDOR_NAME	VENDOR_ADDRESS1	VENDOR_ADDRESS2	VENDOR_CITY	
1	100 Postal Service	Attn: Supp. Window Services	PO Box 7010	Walters	
2	2 National Information Data Ctr	PO Box 94611	WALL	Washington	
3	3 Regalace of Copyrights	Library of Congress	WALL	Washington	
4	4 Subtrak	1990 Westwood Blvd Ste 260	WALL	Los Angeles	
5	5 Shrinkwrap Book Clubs	3000 Clarks Drive	WALL	Washington	
6	6 California Chamber of Commerce	3259 Sance Cir	WALL	Sacramento	
7	7 Stone Advertiser's Mailbox Servs	Mailbox	3441 W MacArthur Blvd	Santa Ana	
8	8 STI Industries	PO Box 3369	WALL	Fresno	
9	9 Pacific Sea & Electric	Box 52011	WALL	San Francisco	
10	10 Robotic Mobile Lock And Key	4669 W Fresno	WALL	Fresno	
11	11 Billi Martin Electric Inc	4583 E Rome	WALL	Fresno	
12	12 City Of Fresno	PO Box 2069	WALL	Fresno	
13	13 Golden Eagle Insurance Co	PO Box 55126	WALL	San Diego	
14	14 Expedita Inc	4420 W. First Street, Suite 100	WALL	Fresno	

(Row numbers are not part of the database table)

Slide 33

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### The Murach Invoices table

INVOICE_ID	VENDOR_ID	INVOICE_NUMBER	INVOICE_DATE	INVOICE_TOTAL	PAYMENT_TOTAL	CREDIT_TOTAL	TERR_ID
1	1	34 QRS8872	25-FEB-14	116.84	116.84	0	4
2	2	34 Q545463	14-MAR-14	1083.55	1083.55	0	4
3	3	110 P-0608	11-APR-14	20551.18	0	1000	5
4	4	110 P-0258	16-APR-14	24851.4	24851.4	0	3
5	5	51 M0M01469	16-APR-14	936.93	936.93	0	3
6	6	122 989319-497	17-APR-14	2312.2	0	0	4
7	7	82 C73-24	17-APR-14	600	600	0	2
8	8	122 989319-487	18-APR-14	1927.54	0	0	4
9	9	122 989319-477	19-APR-14	2194.11	2194.11	0	4
10	10	122 989319-467	24-APR-14	2318.03	2318.03	0	4
11	11	122 989319-457	24-APR-14	3813.33	3813.33	0	3
12	12	122 989319-447	24-APR-14	3859.99	3859.99	0	3
13	13	122 989319-437	24-APR-14	2765.36	2765.36	0	2
14	14	122 989319-427	25-APR-14	2115.81	2115.81	0	1
15	15	121 971553B	26-APR-14	313.55	0	0	4

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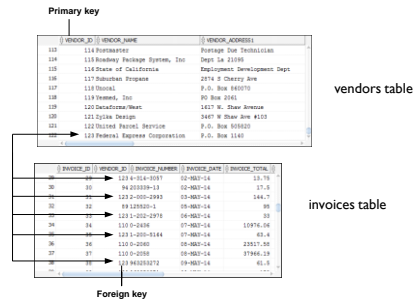
### The columns of the Murach Invoices table

Column Name	Data Type	Nullable	Default	Constraint
INVOICE_ID	NUMBER	NO		PK
VENDOR_ID	NUMBER	NO		FK
INVOICE_NUMBER	VARCHAR2(10)	NO		PK
INVOICE_DATE	DATE	NO		PK
INVOICE_TOTAL	NUMBER(14,2)	NO		PK
PAYMENT_TOTAL	NUMBER(14,2)	YES	0	
CREDIT_TOTAL	NUMBER(14,2)	YES	0	
PAYMENT_DATE	DATE	NO		PK
TERR_ID	NUMBER	NO		FK

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### The relationship between two tables



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