

# Query Optimization, part 2: query plans in practice

CS634  
Lecture 13

# Working with the Oracle query optimizer

- First need to make sure stats are in place in the catalog (if tables were just loaded, or indexes added)
- Analyze table on Oracle 12c is supported, but doesn't collect statistics for partitioned tables, run in parallel, etc.
- See notice in [analyze doc](#)
- To get better stats, we need to execute the following code (from class 6)

```
SQL>exec dbms_stats.gather_table_stats(  
  'SETQ_DB' , 'BENCH' ,cascade=>true);
```

- Here cascade means analyze its indexes too.
- To drop stats:

```
exec dbms_stats.delete_table_stats('setq_db' , 'bench');
```

# Bench table

- 1M rows, 240 bytes each, so 240MB table data (30K pages)
- Data is in a heap table
  - Recall that Oracle only offers clustered index via “IOT” index-organized table.
- Column names show their cardinality:
  - k4 means 4 different values, 1,2,3,4
  - k100K means 100k different values 1, 2, ..., 99999, 100000
- B-tree indexes on kseq, k4, k100, k1k, k100k, k500k columns
- No index on k5, k25, k40, k40k, k250k columns

# Seeing the results of gathering stats

```
SQL> SELECT column_name, num_distinct, num_buckets, histogram
FROM ALL_TAB_COL_STATISTICS where table_name='BENCH' order by num_distinct;
COLUMN_NAM NUM_DISTINCT NUM_BUCKETS HISTOGRAM
```

```
-----
K2          2          1 NONE
K4          4          1 NONE      ←indexed
K5          5          5 FREQUENCY
K10         10          1 NONE      ←indexed
K25         25          1 NONE
K100        100        100 FREQUENCY ←indexed
K1K         1000         1 NONE
K10K        10000        1 NONE      ←indexed
K40K        40348         1 NONE
K100K       100816         1 NONE      ←indexed
K250K       248288         1 NONE
K500K       439200         1 NONE      ←indexed
KSEQ        1000000        1 NONE      ←indexed
```

Oracle figures that the default RF of 1/num\_distinct will be good enough for k10k and up

# Simple plan example, indexed column k500

```
SQL> alter session set current_schema = setq_db;
```

```
SQL> explain plan for select max(s1) from bench where k500k=2;
```

```
SQL> select * from table(dbms_xplan.display());
```

Id	Operation	Name	Rows	Bytes
0	SELECT STATEMENT		1	14
1	SORT AGGREGATE		1	14
2	TABLE ACCESS BY INDEX ROWID	BENCH	2	28
* 3	INDEX RANGE SCAN	K500KIN	2	

Predicate Information (identified by operation id):

3 - access("K500K"=2)

- K500K index has 2 rows for each key
- Table access by those two ROWIDs extracts 28 bytes (s1 value): 2 rows of 14 bytes each
- These are aggregated and one value returned
- Same plan for k100k, k10k, but not k100...

## Simple plan, indexed k100 column:

```
SQL> explain plan for select max(s1) from bench where k100=2;
```

```
SQL> select * from table(dbms_xplan.display());
```

Id	Operation	Name	Rows	Bytes	Cost...
-----					
0	SELECT STATEMENT		1	12	
1	SORT AGGREGATE		1	12	
* 2	TABLE ACCESS FULL	BENCH	10000	117K	

Predicate Information (identified by operation id):

2 - filter("K100"=2)

- Here  $RF=1/100$ , so about 10,000 rows are produced by the filtered table scan, and each needs the s1 value, 12 bytes, so 120KB of data.
- Oracle has ignored the K100 index, preferring to do a table scan (30,000 pages) rather than do 10,000 index probes and rid lookups. Let's see why...

# Simple plan, k100 case

```
select max(s1) from bench where k100=2;
```

- Cost of Oracle's plan (with known histograms): read entire table, about 30,000 i/os.
- Cost of index-driven plan:
  - Here  $RF=1/100$ , so about 10,000 rows are found in the index. Maybe 100 i/os to index.
  - Each needs the s1 value, so the ROWID is used to access the table. This takes 10,000 index probes, so about 10,000 i/os (assuming buffering of upper levels of the index.)
- The difference here: sequential vs. random i/o
  - Plan 1: table scan, 30,000 sequential i/os
  - Plan 2: use index, 10,000 random accesses
- But sequential i/o uses multi-block i/o, can be 10-25x faster.
- That's assuming HDD. On SSD, use the index.

```
column column_name format a  
column column_name format a
```

## Easier way to see plans:

**set autotrace on explain statistics**

- Or just **set autotrace on exp stat**
  - Also **set timing on**
  - Also **set line 130** to avoid wrapping
  - Then just select ...
  - After this returns, you see the explain plan, plus actual statistics on the query
  - The explain plan is not guaranteed to be the exact plan used
- 
- Note: to set string column output format:  
SQL> column column\_name format a10



# Example with set autotrace ..., set timing...

```
SQL> select max(s1) from bench where k500k=2;
```

```
MAX(S1)
```

```
-----
```

```
12345678
```

```
Elapsed: 00:00:00.03
```

Id	Operation	Name	Rows	Bytes	(also Cost, Time)
0	SELECT STATEMENT		1	14	
1	SORT AGGREGATE		1	14	
2	TABLE ACCESS BY INDEX ROWID	BENCH	2	28	
* 3	INDEX RANGE SCAN	K500KIN	2		

```
-----  
Predicate Information (identified by operation id):
```

```
-----
```

```
3 - access("K500K"=2)
```

```
Statistics
```

```
-----
```

```
1 recursive calls
```

```
0 db block gets
```

```
5 consistent gets
```

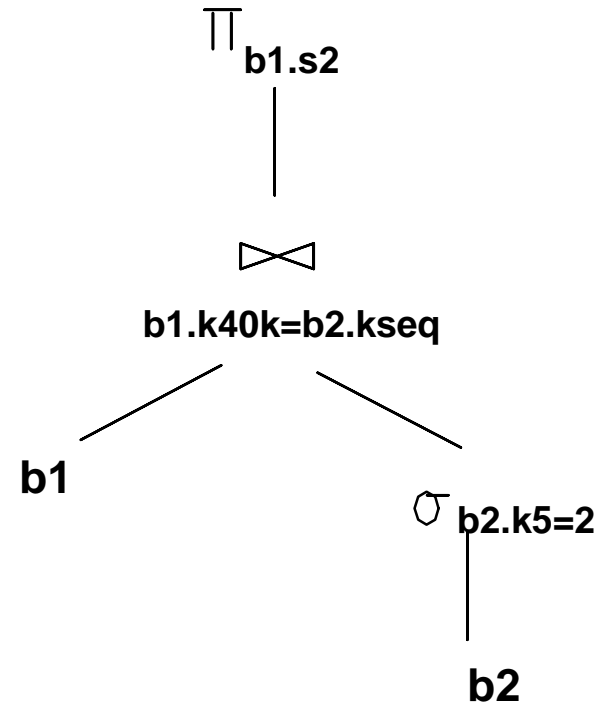
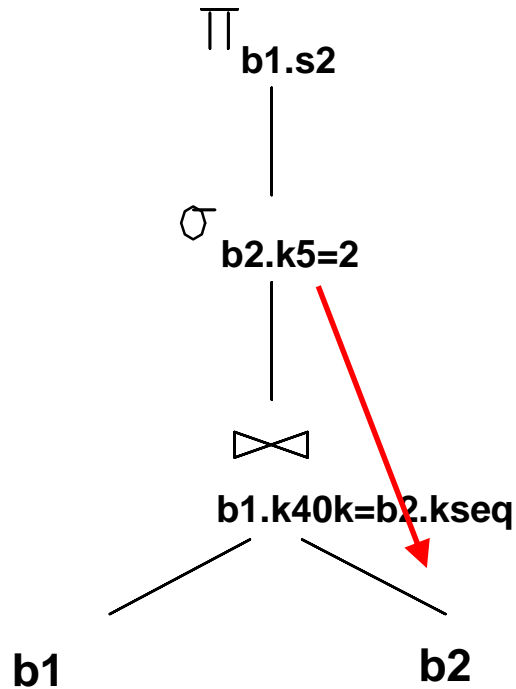
```
5 physical reads ←unfortunately, physical writes are not reported here
```

```
...
```

# Join Example

(with index on kseq)

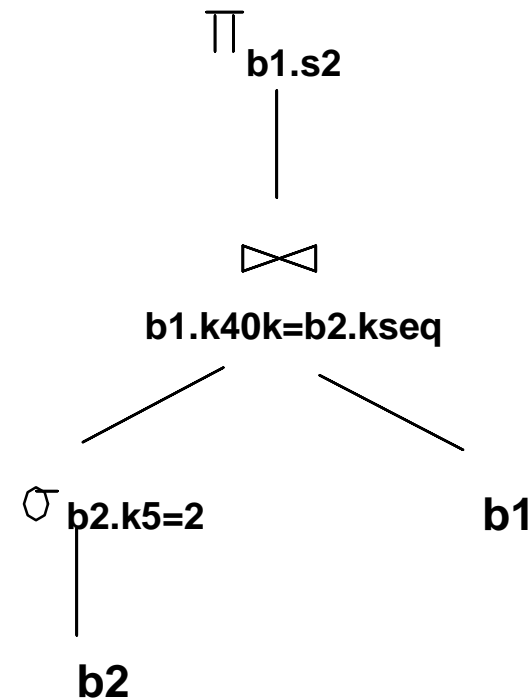
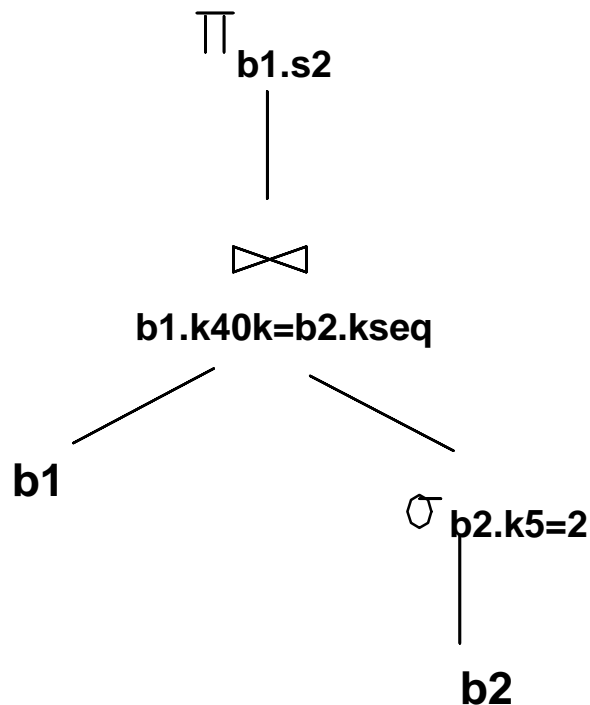
```
SELECT max(b1.s2)
FROM bench b1, bench b2
WHERE b1.k40k=b2.kseq AND b2.k5=2;
```



# Join Example

(with indexes on k40k and kseq)

```
SELECT max(b1.s2)
FROM bench b1, bench b2
WHERE b1.k40k=b2.kseq AND b2.k5=2;
```



Left-deep tree (for NLJ)

```
SELECT max(b1.s2)
FROM bench b1, bench b2
WHERE b1.k40k=b2.kseq AND b2.k5=2;
```

Oracle uses Hash Join:

Id	Operation	Name	Rows	Bytes	TempSpc	Cost (%CPU)	Time
0	SELECT STATEMENT		1	34		17998 (1)	00:00:01
1	SORT AGGREGATE		1	34			
* 2	HASH JOIN		1000K	32M	3920K	17999 (1)	00:00:01
* 3	TABLE ACCESS FULL	BENCH	200K	1567K		8002 (1)	00:00:01
4	TABLE ACCESS FULL	BENCH	1000K	24M		8003 (1)	00:00:01

2 - access ("B1"."K40K"="B2"."KSEQ")

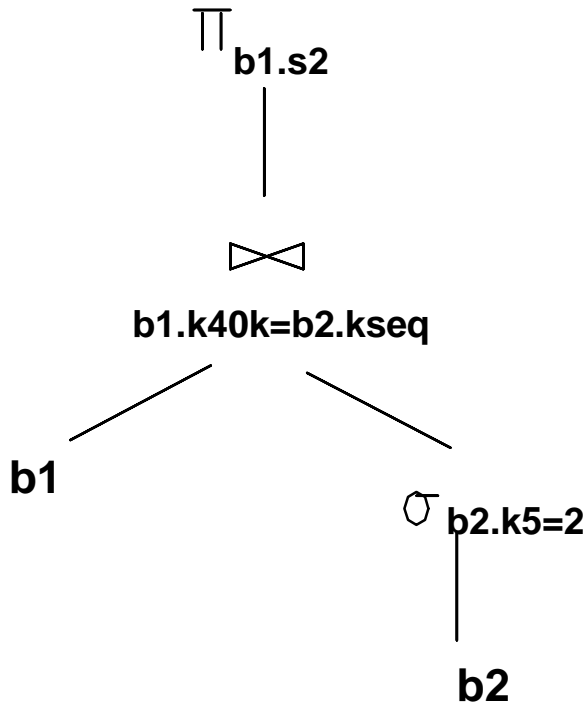
3 - filter ("B2"."K5"=2)

Line 3:  $1000000/5 = 200K$  rows, each with kseq, say 8 bytes, = 1600K = 1.6M bytes, OK

Line 4: all rows, drop all cols except k40k and s2, say 20 bytes = 20M bytes, OK

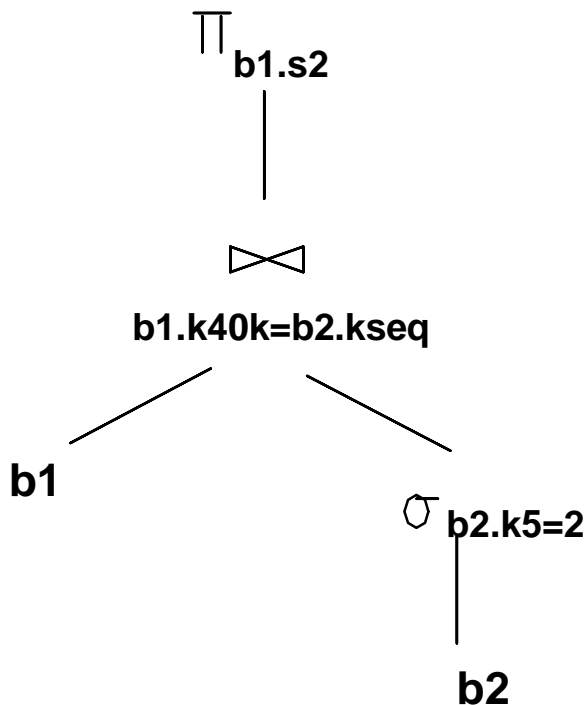
- This hash table is using the temp tablespace instead of dedicated memory, but its pages will be in memory. Here the smaller HT holds 1.6MB data, uses 3.9MB space.
- Recall the rule of thumb that a hash table should be at least twice the size of the data in it.

# Hash Join Cost Analysis, case of in-memory HT, no partitioning (for small tables)



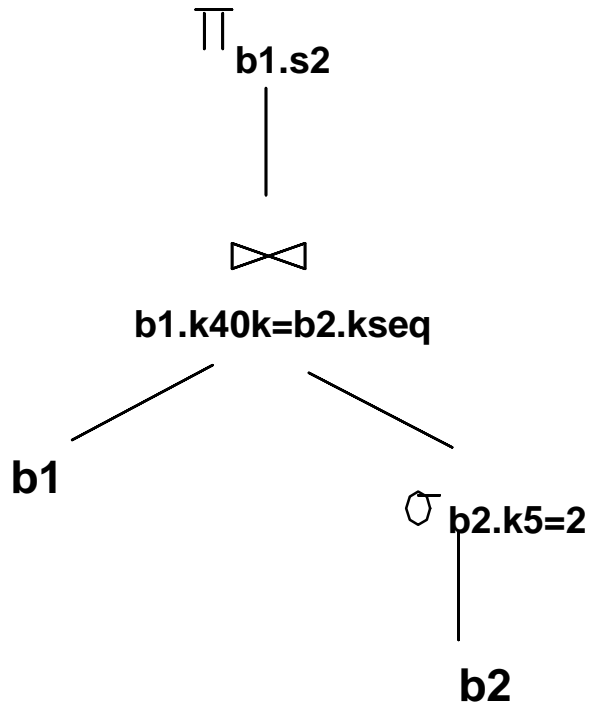
- Hash Join: 1M rows (24MB) from b1, 200K rows (1.5MB) from selection on b2
- So build hash table from b2, should fit in memory (apparently 3.9MB). If not, use partitioning.
- Hash the 1M rows of b1 and output to pipeline
- i/o Cost: read bench twice (once as b1, once as b2), about 60,000 i/os. Less if table fits in memory (our case, so only read it once)
- Mysql can't do hash join, MariaDB can

# Hash Join Cost Analysis, by textbook algorithm



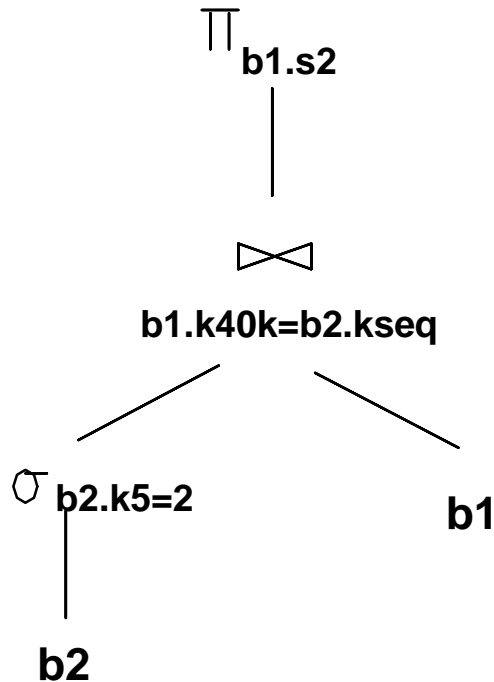
- Hash Join: 1M rows (24MB) from b1, 200K rows (1.5MB) from selection on b2 (see explain plan, slide before last)
- Book assumes partitioning needed first. Suppose only 1MB of memory available.
- So read and write all data of both tables into partitions, say 100 partitions (using 800KB of buffers, about 1MB)
  - For each partition, build hash table from b2, should fit in memory (about  $.01(3.8M) = 38KB$ )
  - Hash the 10K rows of b1 part., output to pipeline
- i/o Cost: read both tables, about 60,000 i/os. Write and read incoming tables to HJ: 24MB=3K blocks, 1.5MB = .2K blocks, total 3200 writes, 3200 reads, 6400 i/os.
- Cost = 66,400 i/os.
- Cost =  $M + N + 2(M_{HJ} + N_{HJ})$ , where  $M_{HJ}$  and  $N_{HJ}$  are the #pages coming into the HJ operator after selections are made and unused columns are dropped. The book ignores this effect, simplifying to  $3(M+N)$ .

# Hash Join Optimization with Oracle (“hybrid hash join” of pg. 465)



- Oracle partitions all b2 (smaller side) data and builds one or more partition's HT in memory in first pass, while writing other partitions to disk.
- While reading b1 side data, does join with in-memory b2 partition(s), writes out other b1 partitions for later processing (the ones with HTs not yet available)
- Works on processing written-out b1-partitions with next set of in-memory HTs of b2 data, etc.
- i/o Cost: read both tables, about 60,000 i/os. Write and read *parts* of smaller and larger tables.
- For small enough tables, no partition writing at all.
- This way, cost of HJ doesn't jump up as join size crosses needs-partitioning boundary.

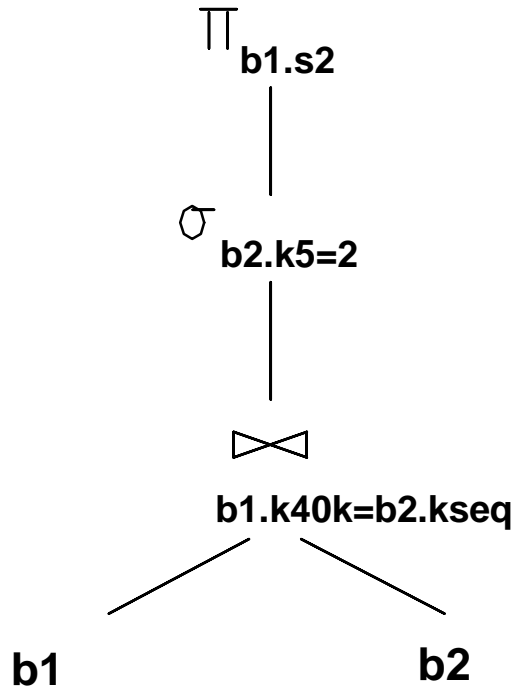
# Nested Loops Cost Analysis, b2 outer



- Indexed NL Join? Not possible, no index on k40k.
- Could consider blocked NLJ.

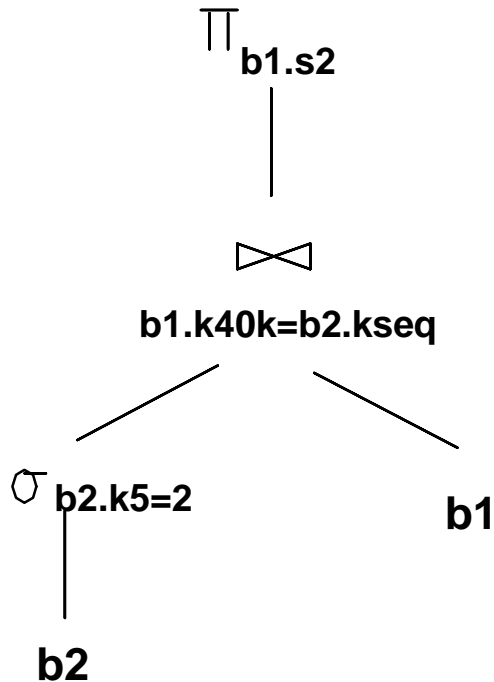


# Nested Loops Cost Analysis, b1 outer



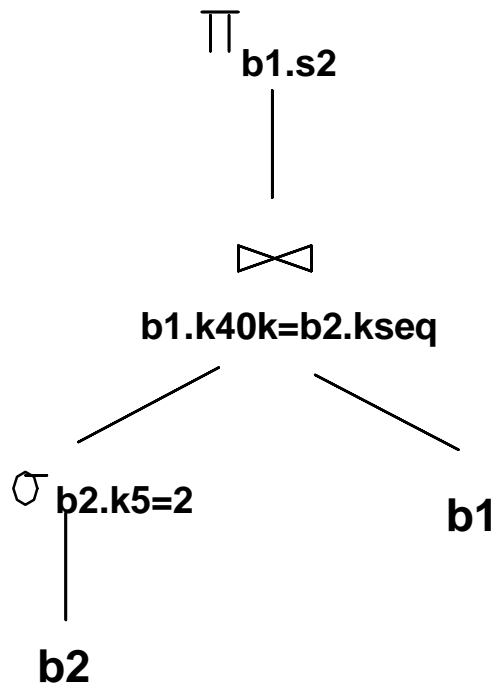
- Indexed NL Join: 1M rows in b2 with index on kseq, 1M rows (20MB) in b1
- Cost: 1 match for each k40k value, 1M index probes, but only to the first 4% of the table ( $b2.kseq < 40K$ ), so 40K i/os assuming decent buffering, plus reading b1 table (about 30,000 i/os)
- Cost = 70,000, less if table fits in memory.
- Compare to HJ costs: 60,000 (in-mem HT), 66,400 (partitioning, less if hybrid)
- HJ also benefits from using sequential i/o:
  - NLJ: 30,000 seq + 40,000 random i/os
  - HJ: 60,000-66,400 seq (much faster for HDD)

# Paged Nested Loops Cost Analysis



- Paged NL Join: 200K rows (1.5 MB = 190 pages) from selection
- Then read one page of left-side input, read all of b1, then another page, read all of b1.
- Cost: read b1 190 times, b2 once, = 191\*30,000 i/os. No good.

# Blocked Nested Loops Cost Analysis



- Blocked NL Join: 200K rows (1.5MB) from selection, 1M rows (240MB) in b1
- Cost: assume 1MB memory available, so block = 1MB.
- Then read .75MB (half) of left-side input, read all of b1, then another .75MB, read all of b1.
- Cost: read b1 twice, b2 once, = 90,000 seq i/os.
- Only 60,000 if can use 2MB memory, and that's the same as hash join.
- Mysql v 5.6 can use this approach.

# Hash Join Optimization

Since hash joins are common plans used by Oracle, how can we help make them fast?

- Raise `pga_aggregate_target` to maybe 10% of server memory (exact commands depend on Oracle version)
- Since the hash join speed depends on the size of the tables, be sparing with your select list: avoid `select * from ...`
- Don't worry about indexes on the join condition columns: they won't be used!
  - Of course, if you think NLJ is possible, do use these indexes.
- Add indexes to help with selective single-table predicates: they will be used (on either or both sides) and greatly reduce the size of the join.
- Be more selective with the single-table predicates if possible.
  - Ex: instead of looking at all employees, look at one department's.

# What about mysql?

- Mysql v 5.7 (our case on pe07) only joins using nested loops join, including blocked nested loops.
- MariaDB 10.1 (our case on cloud sites) uses hash join too
- Mysql has “explain”, but it is not as complete or easy to understand as Oracle’s.
- Mysql v 5.7 has new JSON-format plans for explain.

# Mysql EXPLAIN

```
mysql> explain select max(s1) from bench where k500k=2 and k4=2;
```

```
-----
```

```
explain select max(s1) from bench where k500k=2 and k4=2
```

```
-----
```

```
+----+-----+-----+-----+-----+-----+-----+-----+
id | select_type | table | type | possible_keys | key      | key_len | ...|
+----+-----+-----+-----+-----+-----+-----+-----+
  1 | SIMPLE      | bench | ref  | k500kin,k4in  | k500kin | 4        | |
+----+-----+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

- In this case, we see mysql chooses the one better key

# Mysql can merge indexes

```
mysql> explain select max(s1) from bench where k500k=2 and k10k=2;
```

```
-----
```

```
explain select max(s1) from bench where k500k=2 and k10k=2
```

```
-----
```

+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
id	select_type	table	type	possible_keys	key	key_len	ref	
rows	Extra							
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
1	SIMPLE	bench	index_merge	k500kin,k10kin	k500kin,k10kin	4,4	NULL	
1	Using intersect(k500kin,k10kin); Using where							
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								

- Shows index merge of two indexes.
- Though not really worth it: only 2 rows satisfy k500k=2
- This was mysql v5.6. Mysql v5.7 uses only the one index, a better plan

# Mysql and joins

- Mysql only uses Nested Loop Joins, and left-deep plans.
- Thus it is sufficient to know the order of the joins and we know the plan tree.
- The explain output lists one line per table, leftmost table first.



# Yelp\_db core tables

- Review table: the big table in the middle
  - 4.5M rows in both DBs, but different storage of review text/clob (the actual texts of the submitted reviews, up to 64KB in length)
  - Oracle: 6.7GB data (840K 8KB pgs) in Oracle
    - But 1.6 GB of this is in “LOB storage”, separate from main table
    - So main table has 5.1GB data (640K pgs) 1100 bytes/row (incl. review texts < 4KB)
  - Mysql: main table has 3.7GB data (230K 16KB pgs), 820 bytes/row
    - text column data separately stored (all of it, not just bigger review texts)
  - Index on PK = id, clustered only in mysql.
  - Indexes on 2 FK cols: business\_id and user\_id
- Business table
  - 150K rows, 22MB data (1400 16KB pgs), so 140 bytes/row
  - Index on PK = id, clustered only in mysql.
  - Each business has 30 reviews by simple division:  $4.5\text{M}/150\text{K} = 30$
- Yuser table
  - 1M rows, 150MB data (9400 pgs), so 150 bytes/row on ave.
  - Index on PK = id, clustered only in mysql.
- All indexes are B+-tree indexes

# Our Yelp queries: query 1

```
SELECT COUNT(*) FROM yelp_db.business B, yelp_db.review R
WHERE B.id = R.business_id AND R.stars = 5 AND B.state = 'NV';
```

- Oracle 12c on dbs3: (5.5s starting from empty buffer cache)

First run

```
      COUNT(*)
-----
      723579
```

Elapsed: 00:00:03.71

Second run

```
      COUNT(*)
-----
      723579
```

Elapsed: 00:00:02.08

- Mysql 5.7 on pe07:

```
+-----+
| COUNT(*) |
+-----+
|   725915 |
+-----+
```

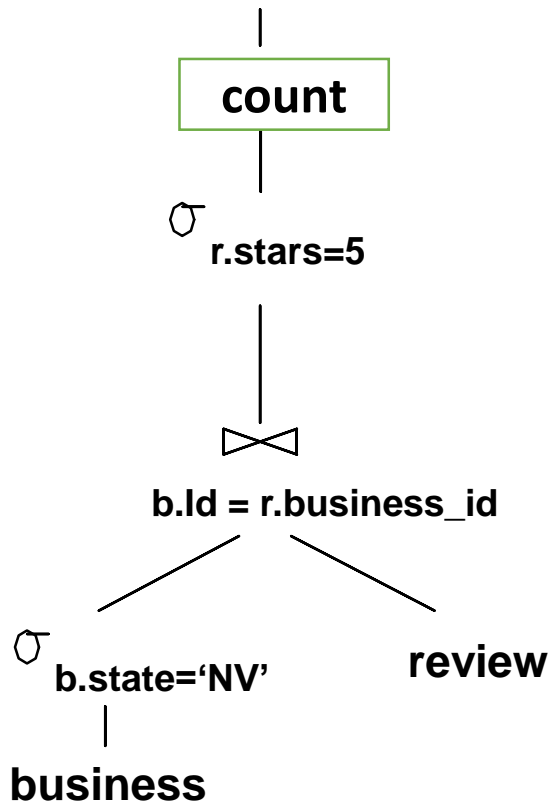
1 row in set (36.45 sec)

```
+-----+
| COUNT(*) |
+-----+
|   725915 |
+-----+
```

1 row in set (36.48 sec)

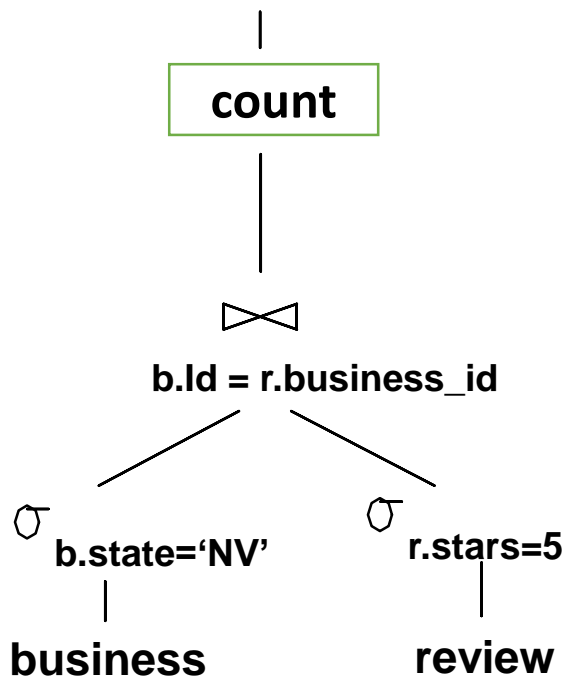
- These second runs are using cached data. How does Oracle win by factor of 17?

# Nested Loops Cost Analysis, business outer



- Indexed NL Join: 4.5M rows in review with index on business\_id, 150K rows in business, 30K in 'NV' (RF = 0.2)
- Cost: 30K index probes, each matching 30 reviews, then follow rid, plus reading outer table (about 1400 i/os using 16KB pages, 2800 for 8KB pgs)
- Cost =  $30 \times 30K + 1400/2800 = 900K$  i/os, unless table fits in memory (and it does, in effect)
- We will see this is mysql's choice.
- Note: 900K row accesses hits almost all pages in the mysql table, since it has only 230K pages.

# Hash Join Cost Analysis, case of in-memory HT, no partitioning (for small smaller table)



- Hash Join: 0.8MB from selection on business, 50MB from selection on review
- So build hash table from business, should fit in memory. (If not, use partitioning.)
- Hash the rows of review and output to pipeline
- i/o Cost: read both tables, about 230K 16KB i/os. , 643K 8KB sequential i/os (Oracle tables).
- We will see this is Oracle's choice
- Mysql can't do hash join, MariaDB can

# Oracle plan: Hash Join

```
SELECT COUNT(*) FROM yelp_db.business B, yelp_db.review R
WHERE B.id = R.business_id AND R.stars = 5 AND B.state = 'NV';
```

---

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	
0	SELECT STATEMENT		1	53	229K (1)	
1	SORT AGGREGATE		1	53		
* 2	HASH JOIN		390K	19M	229K (1)	
* 3	TABLE ACCESS FULL	BUSINESS	30571	806K	752 (1)	
* 4	TABLE ACCESS FULL	REVIEW	1982K	49M	228K (1)	

---

```
2 - access("B"."ID"="R"."BUSINESS_ID")
3 - filter("B"."STATE"='NV')
4 - filter("R"."STARS"=5)
```

# Mysql plan: Indexed NLJ, business outer

```
mysql> explain SELECT COUNT(*) FROM yelp_db.business B,  
yelp_db.review R WHERE B.id = R.business_id AND R.stars =  
5 AND B.state = 'NV';
```

```
+----+-----+-----+-----+-----+-----+
| id | select_type | table | partitions | type  
| possible_keys | key | key_len  
| ref | rows | filtered | Extra |  
+----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | B | NULL | ALL  
| PRIMARY | NULL | NULL  
| NULL | 155160 | 10.00 | Using where |  
| 1 | SIMPLE | R | NULL | ref  
|fk_reviews_business1_idx |fk_reviews_business1_idx | 68  
|yelp_db.B.id | -36 | -10.00 | Using where |  
+----+-----+-----+-----+-----+-----+
```

- This report shows mysql does an indexed NLJ with business (B) the outer table (the first listed table here).

# Oracle Hash Join wins over Mysql NLJ

- Oracle chooses 840K seq. i/o for HJ over 900K random i/os for NLJ here, clear winner because seq. i/o is so much faster.
  - Recall we earlier estimated seq. i/o is up to 25x faster on HDD even if data is trapped in a tablespace for random i/o.
  - Of course dbs3 has much faster disk system than pe07
- Similar story for the other two queries: HJ vs. NLJ, HJ wins.
- We don't really know why Oracle is 17x faster using cached data, since no disk i/o is happening in that case.
  - Streaming data in memory is faster than random access in memory, one effect (more CPU cache traffic with random access)
  - The systems have similar CPUs, though pe07 has 2 processors vs. 1 for dbs3
  - pe07 has twice as much memory as dbs3 (128GB vs 64GB)

# Oracle chooses a NLJ for state='WI'

WI has only 4190 businesses, compared to 30K for NV

```
SQL> explain plan for SELECT COUNT(*) FROM yelp_db.business B, yelp_db.review R
WHERE B.id = R.business_id AND R.stars = 5 AND B.state = 'WI';
```

Id	Operation	Name	Rows	Bytes
0	SELECT STATEMENT		1	53
1	SORT AGGREGATE		1	53
2	NESTED LOOPS		53467	2767K
3	NESTED LOOPS		125K	2767K
* 4	TABLE ACCESS FULL	BUSINESS	4190	110K
* 5	INDEX RANGE SCAN	FK_REVIEWS_BUSINESS1_IDX	30	
* 6	TABLE ACCESS BY INDEX ROWID	REVIEW	13	338

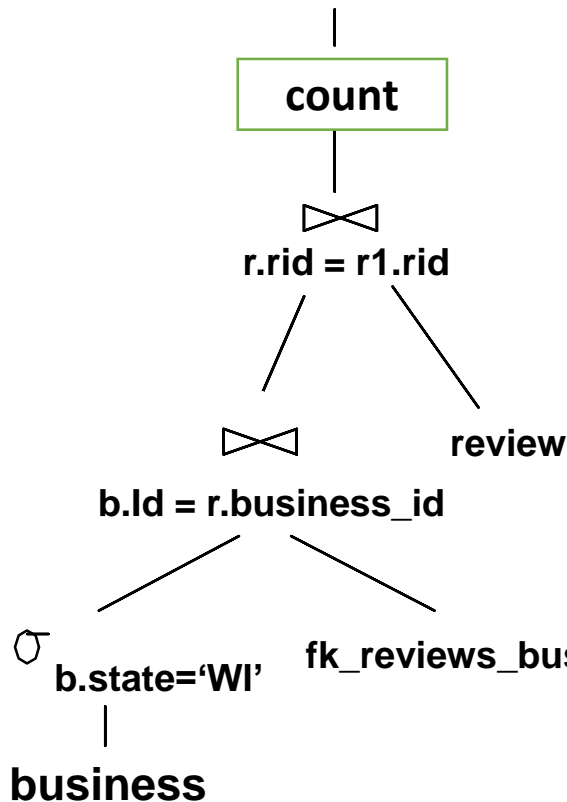
Predicate Information (identified by operation id):

- 4 - filter("B"."STATE"='WI')
- 5 - access("B"."ID"="R"."BUSINESS\_ID")
- 6 - filter("R"."STARS"=5)



# Nested Loops Cost Analysis, state='WI'

## (Oracle uses NLJ, twice)



- Indexed NL Join: 4.5M rows in review with index on business\_id, 150K rows in business, 4K in 'WI' (RF = 0.06)
- Cost: 4K index probes in first join (which find all 30 matches together) then follow r1.rids (4.1K\*30=125K est., actually 100K) in second join, check stars=5, plus reading outer table (about 1400 i/os using 16KB pages, 2800 for 8KB pgs)
- Cost = 31\*4K + 1400/2800 = 125K i/os
- Cost of HJ = 640K sequential i/os, 5x this NLJ cost, but we expect seq. i/o to be much faster.

Note: Since v 11g, the rid access to review is using "vector i/o", where multiple requests are sent at once to the disk system (after sort of rids), causing it to be much faster than normal random i/o.

# Oracle NLJ vs mysql NLJ: state='WI' query

Oracle: using two NLJs as shown on last slide, cost = 125K i/os

- First, after “alter system flush buffer\_cache;” to clear buffer cache
  - Elapsed: 00:00:01.84 (only 0.015 ms/io, so not normal “random i/o”)
- Second: table data should be in buffer cache
  - Elapsed: 00:00:00.35

Mysql time: using single NLJ as shown earlier (cost =  $4K * 30 = 120K$  i/os)

- First time (but some data in OS buffers)
  - 1 row in set (2.70 sec)
- Second time: table data should be in buffer cache
  - 1 row in set (2.69 sec)

# Oracle Bitmap Indexes

```
create table emps (  
    eid char(5) not null primary key,  
    ename varchar(16),  
    mgrid char(5) references emps,  
    gender char(1), salarycat smallint, dept char(5));  
create bitmap index genderx on usemps(gender); (2  
values, 'M' & 'F')  
create bitmap index salx on usemps(salarycat); (10  
values, 1-10)  
create bitmap index deptx on usemps(dept); (12 vals, 5  
char: 'ACCNT')
```

- Best for low-cardinality columns
  - Bitmap for gender='M': 0010111...
  - Bitmap for gender='F': 1101000...

## Bitmap indexes, cont.

- Even with a null-value bitmap, only 3 bits/row for gender
- ORACLE uses ***compression*** for low-density bitmaps, so they don't waste space.
- Note: Call a bitmap "verbatim" if not compressed.
- Fast AND and OR of verbatim bitmaps speeds queries. Idea is: overlay unsigned int array on bitmap, loop through two arrays ANDing array (& in C), and producing result of AND of predicates. Parallelism speeds things (64 bits at a time).
- But for updates, bitmaps can cause a slowdown when the bitmaps are compressed (need to be decompressed, may recompress differently). Don't use bitmap indexes if have frequent updates (OLTP situation).

# Query plan with bitmap indexes

```
EXPLAIN PLAN FOR SELECT * FROM t WHERE c1 = 2 AND c2 <>
6 OR c3 BETWEEN 10 AND 20;
```

SELECT STATEMENT

TABLE ACCESS T BY INDEX ROWID

BITMAP CONVERSION TO ROWID

BITMAP OR

BITMAP MINUS

BITMAP MINUS

BITMAP INDEX C1\_IND SINGLE VALUE

BITMAP INDEX C2\_IND SINGLE VALUE

BITMAP INDEX C2\_IND SINGLE VALUE

BITMAP MERGE

BITMAP INDEX C3\_IND RANGE SCAN

# Bitmap plan discussion

- In this example, the predicate  $c1=2$  yields a bitmap from which a subtraction can take place.
- From this bitmap, the bits in the bitmap for  $c2 = 6$  are subtracted.
- Also, the bits in the bitmap for  $c2$  IS NULL are subtracted, explaining why there are two MINUS row sources in the plan.
- The NULL subtraction is necessary for semantic correctness unless the column has a NOT NULL constraint.
- The TO ROWIDS operation is used to generate the ROWIDs that are necessary for the table access.

# Scaling up

- Our experiments are using a single disk, so parallelism is not important.
- Serious databases use RAID, so multiple disks are working together, more or less like one faster disk.
- Huge databases use partitioning and query plans where work on different partitions proceeds in parallel.
- Will return to this when studying data warehousing.