

# CS220: Applied Discrete Mathematics

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## Summary of Proofs (Theorem)

- Direct proof
  - Indirect proof
  - Prove by cases
  - Proof by contradiction
- A direct proof of  $p \rightarrow q$  is true by showing that if  $p$  is true, then  $q$  must also be true, so that the combination  $p$  true and  $q$  false never occurs.
- First step: assuming that  $p$  is true
  - Second step: showing that  $q$  is also true

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## Summary of Proofs (Theorem)

- Direct proof
- Indirect proof
- Prove by cases
- Proof by contradiction

An implication  $p \rightarrow q$  is equivalent to its contrapositive  $\neg q \rightarrow \neg p$ .  
Therefore, we can prove  $p \rightarrow q$  by showing that whenever  $q$  is false, then  $p$  is also false.

- Step 1: Introduce  $\neg q$  as a premise
- Step 2: attempt to derive  $\neg p$

Is also called proof by contraposition

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## Summary of Proofs (Theorem)

- Direct proof
- Indirect proof
- Prove by cases
- Proof by contradiction

A proof by cases must cover all possible cases that arise in a theorem.

To prove  $(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q$   
we prove that  
$$(p_1 \rightarrow q) \wedge (p_2 \rightarrow q) \wedge \dots \vee (p_n \rightarrow q)$$

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## Summary of Proofs (Theorem)

- Direct proof
- Indirect proof
- Prove by cases
- Proof by contradiction

Because the statement  $r \wedge \neg r$  is a contradiction whenever  $r$  is a proposition, we can prove that  $p$  is true if we can show that  $\neg p \rightarrow (r \wedge \neg r)$  is true for some proposition  $r$

- Step 1: Introduce  $\neg p$  as a premise
- Step 2: attempt to derive a contradiction  $\neg r \wedge r$

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# Boolean Algebra

Chapter 12 in the textbook



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## Boolean Algebra

- Boolean algebra provides the operations and the rules for working with the set **{0, 1}**.
- These are the rules that underlie **electronic circuits**, and the methods we will discuss are fundamental to **VLSI design**.
- We are going to focus on 3 operations:
  - Boolean complementation,
  - Boolean sum, and
  - Boolean product

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## Boolean Operations

- The **complement** is denoted by a bar (on the slides, we will use a minus sign). It is defined by

$$\bar{0} = 1 \text{ and } \bar{1} = 0.$$

- The **Boolean sum**, denoted by + or by OR, has the following values:

$$1 + 1 = 1, \quad 1 + 0 = 1, \quad 0 + 1 = 1, \quad 0 + 0 = 0$$

- The **Boolean product**, denoted by  $\cdot$  or by AND, has the following values:

$$1 \cdot 1 = 1, \quad 1 \cdot 0 = 0, \quad 0 \cdot 1 = 0, \quad 0 \cdot 0 = 0$$

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## Boolean Functions and Expressions

- **Definition:** Let  $\mathbb{B} = \{0, 1\}$ . The variable  $x$  is called a **Boolean variable** if it assumes values only from  $B$ .
- A function from  $\mathbb{B}^n$ , the set  $\{(x_1, x_2, \dots, x_n) \mid x_i \in B, 1 \leq i \leq n\}$ , to  $\mathbb{B}$  is called a **Boolean function of degree  $n$** .
- Boolean functions can be represented using expressions made up from Boolean variables and Boolean operations.

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## Boolean Functions and Expressions

- The **Boolean expressions** in the variables  $x_1, x_2, \dots, x_n$  are defined recursively as follows:
  - $0, 1, x_1, x_2, \dots, x_n$  are Boolean expressions.
  - If  $E_1$  and  $E_2$  are Boolean expressions, then  $\overline{E_1}$ ,  $(E_1 E_2)$ , and  $(E_1 + E_2)$  are Boolean expressions.
- Each Boolean expression represents a Boolean function. The values of this function are obtained by substituting 0 and 1 for the variables in the expression.

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## Boolean Functions and Expressions

- For example, we can create Boolean expression in the variables  $x, y,$  and  $z$  using the “building blocks”  $0, 1, x, y,$  and  $z,$  and the construction rules:
- Since  $x$  and  $y$  are Boolean expressions, so is  $xy.$
- Since  $z$  is a Boolean expression, so is  $\bar{z}.$
- Since  $xy$  and  $\bar{z}$  are Boolean expressions, so is  $xy + \bar{z}.$
- ... and so on...

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## Boolean Functions and Expressions

- **Example:** Give a Boolean expression for the Boolean function  $F(x, y)$  as defined by the following table:

$x$	$y$	$F(x, y)$
0	0	0
0	1	1
1	0	0
1	1	0

**Possible solution:**  $F(x, y) = \bar{x} \cdot y$

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## Boolean Functions and Expressions

- Another Example:

x	y	z	F(x, y, z)
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	0

Possible solution I:

$$F(x, y, z) = \overline{xz} + y$$

Possible solution II:

$$F(x, y, z) = (\overline{xz})\overline{y}$$

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## Boolean Functions and Expressions

- There is a simple method for deriving a Boolean expression for a function that is defined by a table. This method is based on **minterms**.
- **Definition:** A **literal** is a Boolean variable or its complement. A **minterm** of the Boolean variables  $x_1, x_2, \dots, x_n$  is a Boolean product  $y_1 y_2 \dots y_n$ , where  $y_i = x_i$  or  $y_i = \overline{x}_i$ .
- Hence, a minterm is a product of  $n$  literals, with one literal for each variable.

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## Boolean Functions and Expressions

- Consider  $F(x,y,z)$  again:

x	y	z	$F(x, y, z)$
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	0

$F(x, y, z) = 1$  if and only if:

$x = y = z = 0$  or

$x = y = 0, z = 1$  or

$x = 1, y = z = 0$

Therefore,

$$F(x, y, z) = \bar{x}\bar{y}\bar{z} + \bar{x}\bar{y}z + x\bar{y}\bar{z}$$

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## Boolean Functions and Expressions

- Definition:** The Boolean functions  $F$  and  $G$  of  $n$  variables are **equal** if and only if  $F(b_1, b_2, \dots, b_n) = G(b_1, b_2, \dots, b_n)$  whenever  $b_1, b_2, \dots, b_n$  belong to  $\mathbb{B}$ .
- Two different Boolean expressions that represent the same function are called **equivalent**.
- For example, the Boolean expressions  $xy, xy + 0$ , and  $xy \cdot 1$  are equivalent.

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## Boolean Functions and Expressions

- The **complement** of the Boolean function  $F$  is the function  $\bar{F}$ , where  $\bar{F}(b_1, b_2, \dots, b_n) = \overline{F(b_1, b_2, \dots, b_n)}$ .
- Let  $F$  and  $G$  be Boolean functions of degree  $n$ . The **Boolean sum**  $F + G$  and **Boolean product**  $FG$  are then defined by

$$(F + G)(b_1, b_2, \dots, b_n) = F(b_1, b_2, \dots, b_n) + G(b_1, b_2, \dots, b_n)$$

$$(FG)(b_1, b_2, \dots, b_n) = F(b_1, b_2, \dots, b_n) \cdot G(b_1, b_2, \dots, b_n)$$

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## Boolean Functions and Expressions

- **Question:** How many different Boolean functions of degree 1 are there?
- **Solution:** There are four of them,  $F_1, F_2, F_3$ , and  $F_4$ :

$x$	$F_1$	$F_2$	$F_3$	$F_4$
0	0	0	1	1
1	0	1	0	1

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## Boolean Functions and Expressions

• **Question:** How many different Boolean functions of degree 2 are there?

• **Solution:** There are 16 of them,  $F_1, F_2, \dots, F_{16}$ :

x	y	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$	$F_6$	$F_7$	$F_8$	$F_9$	$F_{10}$	$F_{11}$	$F_{12}$	$F_{13}$	$F_{14}$	$F_{15}$	$F_{16}$
0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1
0	1	0	0	0	0	1	1	1	1	0	0	0	0	1	1	1	1
1	0	0	0	1	1	0	0	1	1	0	0	1	1	0	0	1	1
1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1

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## Boolean Functions and Expressions

• **Question:** How many different Boolean functions of degree  $n$  are there?

• **Solution:**

- There are  $2^n$  different  $n$ -tuples of 0s and 1s.
- A Boolean function is an assignment of 0 or 1 to each of these  $2^n$  different  $n$ -tuples.
- Therefore, there are  $2^{2^n}$  different Boolean functions.

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## Identities

There are useful identities of Boolean expressions that can help us to transform an expression  $A$  into an equivalent expression  $B$ , e.g.:

Identity Name	AND Form	OR Form
Identity Law	$1x = x$	$0+x = x$
Null (or Dominance) Law	$0x = 0$	$1+x = 1$
Idempotent Law	$xx = x$	$x+x = x$
Inverse Law	$x\bar{x} = 0$	$x+\bar{x} = 1$
Commutative Law	$xy = yx$	$x+y = y+x$
Associative Law	$(xy)z = x(yz)$	$(x+y)+z = x+(y+z)$
Distributive Law	$x+yz = (x+y)(x+z)$	$x(y+z) = xy+xz$
Absorption Law	$x(x+y) = x$	$x+xy = x$
DeMorgan's Law	$\overline{(xy)} = \bar{x}+\bar{y}$	$\overline{(x+y)} = \bar{x}\bar{y}$
Double Complement Law	$\overline{\bar{x}} = x$	

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## Definition of a Boolean Algebra

- All the properties of Boolean functions and expressions that we have discovered also apply to other mathematical structures such as propositions and sets and the operations defined on them.
- If we can show that a particular structure is a Boolean algebra, then we know that all results established about Boolean algebras apply to this structure.
- For this purpose, we need an abstract definition of a Boolean algebra.

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## Definition of a Boolean Algebra

**Definition:** A Boolean algebra is a set  $B$  with two binary operations  $\vee$  and  $\wedge$ , elements  $0$  and  $1$ , and a unary operation  $\bar{\phantom{x}}$  such that the following properties hold for all  $x, y$ , and  $z$  in  $B$ :

$$x \vee 0 = x \text{ and } x \wedge 1 = x \quad (\text{identity laws})$$

$$x \vee \bar{x} = 1 \text{ and } x \wedge \bar{x} = 0 \quad (\text{domination laws})$$

$$(x \vee y) \vee z = x \vee (y \vee z) \text{ and } (x \wedge y) \wedge z = x \wedge (y \wedge z) \text{ and} \quad (\text{associative laws})$$

$$x \vee y = y \vee x \text{ and } x \wedge y = y \wedge x \quad (\text{commutative laws})$$

$$x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z) \text{ and } x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z) \quad (\text{distributive laws})$$

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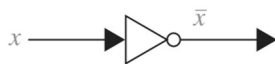
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## Logic Gates

Electronic circuits consist of so-called gates. There are three basic types of gates:



(a) Inverter



(b) OR gate



(c) AND gate

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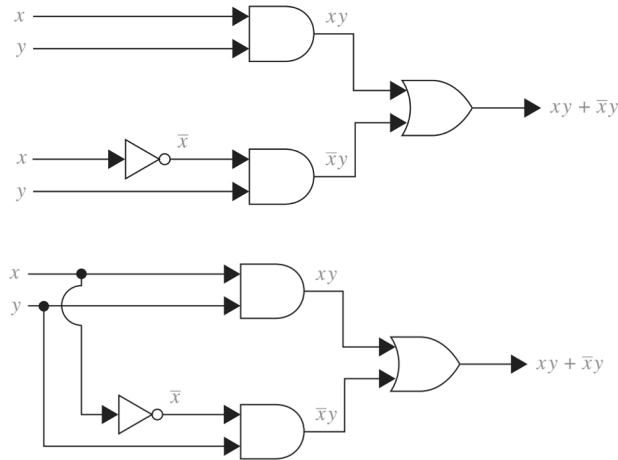
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## Logic Gates

- **Example:** How can we build a circuit that computes the function  $xy + \bar{x}y$ ?



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## Minimization of Circuits

Chapter 12.4 in the textbook

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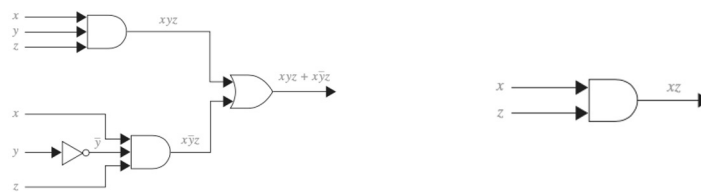
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## Minimization of Circuits

- The efficiency of a combinational circuit depends on the number and arrangement of its gates.
- We can always use the sum-of-products expansion of a circuit to find a set of logic gates that will implement this circuit. However, the sum-of-products expansion may contain many more terms than are necessary.



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## Minimization of Circuits

- Reducing the number of gates on a chip can lead to a more reliable circuit and can reduce the cost to produce the chip.
- Minimization makes it possible to fit more circuits on the same chip.
- Minimization reduces the number of inputs to gates in a circuit. The number of inputs to a gate may be limited because of the particular technology used to build logic gates.
- Reduces the time used by a circuit to compute its output.

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## Karnaugh Maps (K-Maps)

- Special form of a truth table which enables easier pattern recognition
- Pictorial method of simplifying Boolean expressions
- Good for circuit designs with up to 4 variables

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## Karnaugh Maps (K-Maps)

Truth Table

$x$	$y$	$F(x, y)$
0	0	0
0	1	1
1	0	1
1	1	1

K-Map

	$x$	0	1
$y$	0	0	1
	1	1	1

$F$

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## Karnaugh Maps (K-Maps)

Truth Table

$x$	$y$	$F(x, y)$
0	0	0
0	1	0
1	0	1
1	1	1

K-Map

		$x$	
		0	1
$y$	0	0	1
	1	0	1

$$F = x$$

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## Karnaugh Maps (K-Maps)

Truth Table

$x$	$y$	$F(x, y)$
0	0	0
0	1	1
1	0	1
1	1	1

K-Map

		$x$	
		0	1
$y$	0	0	1
	1	1	1

The vertical group shows that the output is independent to  $y$   
 The horizontal group shows that the output is independent to  $x$

$$F = x + y$$

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## Karnaugh Maps (K-Maps)

Truth Table

$x$	$y$	$F(x, y)$
0	0	1
0	1	1
1	0	1
1	1	1

K-Map

		$x$	
		0	1
$y$	0	1	1
	1	1	1

The output is independent to all of inputs

$$F = 1$$

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## Karnaugh Maps (K-Maps)

Truth Table

$x$	$y$	$F(x, y)$
0	0	0
0	1	1
1	0	1
1	1	1

K-Map

		$x$	
		0	1
$y$	0	1	1
	1	1	0

The 1s in vertical group are always  $x$   
The 1s horizontal group are always  $y$

$$F = \bar{x} + \bar{y}$$

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## K-Map in three variables

Truth Table

$x$	$y$	$z$	$F(x, y, z)$
0	0	0	0
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	1

K-Map

$x \backslash yz$	00	01	11	10
0	0	0	1	1
1	1	1	1	1

$$F = x + y$$

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## K-Map in three variables

Truth Table

$x$	$y$	$z$	$F(x, y, z)$
0	0	0	0
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	1

K-Map

$z \backslash xy$	00	01	11	10
0	0	1	1	1
1	0	1	1	1

**Wrong!** A group of 1s can only contain  $2^n$  of 1s

$z \backslash xy$	00	01	11	10
0	0	1	1	1
1	0	1	1	1

**Correct!**

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## K-Map in three variables

		<i>yz</i>			
		00	01	11	10
<i>x</i>	0	0	0	1	0
	1	0	0	1	0

$$F = yz$$

		<i>yz</i>			
		00	01	11	10
<i>x</i>	0	1	0	0	1
	1	1	0	0	1

$$F = \bar{z}$$

		<i>yz</i>			
		00	01	11	10
<i>x</i>	0	0	0	0	0
	1	1	0	0	1

$$F = x\bar{z}$$

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## Grouping rules in K-Maps

- A group must only contains 1s, no 0s
- A group can only be horizontal or vertical, not diagonal
- A group must contain  $2^n$  (1, 2, 4, 8, etc.) of 1s
- Each group should be as large as possible
- Groups may overlap
- Groups may wrap around a table
- Every 1 must be in at least one group

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## K-Map in three variables

- Use K-maps to minimize these sum-of-product expansions.

- $xy\bar{z} + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}\bar{z}$
- $x\bar{y}z + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}z + \bar{x}\bar{y}\bar{z}$
- $xyz + xy\bar{z} + x\bar{y}z + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}z + \bar{x}\bar{y}\bar{z}$
- $xy\bar{z} + x\bar{y}\bar{z} + \bar{x}\bar{y}z + \bar{x}\bar{y}\bar{z}$

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## K-Map in three variables

a)  $xy\bar{z} + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}\bar{z}$

	$yz$	$y\bar{z}$	$\bar{y}z$	$\bar{y}\bar{z}$
$x$		1	1	
$\bar{x}$	1		1	

$$F = x\bar{z} + \bar{y}\bar{z} + \bar{x}yz$$

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## K-Map in three variables

b)  $x\bar{y}z + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}z + \bar{x}\bar{y}\bar{z}$

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$x$			1	1
$\bar{x}$	1		1	1

$$F = \bar{y} + \bar{x}z$$

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## K-Map in three variables

c)  $xyz + xy\bar{z} + x\bar{y}z + x\bar{y}\bar{z} + \bar{x}yz + \bar{x}\bar{y}z + \bar{x}\bar{y}\bar{z}$

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$x$	1	1	1	1
$\bar{x}$	1		1	1

$$F = x + \bar{y} + z$$

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## K-Map in three variables

$$d) xy\bar{z} + x\bar{y}\bar{z} + \bar{x}\bar{y}z + \bar{x}y\bar{z}$$

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$x$		1	1	
$\bar{x}$			1	1

$$F = x\bar{z} + \bar{y}\bar{z} + \bar{x}\bar{y}$$

The prime implicant  $\bar{y}\bar{z}$  is not essential because the cells it covers are covered by other prime implicants.

$$F = x\bar{z} + \bar{x}\bar{y}$$

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## K-Map in four variables

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$				
$w\bar{x}$				
$\bar{w}\bar{x}$				
$\bar{w}x$				

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$	1			1
$w\bar{x}$				
$\bar{w}\bar{x}$				
$\bar{w}x$	1			1

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## K-Map in four variables

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$				
$w\bar{x}$				
$\bar{w}\bar{x}$	1	1	1	1
$\bar{w}x$				

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$		1	1	
$w\bar{x}$		1	1	
$\bar{w}\bar{x}$		1	1	
$\bar{w}x$		1	1	

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## K-Map in four variables

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$				
$w\bar{x}$				
$\bar{w}\bar{x}$	1			1
$\bar{w}x$				


	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$		1	1	
$w\bar{x}$				
$\bar{w}\bar{x}$				
$\bar{w}x$		1	1	

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	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$	<b>1</b>		<b>1</b>	<b>1</b>
$w\bar{x}$		<b>1</b>		<b>1</b>
$\bar{w}\bar{x}$				
$\bar{w}x$				

	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$		<b>1</b>		<b>1</b>
$w\bar{x}$	<b>1</b>			
$\bar{w}\bar{x}$		<b>1</b>		<b>1</b>
$\bar{w}x$				<b>1</b>

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	$yz$	$y\bar{z}$	$\bar{y}\bar{z}$	$\bar{y}z$
$wx$	1	1		1
$w\bar{x}$			1	1
$\bar{w}\bar{x}$		1		1
$\bar{w}x$				1


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# Sets

Chapter 2.1 – 2.2 in the textbook



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## Definition

A set is an unordered collection of objects, called elements or members of the set.

Notation  $A = \{a_1, a_2, \dots, a_n\}$

We write  $a \in A$  to denote that  $a$  is an element of the set  $A$ .

The notation  $a \notin A$  denotes that  $a$  is not an element of the set  $A$

Order of elements is meaningless.

It does not matter how often the same element is listed.

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## Set Examples

“Standard” Sets:

Natural numbers  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$

Integers  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

Positive Integers  $\mathbb{Z}^+ = \{1, 2, 3, 4, \dots\}$

Real Numbers  $\mathbb{R} = \{47.3, -12, \pi, \dots\}$

Rational Numbers  $\mathbb{Q} = \{1.5, 2.6, -3.8, 15, \dots\}$

(correct definition will follow)

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## Set Examples

$$A = \emptyset$$

“empty set/null set”

$$A = \{z\}$$

Note:  $z \in A$ , but  $z \neq \{z\}$

$$A = \{\{b, c\}, \{c, x, d\}\}$$

$$A = \{\{x, y\}\}$$

Note:  $\{x, y\} \in A$ , but  $\{x, y\} \neq \{\{x, y\}\}$

$$A = \{x \mid P(x)\}$$

“set of all  $x$  such that  $P(x)$ ”

$$A = \{x \mid x \in \mathbb{N} \wedge x > 7\} = \{8, 9, 10, \dots\}$$

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## Set Examples

We are now able to define the set of rational numbers  $\mathbb{Q}$ :

$$\mathbb{Q} = \{a/b \mid a \in \mathbb{Z} \wedge b \in \mathbb{Z}^+\} \text{ or } \mathbb{Q} = \{a/b \mid a \in \mathbb{Z} \wedge b \in \mathbb{Z} \wedge b \neq 0\}$$

And how about the set of real numbers  $\mathbb{R}$  ?

$$\mathbb{R} = \{r \mid r \text{ is a real number}\}$$

That is the best we can do.

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## Set representations

### Roster Form

- All elements of the set are listed in-between curly brackets

### Statement Form

- The well-defined descriptions of a member of a set  
E.g., "The set of even numbers less than 20"

### Set Builder Form

- The general form is  $A = \{x : \textit{property}\}$

### Venn Diagram

- The simple and best way for visualized representation of sets.

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## Set Equality

Sets  $A$  and  $B$  are equal if and only if they contain exactly the same elements.

$$A = \{9, 2, 7, -3\}, B = \{7, 9, -3, 2\}: \quad A = B$$

Examples:

$$\begin{aligned} A &= \{\textit{dog}, \textit{cat}, \textit{horse}\}, & A &\neq B \\ B &= \{\textit{cat}, \textit{horse}, \textit{squirrel}, \textit{dog}\} \end{aligned}$$

$$A = \{\textit{dog}, \textit{cat}, \textit{horse}\}, \quad B = \{\textit{cat}, \textit{horse}, \textit{dog}, \textit{dog}\} \quad A = B$$

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## Subsets

$A \subseteq B$       “A is a subset of B”

$A \subseteq B$       iff every element of A is also an element of B.

We can completely formalize this:  $A \subseteq B \Leftrightarrow \forall x (x \in A \rightarrow x \in B)$

$A = \{3, 9\}, B = \{5, 9, 1, 3\}, \quad A \subseteq B ? \quad \text{true}$

Examples:

$A = \{3, 3, 3, 9\}, B = \{5, 9, 1, 3\}, \quad A \subseteq B ? \quad \text{true}$

$A = \{1, 2, 3\}, B = \{2, 3, 4\}, \quad A \subseteq B ? \quad \text{false}$

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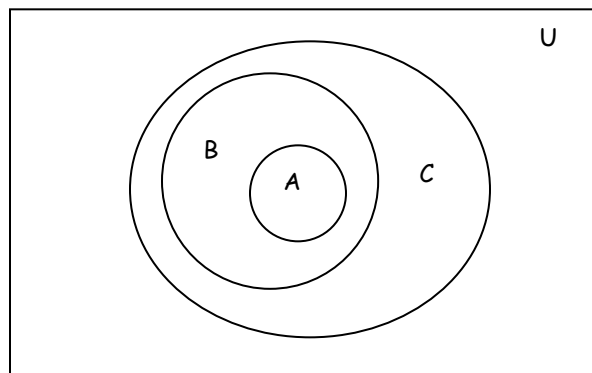
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## Subsets

- Useful rules:  $A = B \Leftrightarrow (A \subseteq B) \wedge (B \subseteq A)$ ;  $(A \subseteq B) \wedge (B \subseteq C) \Rightarrow A \subseteq C$   
(see Venn Diagram)



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## Subsets

- **Useful rules:**

$\emptyset \subseteq A$  for any set  $A$

$A \subseteq A$  for any set  $A$

- **Proper subsets:**

$A \subset B$  "A is a proper subset of B"

$A \subset B \Leftrightarrow \forall x (x \in A \rightarrow x \in B) \wedge \exists x (x \in B \wedge x \notin A)$

or

$A \subset B \Leftrightarrow \forall x (x \in A \rightarrow x \in B) \wedge \neg \forall x (x \in B \rightarrow x \in A)$

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## Cardinality of Sets

If a set  $S$  contains  $n$  distinct elements,  $n \in \mathbb{N}$ , we call  $S$  a **finite set** with **cardinality  $n$** . We write  $|S| = n$

Examples:

$A = \{\text{Mercedes, BMW, Porsche}\},$

$|A| = 3$

$B = \{1, \{2, 3\}, \{4, 5\}, 6\}$

$|B| = 4$

$C = \emptyset$

$|C| = 0$

$D = \{x \in \mathbb{N} \mid x \leq 7000\}$

$|D| = 7001$

$E = \{x \in \mathbb{N} \mid x \geq 7000\}$

$E$  is infinite!

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## The Power Set

- The power set is the set of all subsets of the given set  $A$ .

- We write:

$$2^A \text{ or } \mathcal{P}(A) \quad \text{“power set of } A\text{”}$$

$$2^A = \{B \mid B \subseteq A\} \quad (\text{contains all subsets of } A)$$

- Examples:

$$A = \{x, y, z\}$$

$$2^A = \{\emptyset, \{x\}, \{y\}, \{z\}, \{x, y\}, \{x, z\}, \{y, z\}, \{x, y, z\}\}$$

$$A = \emptyset$$

$$2^A = \{\emptyset\}$$

$$\text{Note: } |A| = 0, |2^A| = 1$$

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## The Power Set

- Cardinality of power sets:

$$|2^A| = 2^{|A|}$$

Imagine each element in  $A$  has an “on/off” switch

Each possible switch configuration in  $A$  corresponds to one element in  $2^A$

A	1	2	3	4	5	6	7	8
x	x	x	x	x	<b>x</b>	<b>x</b>	<b>x</b>	<b>x</b>
y	y	y	<b>y</b>	<b>y</b>	y	y	<b>y</b>	<b>y</b>
z	z	<b>z</b>	z	<b>z</b>	z	<b>z</b>	z	<b>z</b>

For 3 elements in  $A$ , there are  $2 \times 2 \times 2 = 8$  elements in  $2^A$

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## Cartesian Product

- The ordered  $n$ -tuple  $(a_1, a_2, \dots, a_n)$  is an ordered collection of objects.
- Two ordered  $n$ -tuples  $(a_1, a_2, \dots, a_n)$  and  $(b_1, b_2, \dots, b_n)$  are equal if and only if they contain exactly the same elements in the same order, i.e.,  $a_i = b_i$  for  $1 \leq i \leq n$ .

The Cartesian product of two sets is defined as:

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

Example:  $A = \{x, y\}$ ,  $B = \{a, b, c\}$

$$A \times B = \{(x, a), (x, b), (x, c), (y, a), (y, b), (y, c)\}$$

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## Cartesian Product

- Note that:

- $A \times \emptyset = \emptyset$
- $\emptyset \times A = \emptyset$
- For non-empty sets  $A$  and  $B$ :  $A \neq B \Leftrightarrow A \times B \neq B \times A$
- $|A \times B| = |A| \cdot |B|$

- The Cartesian product of two or more sets is defined as:

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i \text{ for } 1 \leq i \leq n\}$$

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## Partitions

### Definition:

A partition of a set  $S$  is a collection of disjoint nonempty subsets of  $S$  that have  $S$  as their union. In other words, the collection of subsets  $A_i, i \in I$ , forms a partition of  $S$  if and only if:

- 1)  $A_i \neq \emptyset$  for  $i \in I$
- 2)  $A_i \cap A_j = \emptyset$  if  $i \neq j$
- 3)  $\bigcup_{i \in I} A_i = S$

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## Partitions

Examples: Let  $S$  be the set  $\{u, m, b, r, o, c, k, s\}$ . Do the following collections of sets partition  $S$  ?

$\{\{m, o, c, k\}, \{r, u, b, s\}\}$	yes.
$\{\{c, o, m, b\}, \{u, s\}, \{r\}\}$	no (k is missing).
$\{\{b, r, o, c, k\}, \{m, u, s, t\}\}$	no (t is not in S).
$\{\{u, m, b, r, o, c, k, s\}\}$	yes.
$\{\{b, o, r, k\}, \{r, u, m\}, \{c, s\}\}$	no (r is in two sets).
$\{\{u, m, b\}, \{r, o, c, k, s\}, \emptyset\}$	no ( $\emptyset$ is not allowed).

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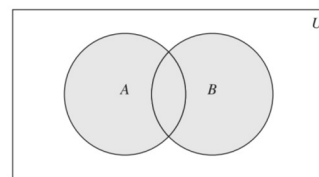


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## Set Operations

- Union:  $A \cup B = \{x \mid x \in A \vee x \in B\}$

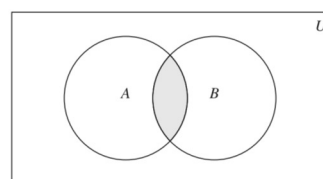
E.g.,  $A = \{a, b\}$ ,  $B = \{b, c, d\}$   $A \cup B = \{a, b, c, d\}$



$A \cup B$  is shaded.

- Intersection:  $A \cap B = \{x \mid x \in A \wedge x \in B\}$

E.g.,  $A = \{a, b\}$ ,  $B = \{b, c, d\}$   $A \cap B = \{b\}$



$A \cap B$  is shaded.

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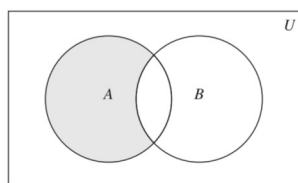
## Set Operations

- Two sets are called disjoint if their intersection is empty, that is, they share no elements:

$$A \cap B = \emptyset$$

- The difference between two sets  $A$  and  $B$  contains exactly those elements of  $A$  that are not in  $B$ :

$$A - B = \{x \mid x \in A \wedge x \notin B\}$$



$A - B$  is shaded.

Example:  $A = \{1, 2\}$ ,  $B = \{2, 4, 6\}$ ,  $A - B = \{1\}$

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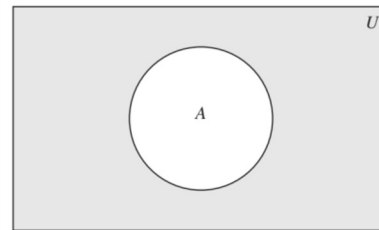


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## Set Operations

- The complement of a set  $A$  contains exactly those elements under consideration that are not in  $A$ :

$$\bar{A} = U - A$$



$\bar{A}$  is shaded.

Example:  $U = \mathbb{N}$ ,  $B = \{250, 251, 252, \dots\}$   
 $\bar{B} = \mathbb{N} - B = \{0, 1, 2, \dots, 248, 249\}$

- Table 1 in Section 2.2 (8th edition) shows many useful equations for set identities.

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<i>Identity</i>	<i>Name</i>
$A \cap U = A$ $A \cup \emptyset = A$	Identity laws
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws
$A \cup A = A$ $A \cap A = A$	Idempotent laws
$\overline{(\bar{A})} = A$	Complementation law
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws
$A \cup (B \cap C) = (A \cup B) \cap C$ $A \cap (B \cup C) = (A \cap B) \cup C$	Associative laws
$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$	Distributive laws
$\overline{A \cap B} = \bar{A} \cup \bar{B}$ $\overline{A \cup B} = \bar{A} \cap \bar{B}$	De Morgan's laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption laws
$A \cup \bar{A} = U$ $A \cap \bar{A} = \emptyset$	Complement laws

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## Set Operations

- How can we prove  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ ?

- Method 1:

$$\begin{aligned}
 & x \in A \cup (B \cap C) \\
 \Leftrightarrow & x \in A \vee x \in (B \cap C) \\
 \Leftrightarrow & x \in A \vee (x \in B \wedge x \in C) \\
 \Leftrightarrow & (x \in A \vee x \in B) \wedge (x \in A \vee x \in C) \\
 & \text{(distributive law for logical expressions)} \\
 \Leftrightarrow & x \in (A \cup B) \wedge x \in (A \cup C) \\
 \Leftrightarrow & x \in (A \cup B) \cap (A \cup C)
 \end{aligned}$$

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## Set Operations

### Method 2: Membership table

1 means “x is an element of this set”, 0 means “x is not an element of this set”

A	B	C	$B \cap C$	$A \cup (B \cap C)$	$A \cup B$	$A \cup C$	$(A \cup B) \cap (A \cup C)$
0	0	0	0	0	0	0	0
0	0	1	0	0	0	1	0
0	1	0	0	0	1	0	0
0	1	1	1	1	1	1	1
1	0	0	0	1	1	1	1
1	0	1	0	1	1	1	1
1	1	0	0	1	1	1	1
1	1	1	1	1	1	1	1

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## Set Operations

- Method 3: Apply existing Set identities
- Take-Home message:  
Every logical expression can be transformed into an equivalent expression in set theory and vice versa.

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## Exercises

- Question 1:  
Given a set  $A = \{x, y, z\}$  and a set  $B = \{1, 2, 3, 4\}$ ,  
what is the value of  $|2^A \times 2^B|$ ?
- Question 2:  
Is it true for all sets  $A$  and  $B$  that  $(A \times B) \cap (B \times A) = \emptyset$ ?  
Or do  $A$  and  $B$  have to meet certain conditions?
- Question 3:  
For any two sets  $A$  and  $B$ , if  $A - B = \emptyset$  and  $B - A = \emptyset$ , can we conclude that  $A = B$ ? Why or why not?

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## Exercises

- Question 1:

Given a set  $A = \{x, y, z\}$  and a set  $B = \{1, 2, 3, 4\}$ ,  
what is the value of  $|2^A \times 2^B|$  ?

Answer:

$$|2^A \times 2^B| = |2^A| \cdot |2^B| = 2^{|A|} \cdot 2^{|B|} = 8 \cdot 16 = 128$$

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## Exercises

- Question 2:

Is it true for all sets  $A$  and  $B$  that  $(A \times B) \cap (B \times A) = \emptyset$  ?  
Or do  $A$  and  $B$  have to meet certain conditions?

Answer:

If  $A$  and  $B$  share at least one element  $x$ , then both  $(A \times B)$  and  $(B \times A)$   
contain the pair  $(x, x)$  and thus are not disjoint.

Therefore, for the above equation to be true, it is necessary that  
 $A \cap B = \emptyset$ .

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## Exercises

### Question 3:

For any two sets  $A$  and  $B$ , if  $A - B = \emptyset$  and  $B - A = \emptyset$ , can we conclude that  $A = B$ ? Why or why not?

### Answer:

Proof by contradiction: Assume that  $A \neq B$ .

Then there must be either an element  $x$  such that  $x \in A$  and  $x \notin B$  or an element  $y$  such that  $y \in B$  and  $y \notin A$ .

If  $x$  exists, then  $x \in (A - B)$ , and thus  $A - B \neq \emptyset$ .

If  $y$  exists, then  $y \in (B - A)$ , and thus  $B - A \neq \emptyset$ .

This contradicts the premise  $A - B = \emptyset$  and  $B - A = \emptyset$ , and therefore we can conclude  $A = B$ .

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# Functions

Chapter 2.3 in the textbook



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## Functions

- A function  $f$  from a set  $A$  to a set  $B$  is an assignment of exactly one element of  $B$  to each element of  $A$ .
- We write:  $f(a) = b$   
if  $b$  is the unique element of  $B$  assigned by the function  $f$  to the element  $a$  of  $A$ .

If  $f$  is a function from  $A$  to  $B$ , we write  $f: A \rightarrow B$   
(note: Here, " $\rightarrow$ " has nothing to do with if... then)

## Terminologies

If  $f: A \rightarrow B$ , we say that  $A$  is the domain of  $f$  and  $B$  is the codomain of  $f$ .

If  $f(a) = b$ , we say that  $b$  is the image of  $a$  and  $a$  is the pre-image of  $b$ .

The range of  $f: A \rightarrow B$  is the set of all images of elements of  $A$ .

We say that  $f: A \rightarrow B$  maps  $A$  to  $B$ .



## Functions

Let us take a look at the function  $f: P \rightarrow C$  with

$$P = \{Linda, Max, Kathy, Peter\}$$

$$C = \{Boston, New York, Hong Kong, Moscow\}$$

$$f(Linda) = Moscow$$

$$f(Max) = Boston$$

$$f(Kathy) = Hong Kong$$

$$f(Peter) = New York$$

Here, the range of  $f$  is  $C$ .

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## Functions

- Let us re-specify  $f$  as follows:

$$f(Linda) = Moscow$$

$$f(Max) = Boston$$

$$f(Kathy) = Hong Kong$$

$$f(Peter) = Boston$$

What is its range?    {Moscow, Boston, Hong Kong}

- Is  $f$  still a function?    yes

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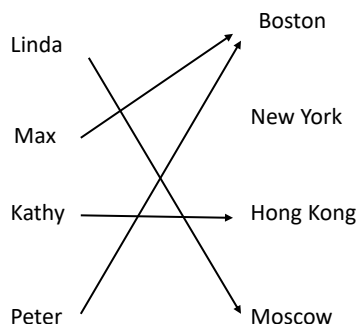


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## Functions

- Other ways to represent  $f$ :

$x$	$f(x)$
Linda	Moscow
Max	Boston
Kathy	Hong Kong
Peter	Boston



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## Functions

- If the domain of our function  $f$  is large, it is convenient to specify  $f$  with a formula, e.g.,

$$f: \mathbb{R} \rightarrow \mathbb{R}$$

$$f(x) = 2x$$

- This leads to:

$$f(1) = 2$$

$$f(3) = 6$$

$$f(-3) = -6$$

...

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## Functions

- Let  $f_1$  and  $f_2$  be functions from  $A$  to  $\mathbb{R}$ .
- Then the sum and the product of  $f_1$  and  $f_2$  are also functions from  $A$  to  $\mathbb{R}$  defined by:

$$(f_1 + f_2)(x) = f_1(x) + f_2(x)$$

$$(f_1 f_2)(x) = f_1(x) f_2(x)$$

Example:

$$f_1(x) = 3x, f_2(x) = x + 5$$

$$(f_1 + f_2)(x) = f_1(x) + f_2(x) = 3x + x + 5 = 4x + 5$$

$$(f_1 f_2)(x) = f_1(x) f_2(x) = 3x(x + 5) = 3x^2 + 15x$$

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## Functions

- We already know that the range of a function  $f: A \rightarrow B$  is the set of all images of elements  $a \in A$ .
- If we only regard a subset  $S \subseteq A$ , the set of all images of elements  $s \in S$  is called the image of  $S$ .
- We denote the image of  $S$  by  $f(S)$ :

$$f(S) = \{f(s) \mid s \in S\}$$

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## Functions

- Let us look at the following well-known function:

$$f(\text{Linda}) = \text{Moscow}$$

$$f(\text{Max}) = \text{Boston}$$

$$f(\text{Kathy}) = \text{Hong Kong}$$

$$f(\text{Peter}) = \text{Boston}$$

- What is the image of  $S = \{\text{Linda}, \text{Max}\}$  ?

$$f(S) = \{\text{Moscow}, \text{Boston}\}$$

- What is the image of  $S = \{\text{Max}, \text{Peter}\}$  ?

$$f(S) = \{\text{Boston}\}$$

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## Properties of Functions

- A function  $f: A \rightarrow B$  is said to be one-to-one (or injective), if and only if

$$\forall x, y \in A (f(x) = f(y) \rightarrow x = y)$$

- In other words:  $f$  is one-to-one if and only if it does not map two distinct elements of  $A$  onto the same element of  $B$ .

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## Properties of Functions

- And again...

$f(\text{Linda}) = \text{Moscow}$

$f(\text{Max}) = \text{Boston}$

$f(\text{Kathy}) = \text{Hong Kong}$

$f(\text{Peter}) = \text{Boston}$

$g(\text{Linda}) = \text{Moscow}$

$g(\text{Max}) = \text{Boston}$

$g(\text{Kathy}) = \text{Hong Kong}$

$g(\text{Peter}) = \text{New York}$

Is  $g$  one-to-one?

- Is  $f$  one-to-one?

Yes, each element is assigned a unique element of the image.

- No, Max and Peter are mapped onto the same element of the image.

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## Properties of Functions

- How can we prove that a function  $f$  is one-to-one?
- Whenever you want to prove something, first take a look at the relevant definition(s):

$$\forall x, y \in A (f(x) = f(y) \rightarrow x = y)$$

- Example:

$$f: \mathbb{R} \rightarrow \mathbb{R}$$

$$f(x) = x^2$$

- Disproof by counterexample:

$$f(3) = f(-3), \text{ but } 3 \neq -3, \text{ so } f \text{ is not one-to-one.}$$

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## Properties of Functions

- ... and yet another example:

$$f: \mathbb{R} \rightarrow \mathbb{R}$$

$$f(x) = 3x$$

- One-to-one:  $\forall x, y \in A (f(x) = f(y) \rightarrow x = y)$

To show:  $f(x) \neq f(y)$  whenever  $x \neq y$

$$x \neq y$$

$$\Leftrightarrow 3x \neq 3y$$

$$\Leftrightarrow f(x) \neq f(y),$$

- so if  $x \neq y$ , then  $f(x) \neq f(y)$ , that is,  $f$  is one-to-one.

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## Properties of Functions

- A function  $f: A \rightarrow B$  with  $A, B \subseteq \mathbb{R}$  is called strictly increasing, if

$$\forall x, y \in A (x < y \rightarrow f(x) < f(y)),$$

- and strictly decreasing, if  $\forall x, y \in A (x < y \rightarrow f(x) > f(y))$ .

- Obviously, a function that is either strictly increasing or strictly decreasing is one-to-one.

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## Properties of Functions

- A function  $f: A \rightarrow B$  is called onto, or surjective, if and only if for every element  $b \in B$  there is an element  $a \in A$  with  $f(a) = b$ .
- In other words,  $f$  is onto if and only if its range is its entire codomain.
- A function  $f: A \rightarrow B$  is a one-to-one correspondence, or a bijection, if and only if it is both one-to-one and onto.
- Obviously, if  $f$  is a bijection and  $A$  and  $B$  are finite sets, then  $|A| = |B|$ .

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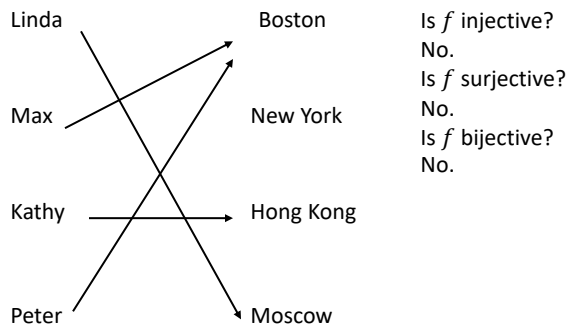


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## Properties of Functions

Examples:

In the following examples, we use the arrow representation to illustrate functions  $f: A \rightarrow B$ . In each example, the complete sets  $A$  and  $B$  are shown.



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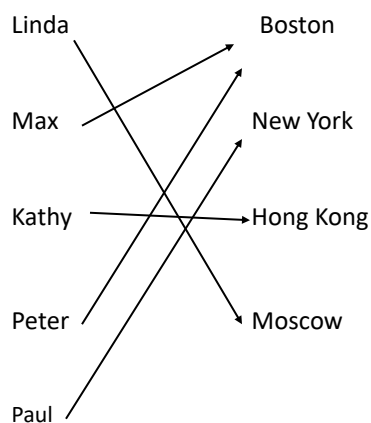
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## Properties of Functions

- Is  $f$  injective?
  - No.
- Is  $f$  surjective?
  - Yes.
- Is  $f$  bijective?
  - No.



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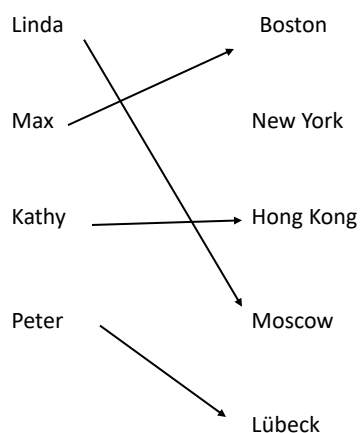
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## Properties of Functions

- Is  $f$  injective?
  - Yes.
- Is  $f$  surjective?
  - No.
- Is  $f$  bijective?
  - No.



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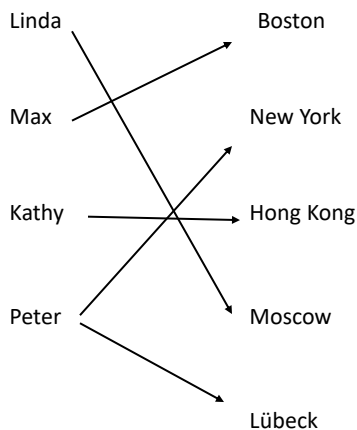


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## Properties of Functions

- Is  $f$  injective?
  - No!  $f$  is not even a function!



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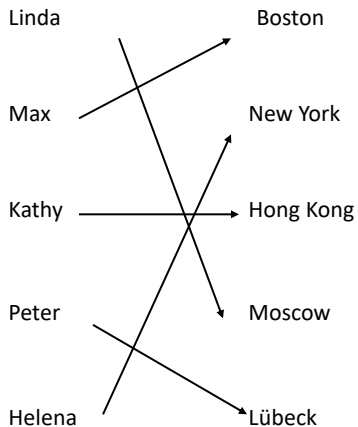
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## Properties of Functions

- Is  $f$  injective?
  - Yes.
- Is  $f$  surjective?
  - Yes.
- Is  $f$  bijective?
  - Yes.



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## Inversion

- An interesting property of bijections is that they have an inverse function.
- The inverse function of the bijection  $f: A \rightarrow B$  is the function  $f^{-1}: B \rightarrow A$  with  $f^{-1}(b) = a$  whenever  $f(a) = b$ .

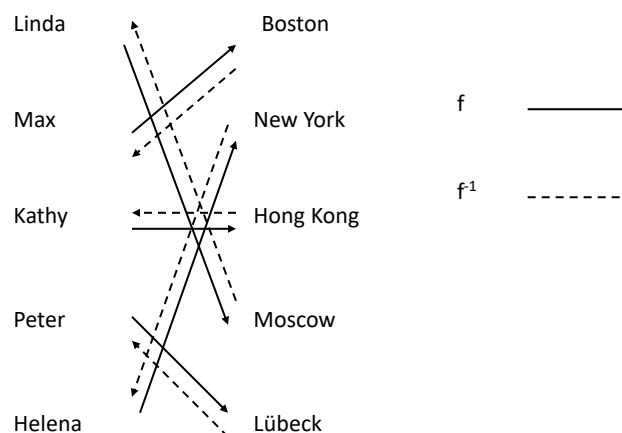
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## Inversion



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# Inversion

Example:

$f(\text{Linda}) = \text{Moscow}$   
 $f(\text{Max}) = \text{Boston}$   
 $f(\text{Kathy}) = \text{Hong Kong}$   
 $f(\text{Peter}) = \text{Lübeck}$   
 $f(\text{Helena}) = \text{New York}$

Clearly,  $f$  is bijective.

The inverse function  $f^{-1}$  is given by:

$f^{-1}(\text{Moscow}) = \text{Linda}$   
 $f^{-1}(\text{Boston}) = \text{Max}$   
 $f^{-1}(\text{Hong Kong}) = \text{Kathy}$   
 $f^{-1}(\text{Lübeck}) = \text{Peter}$   
 $f^{-1}(\text{New York}) = \text{Helena}$

Inversion is only possible for bijections  
(= invertible functions)

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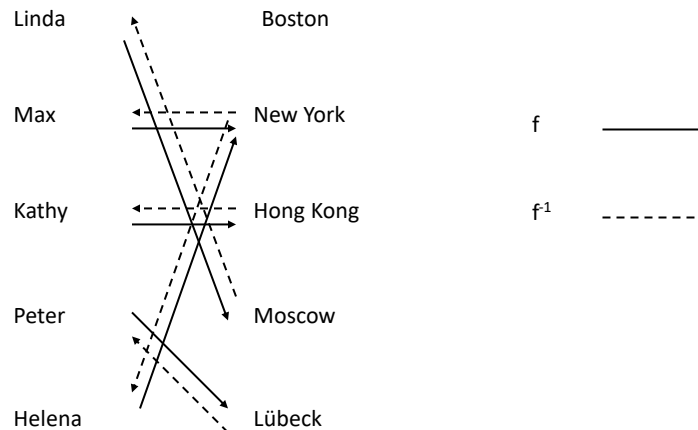
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# Inversion

$f^{-1}: C \rightarrow P$  is no function, because it is not defined for all elements of  $C$  and assigns two images to the pre-image New York.



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## Composition

The **composition** of two functions  $g: A \rightarrow B$  and  $f: B \rightarrow C$ , denoted by  $f \circ g$ , is defined by:

$$(f \circ g)(a) = f(g(a))$$

**This means that**

**first**, function  $g$  is applied to element  $a \in A$ , mapping it onto an element of  $B$ ,

**then**, function  $f$  is applied to this element of  $B$ , mapping it onto an element of  $C$ .

**Therefore**, the composite function maps from  $A$  to  $C$ .

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## Composition

• Example:

$$f(x) = 7x - 4, g(x) = 3x,$$

$$f: \mathbb{R} \rightarrow \mathbb{R}, g: \mathbb{R} \rightarrow \mathbb{R}$$

$$(f \circ g)(5) = f(g(5)) = f(15) = 105 - 4 = 101$$

$$(f \circ g)(x) = f(g(x)) = f(3x) = 21x - 4$$

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## Composition

- Composition of a function and its inverse:

$$(f^{-1} \circ f)(x) = f^{-1}(f(x)) = x$$

- The composition of a function and its inverse is the identity function  $i(x) = x$ .

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## The Graphs of Functions

- The graph of a function  $f: A \rightarrow B$  is the set of ordered pairs  $\{(a, b) \mid a \in A \text{ and } f(a) = b\}$ .
- The graph is a subset of  $A \times B$  that can be used to visualize  $f$  in a two-dimensional coordinate system.

**Example:** The graph of the function  $f(x) = 2n + 1$  and the function  $f(x) = x^2$  when  $n$  is an interger



FIGURE 8 The graph of  $f(n) = 2n + 1$  from  $\mathbb{Z}$  to  $\mathbb{Z}$ .

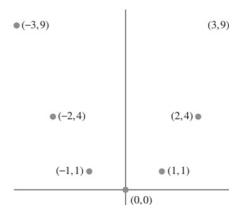


FIGURE 9 The graph of  $f(x) = x^2$  from  $\mathbb{Z}$  to  $\mathbb{Z}$ .

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## Floor and Ceiling Functions

The floor and ceiling functions map the real numbers onto the integers ( $\mathbb{R} \rightarrow \mathbb{Z}$ ).

The floor function assigns to  $r \in \mathbb{R}$  the largest  $z \in \mathbb{Z}$  with  $z \leq r$ , denoted by  $\lfloor r \rfloor$ .

- Examples:  $\lfloor 2.3 \rfloor = 2, \lfloor 2 \rfloor = 2, \lfloor 0.5 \rfloor = 0, \lfloor -3.5 \rfloor = -4$

The ceiling function assigns to  $r \in \mathbb{R}$  the smallest  $z \in \mathbb{Z}$  with  $z \geq r$ , denoted by  $\lceil r \rceil$ .

- Examples:  $\lceil 2.3 \rceil = 3, \lceil 2 \rceil = 2, \lceil 0.5 \rceil = 1, \lceil -3.5 \rceil = -3$

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## Sequences

- Sequences represent ordered lists of elements.
- A sequence is defined as a function from a subset of  $\mathbb{N}$  to a set  $S$ . We use the notation  $a_n$  to denote the image of the integer  $n$ . We call  $a_n$  a term of the sequence.

Example:

	↓	↓	↓	↓	↓	
subset of $\mathbb{N}$ :	1	2	3	4	5	...
S:	2	4	6	8	10	...

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## Sequences

- We use the notation  $\{a_n\}$  to describe a sequence.
- Important: Do not confuse this with the  $\{\}$  used in set notation.
- It is convenient to describe a sequence with an **equation**.
- For example, the sequence on the previous slide can be specified as  $\{a_n\}$ , where  $a_n = 2n$ .

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## The Equation Game

What are the equations that describe the following sequences  $a_1, a_2, a_3, \dots$  ?

$$1, 3, 5, 7, 9, \dots \quad a_n = 2n - 1$$

$$-1, 1, -1, 1, -1, \dots \quad a_n = (-1)^n$$

$$2, 5, 10, 17, 26, \dots \quad a_n = n^2 + 1$$

$$0.25, 0.5, 0.75, 1, 1.25, \dots \quad a_n = 0.25n$$

$$3, 9, 27, 81, 243, \dots \quad a_n = 3^n$$

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