

Feasibility Study For Automatic Calibration Of Transportation Simulation Models

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Abstract

This paper presents a high-tech solution to meet the challenges in calibrating transportation simulation models. Like any simulation software, model calibration prior to its application plays a crucial role in producing reliable results. However, transportation professionals face difficulties in performing the daunting tasks of calibrating a model for each transportation network design to satisfy the targeted traffic flow demand, especially during data collection and distillation. Our innovative approach utilizes sensor and geography networking technology to seamlessly collect data about real world network, traffic, and driver behavior. This data is then distilled as needed by data mining before feeding the data to a simulation model. The data is validated automatically to instantaneously reflect the real world and to avoid typographical errors often involved with human intervention, resulting in a more accurate model.

We conduct a feasibility study for our vision of model calibration automation. The research flexes multidisciplinary expertise in traffic flow simulation, geosciences, sensing/networking, and knowledge discovery. As a proof of concept, we implement a prototype that demonstrates how to convert sensor data about traffic flow collected by a state department of transportation into a format taken by CORSIM, a popular traffic simulation model. A running example shows encouraging results.

1. INTRODUCTION

Transportation simulation tools have been used intensively by transportation professionals to aid their decision making of modifying or building infrastructures for increased traffic capacity and improved level of service. Computer models capture the real world by the environmental characteristics such as the number of lanes on a highway and by the traffic flow at microscopic or

macroscopic views. However, there is a wide consensus that traffic models, often not properly calibrated or validated with real-world traffic conditions, produce unrealistic or misleading results. Without model calibration to validate that the base-year model reproduces the existing traffic conditions with an acceptable accuracy, any transportation simulation tool would fail to predict the future for a sound design-year model. Transportation professionals such as those in Wisconsin Department of Transportation (DOT) recently issued a guideline that assesses a model with realism tests in terms of mathematical targets and overall traffic patterns [Wisconsin DOT 2010].

Model calibration/validation accompanies a model life cycle as a part of testing processes to compare the model with actual system behavior and to improve the model iteratively. A model life cycle contains four stages: *Problem Definition* to collect data on the real system behavior and to identify system's inputs/outputs, *Model Conceptualization* to design the system structure associating inputs/outputs and to develop theories explaining the system behavior, *Model Implementation* to computerize the conceptual model on a platform with a programming language, and *Model Application* to assist transportation professionals with solving real-world problems or making decisions. Specifically, model calibration should be done after Model Implementation and before Model Application, where various parameters in model structure are adjusted to reach an acceptable level of model accuracy [Ni et al 2004]. Model developers, model users, and decision makers should be aware of a spectrum of traffic flow modeling. This includes multiple scales such as macroscopic view of traffic operation in a region and microscopic view with details on vehicles interacting with each other to maintain safe positions in traffic stream [Ni 2010].

Traffic data collection coordinating within geographic environment plays an important role during the entire life cycle of modeling. It serves as the basis for the first stage, Problem Definition, continues to guide the rest of the stages, and highlights model calibration at the fourth stage, Model Application. Deploying different technology for traffic data collection and traffic processes has found wide applications in transportation operation and management. For example,

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Maryland DOT has piloted a real-time sensor web (integrating satellite remote sensing, low cost UAVs, fleet tracking devices, individual vehicle GPS collections, in addition to geo-based anchored sensors and traffic monitoring cameras) to infer traffic flow and enable dynamic pricing schemes to mitigate congestion [Halem 2007]. We envision automatic calibration of transportation simulation models by collecting traffic data automatically with sensor technology, transferring them seamlessly with wireless communication and the Internet, and using data mining to discover traffic patterns ready for use in model calibration.

In this paper, we propose a novel approach to calibrate transportation simulation models towards automation by seamless traffic data collection and processing using sensor technology, geography networking, and knowledge discovery. Currently, we report the results of our feasibility study. We begin with a historical perspective of transportation simulation over fifty years followed by an analysis of challenges in model calibration. We then present our solution to the challenges that integrates network simulator, traffic simulator, and driver simulator with geography technology, wireless sensor network, and data mining to fuse the real world transportation in its computer model. A quick prototype proves the concept. Finally, we conclude our study and identify the future work.

2. TRANSPORTATION SIMULATION REVIEW

There exists a wealth of literature in traffic flow modeling and simulation and, consequently, only a small portion is cited here to establish the state of the art. Omission of other work is not meant to imply that it is irrelevant or unimportant.

Generally, traffic simulation has been pursued at three levels: macroscopic, mesoscopic, and microscopic. Representing one extreme of the spectrum, macroscopic simulation models traffic flow as a one-dimensional compressible fluid where disturbances in traffic propagate like waves. Fundamental to this type of simulation is the conservation law. The first-order form of this law is mass/vehicle conservation, a model based on which is called a first-order model. In addition to vehicles, other quantities such as momentum and energy can also be conserved. A model is of higher-order if it incorporates the latter types of conservation. Considering the limited benefit offered by higher-order models may not justify their added complexity, efforts of numerical solutions and simulators were mainly centered on first-order models. The following are some examples of macroscopic simulators: KRONOS, KWaves, CTM, FREFLO, FREQ, and CORQ. Macroscopic simulation can model a very large (geographical) scope but with a low modeling fidelity.

The next level is mesoscopic simulation. This type of model tries to model macroscopic behaviors of traffic flow

from the understanding of its microscopic basis and thus have to introduce a statistical approach - such as the kinetic theory. Though simulators of the kinetic type are rare, another type of mesoscopic simulator, TRANSIMS, is well-known. Rather than treating traffic as a continuous fluid, TRANSIMS models traffic as discrete particles without masses and personalities. These particles traverse a discretized time-space grid, hopping from one cell to another governed by some pre-defined local rules such as maximum speed constraints. Mesoscopic simulation represents a balance between modeling scope and fidelity.

Next in the spectrum is microscopic simulation which models driver-vehicle units as particles without masses but with personalities. The behaviors of these particles are governed by car-following, lane-changing, and gap-acceptance models. Several approaches have been identified in modeling car-following behavior, among which are stimulus-response models [Gazis et al 1961], desired measure models, psycho-physical models, intelligent driver model, and rule-based models. After continuous evolution and refinement over about half a century, some of these models have resulted in a variety of transportation simulators including CORSIM, VISSIM, INTEGRATION, Synchro, AIMSUN, and S-Paramics, just to name a few. Representing the current state of the art of traffic simulation, microscopic simulation can achieve a high modeling fidelity but with a limited modeling scope.

3. ISSUES IN MODEL CALIBRATION

A traffic simulation model is a computerized model which is created within a traffic simulator and meant to represent part of the real world system. One of the benefits of creating a computer model is to allow repeated testing of the target system in a safe and controllable environment which is otherwise infeasible in the real world. Consequently, the trustworthiness of the test results depends largely on how close the simulation model resembles its real world counterpart, and the procedure to ensure such resemblance is called *model calibration*.

Since a real world transportation system consists of roadways, vehicles, and drivers, the major task to calibrate a simulation model is to obtain or fine tune the sets of data that represent the above transportation components. More specifically, items to be calibrated typically include but are not necessarily limited to:

- Data that describe the transportation network: roadways, intersections, and traffic control devices. Details for roadways include number of lanes, speed limit, curvature, road type, etc.; details for intersections are intersection type (at grade, grade separated, and interchange), priority rules, number of approaches, and outlets; details of traffic control devices can be type of device (e.g. signals, signs, and markings), implied rules

(e.g. priority rules), and control logic (e.g. timing plans for signals).

- Data that describe the traffic: origin-destination (O-D) flows, traffic mix (types of vehicles in the traffic), and details of vehicles (e.g. power, mass, and dimension).
- Data that describe the driving population: the selection of underlying car-following, lane-changing, gap-acceptance, and route choice models and parameters within these models.

Challenges of traffic simulation model calibration are frequently brought about by data collection and distillation. More specifically, the following issues are identified in the process of model calibration:

- Resource consumption: Calibrating a simulation can be very time-consuming and labor-intensive. For example, field data collection can go anywhere from a few hours to many years, from one location to a regional network, and from one person to the collaboration and coordination of several teams.
- Calibration methodology: A conventional calibration process adjusts model parameters until reasonable resemblance between model and the real system is obtained. Such a process is not only tedious but also prone to subjective error. A more systematic approach would be to treat the process as an optimization problem which searches for the best fit between the model and its real world counterpart [Chu et al 2003].
- Measures of performance: This issue is related to how a calibration process is evaluated. For example, what indicates a successful calibration and when a model is sufficiently calibrated [Hellinga 1998].
- Data availability: Depending on the nature of the problem, the collection of the data that is required for calibration may be very difficult or sometimes simply infeasible. For example, the model involves many origins and destinations so that the determination of O-D flow becomes a daunting task. In addition, some simulation requires such a fine level of detail that it becomes very challenging to calibrate model parameters, e.g. the composition of road vehicles (including their static and dynamic properties) and driver population (including parameters that describe the perception and reaction process of each driver group). These problems can be even more challenging when the size of the network under analysis becomes large.
- Timing issue: A typical calibration process minimizes estimation errors (OD-matrix and/or parameters) and uses data obtained from a certain period of time in a typical day. However, this data may not represent a wide range of all likely demand conditions observed at a facility [Lee and Kaan 2008].

To address these issues, a number of calibration procedures are found [Jayakrishnan et al 2001], [Chu et al

2003], [Hourdakakis et al 2003], [Turley 2007], [Balakrishna et al 2007], [Lee and Kaan 2008]. In addition, some of them have provided techniques that are able to deal with one or more of the above issues.

4. PROPOSED SOLUTION FOR CALIBRATION

We propose a system to automate calibration of a microscopic traffic simulator by collecting data from real world transportation with sensor and geography networking technology and processing data for information retrieval. We choose CORSIM (Corridor-microscopic simulation program), developed by University of Florida, because CORSIM can act as a simulation engine invoked by other applications. Figure 1 depicts our system architecture. Three components – *Transportation Network* at right, *Traffic Flow* at bottom, and *Driving Population* at left – feed *CORSIM* information about roadway structures along with traffic control devices, number of cars per unit of time, and parameter distribution of car-following, lane-changing, gap-acceptance, and route choice. *Simulation Output* at top will loop back to compare with input for validation and tuning.

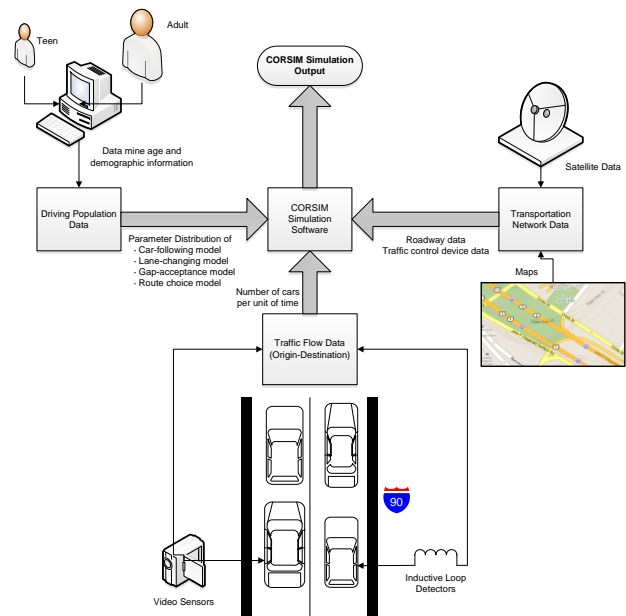


Figure 1. System Architecture

The following sections deal with issues and provide solutions for each of the components. Section 5 discusses the integration of GIS (Geographic Information System) to retrieve information about roadway structures and traffic control devices. Traffic flow is modeled from data collected with a variety of sensor technologies, presented in Section 6, and processed for traffic pattern recognition using data mining in Section 7. Apart from the current approaches of inferring driving population data from demographic statistics, we directly collect them from very high resolution

satellite or sensors with noise filtered out, which are also discussed in Sections 5, 6, and 7. In Section 8, we present a prototype that demonstrates the feasibility of our solution.

5. GEOGRAPHY INTEGRATION

Managing the sensing resources as well as interpreting the collected data requires a distributed infrastructure. A GIS based Multi-Agent Geo-Simulation architecture was proposed with the purpose of analyzing not only potential interactions between sensors but also with the real geographic environment where physical sensor are deployed [Mekni 2008]. At lower level, a GIS as the software platform is essential to reproduce real spatial data in the simulation environment. It actually serves as the spatial database, the functional background and the visual tool to supervise the geographic environment. The simulated environment is constructed from reliable GIS data, including raster/grid data (land cover, land use, elevation, etc) and vector data (transportation network).

ESRI ArcGIS supports a full structure of transportation data models using an object-oriented data modeling approach. Its transportation data model, UNETRANS (Unified Network for Transportation) developed by UC Santa Barbara provides best-practice templates for integrating static transportation network data and time-varying traffic flow data from a variety of sources, including characteristics of intersections, stop lines, signal timings, public transport stops, passenger flows, bus or transit lanes. In addition, ESRI ArcGIS Server 10 provides the ability to create, manage, and distribute GIS services over the Web to support desktop, mobile and Web mapping applications. It will assist in developing a large transportation infrastructure database with friendly Web access by decision makers, scientists, engineers and other users.

Aside from massive available GIS transportation data, remote sensing is a leading technology providing the update data at large spatial extent for many transportation applications, such as roadway delineation, traffic flow, road quality, and pollution assessment [Halem 2007]. The transportation application of remote sensing can be traced back to 80 years ago. In 1927, aerial photography was used to estimate traffic densities on a highway between Baltimore and Washington [Angel 2002]. Commercial satellite imaging has been available since late 1990s. In 1994, the U.S. government allowed civil commercial companies to market high spatial resolution remote sensor data. This resulted in the creation of a number of commercial consortia that have the capital necessary to create, launch, and market high spatial resolution digital remote sensor data. Since then, digital high spatial resolution remote sensing becomes increasingly available. The new sensors provide inexpensive and stable digital fine spatial resolution data from satellite platforms that enables better use of their applications which require a high level of detail, particularly transportation. The

most notable companies are DigitalGlobe, Inc. and GeoEye, Inc. Multi-spectral imagery can be obtained at spatial resolutions 1.65 m and the panchromatic imagery can be obtained at spatial resolution at 0.41 m (GeoEye-1).

6. SEAMLESS DATA COLLECTION

Transportation traffic can be measured by various types of sensors. Inductive loop detectors installed in pavement are the most widely used sensors. The disadvantage of these sensors is that they can be easily damaged and are hard to replace and maintain. Traffic detection using video cameras on overhead structure [Cheng 2005] is an alternative. The accuracy of traffic estimation in this approach depends heavily on the image processing techniques. Traffic counts by video cameras may be inaccurate because video sensing and content analysis could be affected by lighting changes, headlight reflection and rainy/snowy weather condition. In addition, specific requirements such as video cameras at heights above 25 feet limit their applications.

There is increasing interest in using non-intrusive sensors such as active/passive infrared, acoustic array sensors, and ultrasonic sensors in traffic monitoring applications. The costs of these sensors may be a problem. Microwave radar has gained the popularity due to its insensitiveness to weather condition and capability of multilane detection. However, microwave radar has limitations to detect low speed (less than 15 mph) moving objects such as vehicles, which especially degrades the accuracy of estimating traffic congestion.

Our system extracts traffic data from wireless/mobile sensors, classifies and stores in a well-defined format input to a traffic simulator for automatic calibration. Our previous work has demonstrated how static sensors such as Automatic Traffic Recorder (ATR) stations collect traffic data [Ni and Leonard 2004], [Ni 2010].

There are several challenges to seamlessly collecting traffic data in real-time from wireless/mobile sensors. The first challenge is the power limitation of wireless sensors. In many applications, replacing/recharging power supply is close to infeasible. One approach to overcome this obstacle is to use environmental energy such as solar energy. Topology design is another challenge for energy-efficient data collection. Network topology should be designed to minimize the power consumption and increase the throughput, and guarantee a uniform load distribution.

A new data collection approach using a mobile sink, in which the burden of data gathering is shifted from the sensor nodes to the mobile sink, results in improvements in energy efficiency and real-time performance. Mobile sink based approach is suitable for seamless transportation data collection in some applications. Energy supplies in mobile sinks are easily replaceable. It can be periodically returned to a support center for recharging, removing resource constraints. The sink can come in various forms ranging

from a man riding a vehicle to a robot programmed to visit the network. It travels according to some pre-determined or random schemes through the network and gathers data from sensor nodes. One drawback of using mobile sink is that the sensor nodes have to wait for the sink to visit the area before they can transmit their data. Infrequent visiting of a certain area can also result in increased data delivery delays. On the other hand, having a mobile sink traveling inside the network helps in collecting data from sensors located in physically remote or isolated regions.

In traffic applications, data collection patterns can be classified in three main categories.

- Periodic sensing: Sensors monitor a predefined variable and transmit data to the control sink continuously.
- Event-driven: Sensors send a message whenever they detect a pre-determined event.
- Query-based: Sensors transmit data packets after receiving a query from the control center.

7. DATA MINING FOR MODEL CALIBRATION

Traffic models should be properly calibrated with actual traffic behavior. However, prediction of models may deviate significantly from the ground truth without thorough understanding of the factors responsible for this discrepancy [Ni and Leonard 2004], [Ni 2010], [Lattner 2010].

To deal with the challenges of resource consumption and calibration methodology described in Section 3, we use association pattern mining to automatically identify controlling factors of model parameters associated with discrepancy between the model and ground truth. This improves traditional methods of manually adjusting model parameters to comply with the real system. Data mining yields a map of change between the two instances—actual and modeled—of the system. The potential drivers of model discrepancies are the model parameters. We then find controlling patterns of model parameters that discriminate between traffic flows for which there is a large discrepancy between the data predicted by the model and the actual traffic data. We summarize the patterns in super patterns of model parameters associated with discrepancy using our super-patterns concept. The goal of this evaluation is to provide model designers with controlling factors (super patterns) of model parameters associated with wrong predictions on traffic data. We then evaluate several, progressively improved, versions of the model.

Super-patterns are agglomerated clusters of similar patterns [Stepinski 2010], [Ding 2009]. While each pattern represents precise but local nuggets of information, their clusters provide more generalized but global information. To calculate the super-patterns, we first calculate a distance matrix between each pair of patterns and then perform agglomerative clustering directly from the distance matrix. We use agglomerative clustering because it would aggregate objects without breaking the patterns. The end product of

this synthesis tool is a small number of super-patterns, each describing a bundle of change motifs. Such knowledge gives an in-depth insight into combinations of factors that drive the change between a predictive model and actual traffic.

Furthermore, to address the challenges of data availability and timing issue discussed in Section 3, density based clustering is applied to traffic flow data to remove noise and outliers. Cars that do not belong to any aggregated clusters are viewed as incorrect counts and are discarded. Association rule mining is applied on driving population data to identify the relationship of the age/gender group with driving behavior to generate more accurate and coherent model input data for better calibration.

8. A QUICK PROTOTYPE

This prototype focuses on the two main challenges in calibrating traffic models. The first challenge is how to abstract real-world traffic conditions for base-year modeling. The other is how to prevent typographical errors such as those in the origin-destination matrix. As mentioned in Section 4, our solution uses CORSIM, a microscopic traffic simulation software, as the core of our automatic system. CORSIM contains two sets of microscopic simulators to represent an entire traffic environment: NETSIM for urban street traffic and FRESIM for freeway traffic. For a proof of concept, we use NETSIM.

Our goal is to automatically generate the input file to CORSIM from real world sensor data for accurate model calibration. The CORSIM input file contains characteristics that vary over time such as traffic volumes, turn movements, traffic regulations, and signal timing, as well as characteristics that vary over space such as traffic geometry, and types of roads [CORSIM 2006]. Real world data comes from traffic flow data collected by Minnesota DOT [Minnesota DOT 2009].

8.1. CORSIM Input Methods

CORSIM is commonly used via its built-in Graphic User Interface (GUI) to enter traffic geometry, the amount of flow into each node, and all pertinent data, one step at a time, as shown in Figure 2.

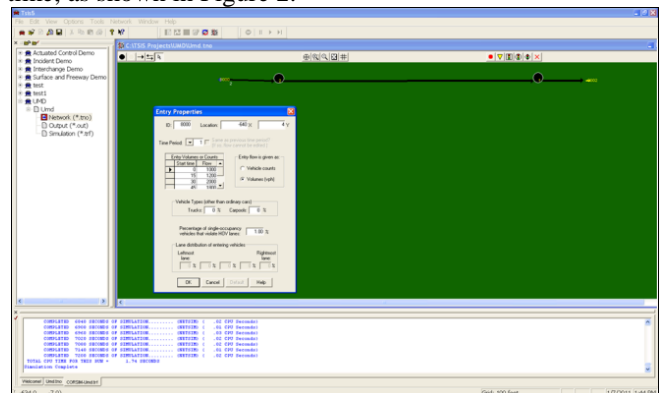


Figure 2. CORSIM Input GUI

We facilitate an automated input, entitled CORSIM Input Generator, which minimizes the need for human interaction at traffic simulation, thereby reducing typographical errors involved in tedious manual work. More importantly, getting all inputs from real world sensors, the turnaround time from gathering information to result can be greatly decreased, allowing the system to be calibrated quicker and more accurately. Our Input Generator logically separates the input file to four sections.

- (1) Header and run control data: Contains title, run control, time period specification, time interval, time steps per time period, and report information. Most of the data are constant such as time intervals set to 12 two-hour intervals with measurements every hour. A user inputs the remaining information of title, identification, date, and description as seen in Figure 3.

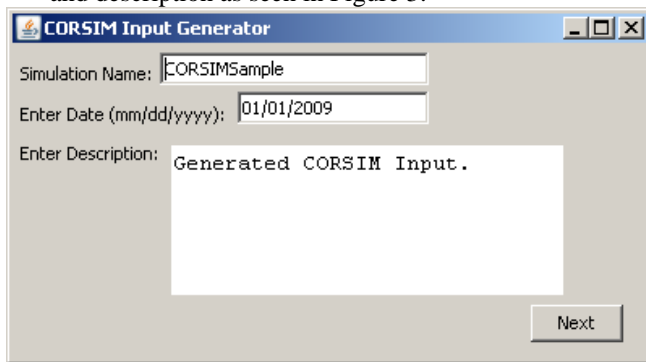


Figure 3. Header and Run Control Data Input

- (2) Transportation network data: Obtained from satellite and map data, provides traffic geometry, traffic link, and signal control data as shown in Figure 4.

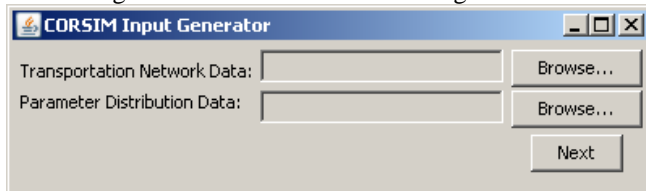


Figure 4. Transportation Network and Parameter Distribution Data Input

- (3) Dynamic parameter distribution data: Obtained from car-following, lane-changing, gap-acceptance, and route choice models, models driver behavior also shown in Figure 4.
- (4) Traffic flow data: Obtained from real world sensors such as ATR, provides the number of vehicles per hour entering a given node in the traffic network from outside the system. Entry and internal nodes are specific to the traffic geometry generated after the transportation network data and parameter distribution data are entered. Figure 5 shows the GUI to select an entry node, internal node, and traffic data to generate the traffic flow input data to CORSIM. One or more files may be added to calculate the traffic flow for each entry node into the network.

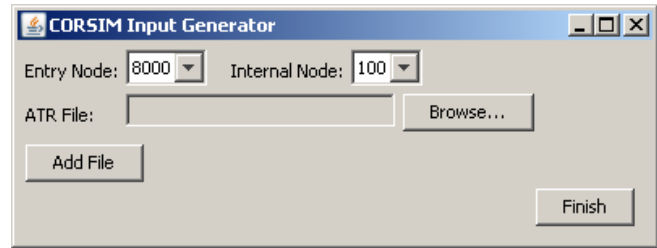


Figure 5. Traffic Flow Data Input

8.2. CORSIM Output Methods

The CORSIM software provides two outputs: graphical and text-based. The graphical output shows the geometry of the network and a visual representation of the traffic flow. For our automation purposes, the text output contains statistics and details that will be of greater use.

CORSIM's graphical output shows the traffic geometry in the form of roads. The traffic flow is shown by cars driving, with colors representing different direction, and incidents shown by yellow and red. In addition, the time of the simulation as well as the frame delay and frame/time step can be modified to change the simulation output. Figure 6 is a screenshot of CORSIM's graphical output.

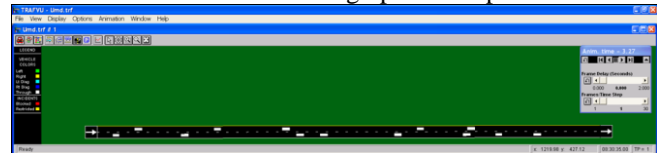


Figure 6. CORSIM Output GUI

CORSIM text-based output is one text file with a ".out" extension that echoes the input to the simulator and shows the resulting statistics. NETSIM simulation contains an output section that is time period specific. The CORSIM text-based output includes four main sections:

- (1) Input data echo: Consists of a copy of the input file and tables stating the complete specification of the traffic environment and run options, including all the user-supplied inputs and default values. This can be used for checking the validity and acceptability of values and parameters.
- (2) Initialization results: Show how the vehicles filled the network at different time intervals prior to the network reaching equilibrium. The initialization results are not included in the cumulative results. CORSIM starts accumulating statistics after equilibrium has been reached.
- (3) Intermediate results: Optionally printed out at the end of user specified intervals.
- (4) End of time period results: Similar to intermediate results, but printed out at the end of time periods rather than at the end of option user specified intervals.

Intermediate and end of time period results include measures of effectiveness (MOE) that are used to evaluate traffic systems [CORSIM 2006].

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Biography

Hong Liu is a Professor of Electrical and Computer Engineering at University of Massachusetts Dartmouth, Dartmouth, MA, U.S.A. She received her Ph.D. from Polytechnic Institute of New York University in 1990. Her research interests include computer networks, quality of service and security/privacy, applications with wireless and Internet technology.

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Wei Ding has been an Assistant Professor of Computer Science at the University of Massachusetts Boston, Boston, MA, U.S.A. since 2008. She received her Ph.D. degree in Computer Science from the University of Houston in 2008. Her main research interests include Data Mining, Machine Learning, Artificial Intelligence, Computational Semantics, and with applications to astronomy, geosciences, and environmental sciences. Her research projects are currently sponsored by NASA, DOE, and NSF.

Daiheng Ni is an Assistant Professor of Civil Engineering at University of Massachusetts, Amherst, MA, U.S.A. Prior to that, he was a post-doctoral fellow at and received his Ph.D. from Georgia Institute of Technology, Atlanta, GA, U.S.A. His research interests include traffic flow theory and simulation, intelligent transportation systems, traffic sensing and information technology, and transportation logistics and optimization.

Honggang Wang received his Ph.D. degree in computer engineering from the University of Nebraska-Lincoln in 2009. He is currently an assistant professor at University of Massachusetts Dartmouth, Dartmouth, MA, U.S.A. His research interests include networking, wireless sensor networks, multimedia communication, network and information security, embedded sensory systems, biomedical computing, and pattern recognition. He won the Best Paper Award at the 2008 IEEE Wireless Communications and Networking Conference (WCNC). He serves as an Associate Editor of Wiley's *Security and Communication Networks Journal*.

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