

## Problem Session 5

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## Problem 1

Prove that the language  $L = \{a^p \mid p \text{ is a prime}\}$  is not context-free.

## Solution 1

Assume that  $L$  is context free and let  $n_L$  be the number defined in the Pumping Lemma. If  $p$  is a prime number such that  $p \geq n_L$  and  $w = a^p = xyzut \in L$  we have  $|y| = a^k$ ,  $|u| = a^\ell$  with  $k + \ell \geq 1$ , and  $xy^{1+p}zu^{1+p}t \in L$ , that is,  $a^{p+kp+\ell p} \in L$ , that is,  $a^{p(1+k+\ell)} \in L$ . Since  $p(1+k+\ell)$  is not a prime, this leads to a contradiction.

## Problem 2

Prove that the language  $L = \{a^n b^m \mid n \leq m^2\}$  is not context-free.

## Solution 2

Assume that  $L$  is context-free and let  $w = a^{m^2}b^m \in L$ . If  $m^2 + m \geq n_L$ , where  $n_L$  is defined by the Pumping Lemma, we can pump the word  $a^{m^2}b^m = xyzut$  with  $|yzu| \leq n_L$  and  $|yu| \geq 1$ .

Note that neither  $y$  nor  $u$  can straddle across the  $a$ -part and the  $b$ -part of  $w$  because pumping will create more than one alternance of  $a$  and  $b$  thus violating the definition of  $L$ . Therefore, it is the case that  $y$  is either in  $a^{m^2}$  or in  $b^m$  and the same is true for  $u$ .

## Solution 2 (cont'd)

We need to distinguish three cases:

Case I:  $y$  occurs in  $a^{m^2}$  and  $u$  occurs in  $b^m$ , so  $y = a^k$  and  $u = b^\ell$  with  $1 \leq k + \ell \leq m$  (because  $|yzu| = k + |z| + \ell \leq n_L$  and  $|yu| \geq 1$ ).

Suppose that  $\ell \geq 1$ . The Pumping Lemma implies  $xy^0zu^0t \in L$ . Therefore,  $a^{m^2-k}b^{m-\ell} \in L$  and this implies  $m^2 - k \leq (m - \ell)^2$ . This leads to a contradiction because

$$\begin{aligned} (m - \ell)^2 &\leq (m - 1)^2 \\ &\quad (\text{because } \ell \geq 1) \\ &= m^2 - 2m + 1 \\ &< m^2 - k \\ &\quad (\text{since } k \leq m). \end{aligned}$$

Suppose now that  $\ell = 0$ . Since  $k + \ell \geq 1$ , we have  $k \geq 1$ . The pumping Lemma implies  $xy^2zu^2t \in L$ . Therefore,  $a^{m^2+k}b^m \in L$ , which is impossible because  $m^2 + k > m^2$ .

## Solution 2(cont'd)

Case II: If both  $y$  and  $u$  occur within  $a^{m^2}$  then pumping both  $y$  and  $u$  results in a word of the form  $a^{m^2+k}b^m$  that is not in  $L$ .

Case III: If both  $y$  and  $u$  occur within  $b^m$  by pumping down both  $y$  and  $u$  results in a word of the form  $a^{m^2}b^{m-k}$  with  $k \geq 2$  that is not in  $L$ .



## Problem 3

Prove that the language  $L = \{a^n b^m c^{mn} \mid n, m \in \mathbb{N}\}$  is not context-free.

## Solution 3

Suppose that  $L$  is context-free. By applying the Pumping Lemma to the string  $a^m b^m c^{m^2} \in L$ , where  $m = n_L$  we can factor  $a^m b^m c^{m^2} = xyzut$  with  $|yzu| \leq n_L$  and  $|yu| \geq 1$ .

Note that  $y$  cannot span simultaneously both  $a^m$  and  $b^m$  because, if this would be the case, by pumping  $y$  we would obtain a string in which  $bs$  precede  $as$ . Similarly,  $u$  cannot span both  $b^m$  and  $c^{m^2}$ .

Therefore,  $y$  is contained in one of the regions  $a^m$ ,  $b^m$ , or  $c^{m^2}$  and the same holds for  $u$ .

If  $y$  occurs in  $b^m$  and  $u$  occurs in  $c^{m^2}$  we have  $y = b^k$  and  $u = c^\ell$  with  $1 \leq k + \ell \leq m$  because  $|yzu| \leq m$  and  $|yu| \geq 1$ .

## Solution 3(cont'd)

Suppose now that  $k \geq 1$ . Since  $k + \ell \leq m$ , it follows that  $\ell < m$ . The Pumping Lemma implies  $xy^0zu^0t \in K$ , hence  $a^mb^{m-k}c^{m^2-\ell} \in L$ , which implies  $m(m-k) = m^2 - \ell$ . This is impossible because  $m(m-k) = m^2 - mk \leq m^2 - m < m^2 - \ell$  since  $k \geq 1$  and  $\ell < m$ .

Consider now the case when  $k = 0$ . Since  $k + \ell \leq 1$ , we have  $\ell \geq 1$ . From the Pumping Lemma we have  $xy^0zu^0t \in L$ , hence  $a^mb^mc^{m^2-\ell} \in L$ , which is impossible because  $m \cdot m \neq m^2 - \ell$ . The remaining case can be dealt with using the pumping down.

## Problem 4

Prove that the language

$H = \{a^i b^j c^k \mid i, j, k \in \mathbb{N} \text{ and } k = \max\{i, j\}\}$  is not context-free.

## Solution 4

Suppose that  $H$  were context-free. Then, by the Pumping Lemma there is  $n_H \in \mathbb{N}$  such that if  $w = a^i b^j c^k \in H$  and  $|w| \geq n_H$ , then  $w = xyzut$  such that  $|y| \geq 1$  or  $|u| \geq 1$ ,  $|yzu| \leq n_H$  and  $xy^n zu^n t \in H$  for  $n \in \mathbb{N}$ .

Choose  $i = j = n_H$ . If  $c$  does not occur in  $yu$  pumping will increase the number of  $a$ s or  $b$ s without affecting the number of  $c$ s and we would obtain a contradiction. Therefore,  $c$  must occur in  $yzu$ .

Since  $|yzu| \leq n_H$ , it follows that the word  $yzu$  cannot contain an  $a$ . By the Pumping Lemma the word  $xzt$  contains a number of  $n_H$   $a$ s and there are fewer than  $n_H$  symbols  $b$  or  $c$  in  $xzt$ . Thus,  $xzt \notin H$ .

## Problem 5

Prove that the language  $L = \{a^n ba^n ba^n \mid n \geq 1\}$  is not context-free.

## Solution 5

Suppose that  $L$  were context-free and let  $n_L$  the constant defined by the Pumping Lemma. If  $w = a^{n_L} b a^{n_L} b a^{n_L}$  we can factor  $w$  as  $w = xyzut$  such that  $|yzu| \leq n_L$  and  $|yu| \geq 1$ .

Note that  $yzu$  can be an infix of  $a^{n_L}$  or may contain one single  $b$  because the two  $bs$  are separated by  $n_L$  symbols.

In the first case, by pumping the  $as$  we break the balance between the three subwords that contain  $as$ . In the second case, if  $b$  occurs in  $y$  or  $u$ , then the number of  $bs$  will increase and this would violate the definition of  $L$ .

Note that we cannot have one single  $b$  symbol in  $yzu$  because this would imply that the  $as$  preceding this  $b$  occur in the first  $a$  region and the  $as$  that follow this  $b$  will occur in the second  $a$  region. By pumping  $y$  and  $u$  we would grow the first two  $a$  regions which will become larger than the third  $a$  region.