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## Generators of the C.E. Degrees and Strongly Meet Inaccessible Degrees

Klaus Ambos-Spies  
*Institut für Informatik  
University of Heidelberg  
Im Neuenheimer Feld 205  
D-69120 Heidelberg, Germany  
ambos@math.uni-heidelberg.de*

Ding Decheng  
*Department of Mathematics  
Nanjing University  
Nanjing, Jiangsu Province 210093, P. R. of China  
dcding@nju.edu.cn*

Peter Fejer  
*Department of Computer Science  
University of Massachusetts Boston  
Boston, MA 02125, USA  
peter.fejer@umb.edu*

We show that any set of computably enumerable (c.e.) degrees which generates the c.e. degrees under join and meet generates the high c.e. degrees under join. This result is obtained by showing that any high c.e. degree is the join of two strongly meet inaccessible (s.m.i.) degrees. Here a c.e. degree  $\mathbf{a}$  is s.m.i. if any set of c.e. degrees which generates  $\mathbf{a}$  under join and meet generates  $\mathbf{a}$  under join. Moreover, by a further existence result for the s.m.i. degrees, we answer a question on the possible ranks of (definable) generators raised in Ambos-Spies [3] (where the rank of a generator is the supremum of the number of elements needed for generating any c.e. degree): there are definable generators of infinite rank and of rank  $n$  for any number  $n \geq 1$ .

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**1. Introduction**

The partial ordering  $(\mathbf{R}, \leq)$  of the computably enumerable degrees is an upper semilattice — i.e., for any c.e. degrees  $\mathbf{a}$  and  $\mathbf{b}$ , their join  $\mathbf{a} \vee \mathbf{b}$  exists — but not a lattice. In fact, as Lachlan [11] and Yates [21] have shown, for an incomparable pair of c.e. degrees, the meet may or may not exist. This asymmetry between joins and meets is further demonstrated by the fact that, by Sacks's splitting theorem [15], any nonzero c.e. degree is join-reducible (splits), i.e., the join of two lesser c.e. degrees, whereas, as shown by Lachlan [11] and Yates [21], an incomplete c.e. degree may be meet-reducible (branching), i.e., the meet of two greater c.e. degrees, or not. Presence and failure of meets are homogeneously distributed in the c.e. degrees: as Slaman [17] has shown, any nonempty interval of c.e. degrees contains an incomparable pair possessing a meet, hence a branching degree, whereas, by Ambos-Spies [2] and Fejer [10], respectively, any nonempty interval contains a pair without meet and a nonbranching degree. Despite this homogeneity, other results indicate that the lack of meets is more typical than their existence. So, as Ambos-Spies [2] and, independently, Harrington (unpublished) have shown, there is a degree  $\mathbf{a} \neq \mathbf{0}, \mathbf{0}'$  such that  $\mathbf{a}$  is not half of an incomparable pair with meet whereas any degree  $\mathbf{a} \neq \mathbf{0}, \mathbf{0}'$  is half of an incomparable pair without meet.

The study of generators of the c.e. degrees sheds more light on the meet operator. Here a set  $\mathbf{A}$  of c.e. degrees is a generator (of  $\mathbf{R}$ ) if any c.e. degree is in the closure of  $\mathbf{A}$  under join and meet, and  $\mathbf{A}$  is a join (meet) generator if any nonzero (incomplete) c.e. degree is in the closure of  $\mathbf{A}$  under join (meet). By Fejer's density theorem for the nonbranching degrees, any meet generator is dense whereas, by Sacks's splitting theorem, the set  $\mathbf{L}$  of the low c.e. degrees is a join generator. Moreover, Ambos-Spies [3] has shown that any generator intersects any nonempty initial segment. So, for example, the set of the nonlow c.e. degrees is not a generator. These results together with the negative result on meets listed above, led Ambos-Spies to conjecture

that, in the process of generating the c.e. degrees, the meet operator can be neglected, i.e., that any set which generates the c.e. degrees generates the nonzero c.e. degrees under join.

This conjecture was refuted in Ambos-Spies, Lempp and Slaman [6] where a generator is constructed which is not a join generator. Despite this negative answer, it is reasonable to ask whether there are some natural substantial parts of  $\mathbf{R}$  which are generated under join by any generator. Our main result shows that this is indeed the case: any generator generates the high c.e. degrees under join (Corollary 2.9). In order to obtain this result we introduce and study the strongly meet inaccessible (s.m.i.) degrees. Here a c.e. degree  $\mathbf{a}$  is s.m.i. if any set of c.e. degrees which generates  $\mathbf{a}$  under join and meet generates  $\mathbf{a}$  under join. So, in order to get our main result, it suffices to show that any high c.e. degree can be split into two s.m.i. degrees (Theorem 2.8).

Strong meet inaccessibility is a refinement of meet inaccessibility introduced in Ambos-Spies [3]. A c.e. degree  $\mathbf{a}$  is meet inaccessible if no set  $\mathbf{A}$  of c.e. degrees which does not intersect the lower cone of  $\mathbf{a}$  generates  $\mathbf{a}$ . So, in order to show that any generator intersects any nontrivial initial segment of  $\mathbf{R}$ , it suffices to show that any nonzero c.e. degree bounds a meet inaccessible degree. In fact, Ambos-Spies [3] shows that any nonzero degree can be split into two meet inaccessible degrees, and this result was subsequently strengthened by Zhang [22] and Ding [8] who showed that the set  $\mathbf{MI}$  of the meet inaccessible degrees is dense in  $\mathbf{R}$ . As we show here the corresponding results fail for strong meet inaccessibility (see Lemmas 2.4 and 2.6 below). So the construction of strongly meet inaccessible degrees is considerably more delicate than that of meet inaccessible degrees.

We demonstrate the usefulness of the s.m.i. degrees by some further results on generators related to their ranks. Ambos-Spies [3] defines the rank of a generator  $\mathbf{G}$  to be the least number  $n \geq 1$  such that any c.e. degree can be generated by a subset of  $\mathbf{G}$  of cardinality at most  $n$ , and he defines the rank of  $\mathbf{G}$  to be  $\omega$  (infinite) if no such number  $n$  exists. The join rank of a join generator is defined similarly. Now Ambos-Spies [3] has shown that there are join generators of infinite rank and definable join generators of rank  $n$  for any  $n \geq 1$ . He raised the question whether the corresponding results for generators and their ranks in place of join generators and their join ranks hold (see Problem 3 in [3]). Here, by refining the approach in [3] and by proving an appropriate existence result for s.m.i. degrees (Theorem 2.12), we affirmatively answer this question. In fact we show that there is a *definable* generator of rank  $\omega$  (Theorem 2.11) and that

for, any  $n \geq 1$ , there are definable generators which have rank and join rank  $n$  (Theorem 2.10).

The outline of this chapter is as follows. In Sec. 2, we present our main results. Here we first introduce strong meet inaccessibility and study some of the basic properties of this notion and its relation to the meet inaccessibility notion introduced in [3]. In particular, we give more handy characterizations of, and sufficient conditions for, strong meet inaccessibility (which subsequently are used in the constructions of s.m.i. degrees). Then we present our main results and state the existence theorems for s.m.i. degrees needed for the proofs. The proofs of these existence theorems are deferred to the subsequent sections. In Sec. 3, we explain the ideas underlying our constructions of s.m.i. degrees by constructing a low s.m.i. degree. Then in Secs. 4 and 5, this construction is refined in order to prove the required high-splitting theorem and bounding theorem for s.m.i. degrees which we need for our results on generators. Finally, in Sec. 6 we pose some open problems.

We conclude this section by giving some notation. Although we use the term “c.e.” here sometimes for emphasis, all degrees considered in this paper are c.e. So boldface lower case letters denote c.e. degrees and boldface capital letters denote sets of c.e. degrees. We let  $\mathbf{R}(\leq \mathbf{a}) = \{\mathbf{b} : \mathbf{b} \leq \mathbf{a}\}$  be the lower cone of  $\mathbf{a}$ . Similarly,  $\mathbf{R}(\not\leq \mathbf{a}) = \{\mathbf{b} : \mathbf{b} \not\leq \mathbf{a}\}$  denotes the complement of the lower cone of  $\mathbf{a}$  (etc.).

Related to generators we will use the following notation. For any set  $\mathbf{A}$  of c.e. degrees we let  $\text{CL}(\mathbf{A})$  denote the closure of  $\mathbf{A}$  (under join and meet), i.e.,  $\text{CL}(\mathbf{A})$  is the least set  $\mathbf{B}$  of c.e. degrees such that

$$\mathbf{A} \subseteq \mathbf{B} \tag{1.1}$$

$$\forall n \geq 0 \forall \mathbf{a}_0, \dots, \mathbf{a}_n \in \mathbf{B} (\mathbf{a}_0 \vee \dots \vee \mathbf{a}_n \in \mathbf{B}) \tag{1.2}$$

and

$$\forall n \geq 0 \forall \mathbf{a}_0, \dots, \mathbf{a}_n \in \mathbf{B} (\mathbf{a}_0 \wedge \dots \wedge \mathbf{a}_n \downarrow \Rightarrow \mathbf{a}_0 \wedge \dots \wedge \mathbf{a}_n \in \mathbf{B}). \tag{1.3}$$

The closure of  $\mathbf{A}$  under join is obtained by omitting clause (1.3) and is denoted by  $\text{CL}_j(\mathbf{A})$ ; the closure of  $\mathbf{A}$  under meet is obtained by omitting clause (1.2) and is denoted by  $\text{CL}_m(\mathbf{A})$ . We say that  $\mathbf{A}$  generates  $\mathbf{B}$  if  $\mathbf{B}$  is contained in the closure of  $\mathbf{A}$ ,  $\mathbf{A}$  generates  $\mathbf{B}$  under join if  $\mathbf{B}$  is contained in the closure of  $\mathbf{A}$  under join, and  $\mathbf{A}$  generates  $\mathbf{B}$  under meet if  $\mathbf{B}$  is contained in the closure of  $\mathbf{A}$  under meet. Similarly, we say that  $\mathbf{A}$  generates  $\mathbf{b}$  (under

join, under meet) if  $\mathbf{A}$  generates  $\{\mathbf{b}\}$  (under join, under meet). Finally, we call  $\mathbf{A}$  a generator if  $\mathbf{A}$  generates  $\mathbf{R}$  and we call  $\mathbf{A}$  a join generator if  $\mathbf{A}$  generates  $\mathbf{R} \setminus \{\mathbf{0}\}$  under join. (Note that, by the existence of minimal pairs,  $\mathbf{A}$  is a generator iff  $\mathbf{A}$  generates  $\mathbf{R} \setminus \{\mathbf{0}\}$ .)

Since  $\mathbf{R}$  is an upper semi-lattice, the above definitions will not change if we consider in (1.2) the binary case only, i.e., fix  $n = 1$ . A similar change in (1.3), however, is not admissible (see Ambos-Spies [3]).

For any subset  $\mathbf{A}$  of  $\mathbf{R}$ , it is clear that  $\mathbf{B} = \mathbf{R}$  satisfies conditions (1.1) to (1.3) and further, the intersection of any non-empty family of subsets of  $\mathbf{R}$ , each of which satisfies these three conditions, again satisfies the three conditions, so for any subset  $\mathbf{A}$  of  $\mathbf{R}$ ,  $\text{CL}(\mathbf{A})$  exists, and similarly,  $\text{CL}_j(\mathbf{A})$  and  $\text{CL}_m(\mathbf{A})$  exist. It is useful, however, to have “bottom-up” descriptions of these closures. It is easy to see that for any subset  $\mathbf{A}$  of  $\mathbf{R}$ , we have

$$\text{CL}_j(\mathbf{A}) = \{\mathbf{a}_0 \vee \cdots \vee \mathbf{a}_n : n \geq 0 \ \& \ \mathbf{a}_0, \dots, \mathbf{a}_n \in \mathbf{A}\}$$

and

$$\text{CL}_m(\mathbf{A}) = \{\mathbf{a}_0 \wedge \cdots \wedge \mathbf{a}_n : n \geq 0 \ \& \ \mathbf{a}_0, \dots, \mathbf{a}_n \in \mathbf{A} \ \& \ \mathbf{a}_0 \wedge \cdots \wedge \mathbf{a}_n \downarrow\}.$$

To give a bottom-up description of the closure of a subset  $\mathbf{A}$  of  $\mathbf{R}$ , we define  $\text{CL}^0(\mathbf{A}) = \mathbf{A}$ , and, for  $k \geq 0$ ,  $\text{CL}^{2k+1}(\mathbf{A}) = \text{CL}_j(\text{CL}^{2k}(\mathbf{A}))$ , and  $\text{CL}^{2k+2}(\mathbf{A}) = \text{CL}_m(\text{CL}^{2k+1}(\mathbf{A}))$ . Then we have

$$\mathbf{A} = \text{CL}^0(\mathbf{A}) \subseteq \text{CL}^1(\mathbf{A}) \subseteq \text{CL}^2(\mathbf{A}) \subseteq \cdots$$

and

$$\text{CL}(\mathbf{A}) = \bigcup_{i \geq 0} \text{CL}^i(\mathbf{A}).$$

## 2. Main Section

Here we present our new results on generators. They are derived from theorems on the distribution of the strongly meet inaccessible degrees. The proofs of the latter, which from a technical point of view form the core of this chapter, are given in the subsequent three sections. We first introduce strong meet inaccessibility and give some basic properties of this new notion.

**Definition 2.1:** A c.e. degree  $\mathbf{a}$  is *strongly meet inaccessible* (s.m.i.) if

$$\forall \mathbf{A} \subseteq \mathbf{R} (\mathbf{a} \in \text{CL}(\mathbf{A}) \Rightarrow \mathbf{a} \in \text{CL}_j(\mathbf{A})). \quad (2.1)$$

The set of the s.m.i. degrees is denoted by **SMI**.

We obtain an alternative characterization of the s.m.i. degrees  $\mathbf{a}$  by looking at the relation between the set of the degrees generated by the complement of the lower cone of  $\mathbf{a}$  and the set of the degrees which cup to  $\mathbf{a}$ . Here we call a degree  $\mathbf{b}$   *$\mathbf{a}$ -cuppable* if  $\mathbf{b} \leq \mathbf{a}$  and there is a degree  $\mathbf{c} < \mathbf{a}$  such that  $\mathbf{a} = \mathbf{b} \vee \mathbf{c}$  and we let

$$\text{Cu}(\mathbf{a}) = \{\mathbf{b} \leq \mathbf{a} : \mathbf{b} \text{ is } \mathbf{a}\text{-cuppable}\} \text{ and } \text{NCu}(\mathbf{a}) = \mathbf{R}(\leq \mathbf{a}) \setminus \text{Cu}(\mathbf{a}).$$

**Lemma 2.2:** *Let  $\mathbf{a}$  be a c.e. degree such that  $\mathbf{a} > \mathbf{0}$ . The following are equivalent.*

- (i)  $\mathbf{a}$  is strongly meet inaccessible.
- (ii)  $\mathbf{R}(\not\leq \mathbf{a}) \cup \text{NCu}(\mathbf{a})$  is closed under join and meet.
- (iii)  $\text{CL}(\mathbf{R}(\not\leq \mathbf{a})) \cap \text{Cu}(\mathbf{a}) = \emptyset$ .
- (iv)  $\text{CL}_m(\mathbf{R}(\not\leq \mathbf{a})) \cap \text{Cu}(\mathbf{a}) = \emptyset$ .

**Proof:** The proof of the implication (i)  $\Rightarrow$  (ii) is by contraposition. Assume that  $\mathbf{R}(\not\leq \mathbf{a}) \cup \text{NCu}(\mathbf{a})$  is not closed under join and meet. Fix  $\mathbf{b}$  such that  $\mathbf{b} \in \text{CL}(\mathbf{R}(\not\leq \mathbf{a}) \cup \text{NCu}(\mathbf{a}))$  and  $\mathbf{b} \in \text{Cu}(\mathbf{a})$ . By the latter, take  $\mathbf{c} < \mathbf{a}$  such that  $\mathbf{a} = \mathbf{b} \vee \mathbf{c}$ . Then, for  $\mathbf{A} = \mathbf{R}(\not\leq \mathbf{a}) \cup \text{NCu}(\mathbf{a}) \cup \{\mathbf{c}\}$ ,  $\mathbf{a}$  is in the closure of  $\mathbf{A}$ . But since  $\text{NCu}(\mathbf{a})$  is an ideal,  $\mathbf{a}$  is not in the join closure of  $\mathbf{A}$ .

The implications (ii)  $\Rightarrow$  (iii) and (iii)  $\Rightarrow$  (iv) are immediate.

Finally, for a proof of (iv)  $\Rightarrow$  (i) assume

$$\text{CL}_m(\mathbf{R}(\not\leq \mathbf{a})) \cap \text{Cu}(\mathbf{a}) = \emptyset. \quad (2.2)$$

Since, by  $\mathbf{a} > \mathbf{0}$ ,  $\mathbf{a}$  is  $\mathbf{a}$ -cuppable, it follows that  $\mathbf{a}$  cannot be expressed as a meet  $\mathbf{c}_0 \wedge \cdots \wedge \mathbf{c}_n$  with each  $\mathbf{c}_i > \mathbf{a}$ , i.e.,  $\mathbf{a}$  is nonbranching.

Now, given  $\mathbf{A} \subseteq \mathbf{R}$  and  $\mathbf{a} \in \text{CL}(\mathbf{A})$ , we have to show that  $\mathbf{a} \in \text{CL}_j(\mathbf{A})$ . Take  $p$  minimal with  $\mathbf{a} \in \text{CL}^p(\mathbf{A})$ . By eliminating the other possibilities, we show that  $p \leq 1$ , and hence  $\mathbf{a} \in \text{CL}_j(\mathbf{A})$ .

If  $p = 2k + 2$  for  $k \geq 0$ , then  $\mathbf{a} = \mathbf{c}_0 \wedge \cdots \wedge \mathbf{c}_n$  with each  $\mathbf{c}_i \in \text{CL}^{2k+1}(\mathbf{A})$ . By minimality of  $p$ , none of the  $\mathbf{c}_i$  is equal to  $\mathbf{a}$ . This contradicts the fact that  $\mathbf{a}$  is nonbranching.

If  $p = 2k + 1$  with  $k > 0$ , then  $\mathbf{a} = \mathbf{b}_0 \vee \cdots \vee \mathbf{b}_n$  with each  $\mathbf{b}_i \in \text{CL}^{2k}(\mathbf{A})$ . Taking  $n$  minimal, each  $\mathbf{b}_i$  is in  $\text{Cu}(\mathbf{a})$ . Since  $k > 0$ , each  $\mathbf{b}_i$  can be expressed as a meet of elements in  $\text{CL}^{2k-1}(\mathbf{A})$ . By (2.2), for each  $i$ , at least one of these elements, say  $\mathbf{c}_i$ , is less than or equal to  $\mathbf{a}$ . But then we have  $\mathbf{a} = \mathbf{c}_0 \vee \cdots \vee \mathbf{c}_n$  with each  $\mathbf{c}_i$  in  $\text{CL}^{2k-1}(\mathbf{A})$ , which implies that  $\mathbf{a} \in \text{CL}^{2k-1}(\mathbf{A})$ , contradicting minimality of  $p$ .  $\square$

The following lemma, which provides a more handy sufficient condition for strong meet inaccessibility, gives the method we will use to construct s.m.i. degrees.

**Lemma 2.3:** *Let  $\mathbf{a}$  be a c.e. degree such that  $\mathbf{a} > \mathbf{0}$  and*

$$\forall \mathbf{b} \in \text{Cu}(\mathbf{a}) \forall \mathbf{c}_0, \mathbf{c}_1 > \mathbf{b} (\mathbf{c}_0, \mathbf{c}_1 \not\leq \mathbf{a} \Rightarrow \exists \mathbf{d} \leq \mathbf{c}_0, \mathbf{c}_1 (\mathbf{d} \not\leq \mathbf{a})). \quad (2.3)$$

*Then  $\mathbf{a}$  is strongly meet inaccessible.*

**Proof:** By Lemma 2.2, it suffices to show that (2.2) holds. For a contradiction, suppose that  $\mathbf{b} \in \text{Cu}(\mathbf{a})$  and  $\mathbf{b} = \mathbf{c}_0 \wedge \cdots \wedge \mathbf{c}_n$  with each  $\mathbf{c}_i \not\leq \mathbf{a}$ . We assume that  $n$  is minimal and rule out all possibilities. Since  $\mathbf{b} \leq \mathbf{a}$ ,  $n = 0$  is impossible. Our assumption (2.3) rules out  $n = 1$ . If  $n > 1$ , then by (2.3), there is  $\mathbf{d}$  with  $\mathbf{d} \leq \mathbf{c}_0, \mathbf{c}_1$  and  $\mathbf{d} \not\leq \mathbf{a}$ . Letting  $\mathbf{d}_1 = \mathbf{d} \vee \mathbf{a}$ , we have  $\mathbf{d}_1 \not\leq \mathbf{a}$  and  $\mathbf{b} = \mathbf{d}_1 \wedge \mathbf{c}_2 \wedge \cdots \wedge \mathbf{c}_n$ , contradicting minimality of  $n$ .  $\square$

Next, we look at some necessary (but not sufficient) conditions for strong meet inaccessibility. Obviously, any strongly meet inaccessible degree is nonbranching. In fact, strong meet inaccessibility is a proper strengthening of meet inaccessibility introduced in Ambos-Spies [3]. A c.e. degree  $\mathbf{a}$  is *meet accessible* if there is a set  $\mathbf{A}$  of c.e. degrees generating  $\mathbf{a}$  such that  $\mathbf{A}$  does not intersect the lower cone of  $\mathbf{a}$ ; and  $\mathbf{a}$  is *meet inaccessible* otherwise. As shown in [3], a c.e. degree  $\mathbf{a}$  is meet inaccessible iff  $\mathbf{a} > \mathbf{0}$  and  $\mathbf{a} \notin \text{CL}(\mathbf{R}(\not\leq \mathbf{a}))$ . So, it is immediate by Lemma 2.2 that any s.m.i. degree is meet inaccessible. In [3], meet inaccessible degrees are used in order to show that, for any c.e. degree  $\mathbf{a} > \mathbf{0}$  and any generator  $\mathbf{G}$ ,  $\mathbf{G}$  intersects the lower cone of  $\mathbf{a}$ . For this sake, it is observed that, for any degree  $\mathbf{a}$  which bounds a meet inaccessible degree, the complement  $\mathbf{R}(\not\leq \mathbf{a})$  of the lower cone of  $\mathbf{a}$  does not generate  $\mathbf{R}$ , and it is shown that any nonzero degree bounds a meet inaccessible degree. In fact, Ambos-Spies [3] shows that any nonzero c.e. degree is the join of two meet inaccessible degrees whence the set  $\mathbf{MI}$  of the meet inaccessible degrees is a join generator. Later on, the latter has been strengthened by Zhang [22] and Ding [8] who showed that  $\mathbf{MI}$  is dense in  $\mathbf{R}$ . In contrast, it easily follows from results in the literature that the set of the strongly meet inaccessible degrees is not dense in  $\mathbf{R}$ . In fact, the following is true.

**Lemma 2.4:** *For any c.e. degree  $\mathbf{a} > \mathbf{0}$  there are c.e. degrees  $\mathbf{b}$  and  $\mathbf{c}$  such that  $\mathbf{c} < \mathbf{b} \leq \mathbf{a}$  and no c.e. degree in the closed interval  $[\mathbf{c}, \mathbf{b}]$  is strongly meet inaccessible.*

**Proof:** Fix  $\mathbf{a} > \mathbf{0}$ . Since any nonzero c.e. degree bounds a contiguous degree (Ladner and Sasso [13]), w.l.o.g. we may assume that  $\mathbf{a}$  is contiguous. Moreover, since any countable distributive lattice can be embedded into any nontrivial principal ideal of  $\mathbf{R}$  by a map which preserves the greatest element (Ambos-Spies, Ding, Fejer [5]), we may fix pairwise incomparable c.e. degrees  $\mathbf{a}_0, \mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$  which generate (as atoms) the four-atom Boolean algebra in  $\mathbf{R}(\leq \mathbf{a})$  with greatest element  $\mathbf{a} = \mathbf{a}_0 \vee \mathbf{a}_1 \vee \mathbf{a}_2 \vee \mathbf{a}_3$ . So, since contiguous degrees have the covering property (below we come back to this in more detail when we discuss the possible ranks of generators), for any c.e. degree  $\mathbf{d} \leq \mathbf{a}$  there are c.e. degrees  $\mathbf{d}_i \leq \mathbf{a}_i$  ( $i \leq 3$ ) such that  $\mathbf{d} = \mathbf{d}_0 \vee \mathbf{d}_1 \vee \mathbf{d}_2 \vee \mathbf{d}_3$ .

Now, let  $\mathbf{b} = \mathbf{a}_0 \vee \mathbf{a}_1$  and  $\mathbf{c} = \mathbf{a}_0$ . Then, given  $\mathbf{d}$  such that  $\mathbf{c} \leq \mathbf{d} \leq \mathbf{b}$ , we have to show that  $\mathbf{d}$  is not s.m.i. Note that  $\mathbf{a}_0 \leq \mathbf{d}$  and  $\mathbf{a}_0 = (\mathbf{a}_0 \vee \mathbf{a}_2) \wedge (\mathbf{a}_0 \vee \mathbf{a}_3)$  where, by  $\mathbf{d} \leq \mathbf{a}_0 \vee \mathbf{a}_1$ , it holds that  $\mathbf{a}_0 \vee \mathbf{a}_2 \not\leq \mathbf{d}$  and  $\mathbf{a}_0 \vee \mathbf{a}_3 \not\leq \mathbf{d}$ . So, by Lemma 2.2, it suffices to show that  $\mathbf{a}_0$  is  $\mathbf{d}$ -cuppable. Fix degrees  $\mathbf{d}_i \leq \mathbf{a}_i$  ( $i \leq 3$ ) such that  $\mathbf{d} = \mathbf{d}_0 \vee \mathbf{d}_1 \vee \mathbf{d}_2 \vee \mathbf{d}_3$ . Since  $\mathbf{d} \leq \mathbf{a}_0 \vee \mathbf{a}_1$ , it follows that, for  $j = 2, 3$ ,  $\mathbf{d}_j \leq (\mathbf{a}_0 \vee \mathbf{a}_1) \wedge \mathbf{a}_j$  hence  $\mathbf{d}_j \leq \mathbf{a}_1$  by choice of the degrees  $\mathbf{a}_0, \mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ . So, for  $\hat{\mathbf{d}} = \mathbf{d}_1 \vee \mathbf{d}_2 \vee \mathbf{d}_3$ ,  $\hat{\mathbf{d}} \leq \mathbf{a}_1$  hence  $\hat{\mathbf{d}} < \mathbf{d}$ . Since, by  $\mathbf{d}_0 \leq \mathbf{a}_0 \leq \mathbf{d}$ ,  $\mathbf{d} = \mathbf{a}_0 \vee \hat{\mathbf{d}}$ , this implies the claim.  $\square$

The existence of generators which are not join generators, proven by Ambos-Spies, Lempp and Slaman [6], implies that the set of strongly meet inaccessible degrees is not a join generator. In order to show this, we use the following

**Proposition 2.5:** *Let  $\mathbf{A}$  be a set of degrees generated by the set  $\mathbf{SMI}$  of the strongly meet inaccessible degrees under join. Then, for any generator  $\mathbf{G}$ ,  $\mathbf{G}$  generates  $\mathbf{A}$  under join.*

**Proof:** For a generator  $\mathbf{G}$ ,  $\mathbf{SMI} \subseteq \text{CL}(\mathbf{G})$  hence  $\mathbf{SMI} \subseteq \text{CL}_j(\mathbf{G})$  by (2.1). Since  $\mathbf{A} \subseteq \text{CL}_j(\mathbf{SMI})$ , it follows that  $\mathbf{A} \subseteq \text{CL}_j(\mathbf{G})$ .  $\square$

**Lemma 2.6:** *The set  $\mathbf{SMI}$  of the strongly meet inaccessible degrees is not a join generator.*

**Proof:** By Ambos-Spies, Lempp and Slaman [6], let  $\mathbf{G}$  be a generator which does not generate  $\mathbf{R} \setminus \{\mathbf{0}\}$  under join. Then, by Proposition 2.5,  $\mathbf{SMI}$  does not generate  $\mathbf{R} \setminus \{\mathbf{0}\}$  under join.  $\square$

Having given some basic properties of the s.m.i. degrees, we now turn to our main results. We first look at the question which degrees  $\mathbf{a}$  are generated

under join by *any* generator. By the existence of generators which are not join generators [6], there are degrees  $\mathbf{a}$  which do not have this property. In fact, any join generator contains such a degree. So, for instance, there are a low degree  $\mathbf{a}$  and a generator  $\mathbf{G}$  such that  $\mathbf{G}$  does not generate  $\mathbf{a}$  under join. On the other hand, any strongly meet inaccessible  $\mathbf{a}$  is generated under join by any generator. In fact, Proposition 2.5 shows that any degree which is generated under join by s.m.i. degrees has this property. A trivial example of a degree which is generated under join by any generator is the complete degree  $\mathbf{0}'$  which, obviously is s.m.i. Our first technical main theorem gives a first nontrivial example of such a degree, namely a low degree.

**Theorem 2.7:** *There is a low c.e. degree  $\mathbf{a}$  which is strongly meet inaccessible.*

The proof of Theorem 2.7 — which explains the basic construction of nontrivial s.m.i. degrees and which will be the basis for the other constructions of s.m.i. degrees — is given in Sec. 3.

Our main result in this direction, namely that any high degree is generated under join by any generator, is obtained along the same lines by proving the following.

**Theorem 2.8:** *Every high c.e. degree  $\mathbf{a}$  is the join of two strongly meet inaccessible degrees.*

The proof of Theorem 2.8 is given in Sec. 4.

**Corollary 2.9:** *If  $\mathbf{G}$  is a generator then  $\mathbf{G}$  generates the set  $\mathbf{H}$  of the high c.e. degrees under join.*

**Proof:** This is immediate by Theorem 2.8 and Proposition 2.5.  $\square$

In the remainder of this section we apply the strongly meet inaccessible degrees to some other questions on generators. We solve some open problems on the rank of (definable) generators raised in Ambos-Spies [3]. First, we recall the definition of the rank and join rank given in [3].

If a degree  $\mathbf{a}$  is in the closure of a class  $\mathbf{A}$  then

$$\text{rank}_{\mathbf{A}}(\mathbf{a}) = \min\{n : \exists \mathbf{a}_1, \dots, \mathbf{a}_n \in \mathbf{A} (\mathbf{a} \in \text{CL}(\{\mathbf{a}_1, \dots, \mathbf{a}_n\}))\},$$

and, similarly, if  $\mathbf{a}$  is in the closure of  $\mathbf{A}$  under join then

$$\text{j-rank}_{\mathbf{A}}(\mathbf{a}) = \min\{n : \exists \mathbf{a}_1, \dots, \mathbf{a}_n \in \mathbf{A} (\mathbf{a} \in \text{CL}_j(\{\mathbf{a}_1, \dots, \mathbf{a}_n\}))\}.$$

Then the *rank* of a generator  $\mathbf{G}$ ,  $\text{rank}(\mathbf{G})$  for short, is the least number  $n \geq 1$  such that  $\text{rank}_{\mathbf{G}}(\mathbf{a}) \leq n$  for all  $\mathbf{a} \in \mathbf{R}$  if such an  $n$  exists, and  $\text{rank}(\mathbf{G}) = \omega$  otherwise. Similarly, the *join rank* (*j-rank* for short) of a join-generator  $\mathbf{J}$ ,  $\text{j-rank}(\mathbf{J})$ , is the least number  $n \geq 1$  such that  $\text{j-rank}_{\mathbf{J}}(\mathbf{a}) \leq n$  for all  $\mathbf{a} \in \mathbf{R}$  if such an  $n$  exists, and  $\text{j-rank}(\mathbf{J}) = \omega$  otherwise. In [3] it is shown that, for any  $n \geq 1$ , there is a definable join generator  $\mathbf{J}_n$  of join rank  $n$  and that there is a join-generator  $\mathbf{J}_\omega$  of infinite join rank, and the question is raised whether these results on the join rank of join generators carry over to ranks and generators (see Problem 3 in [3]). Here, we answer this question affirmatively. Moreover, we show that there is a *definable* generator of infinite rank and that the required generators can be chosen so that they are join generators and that their ranks and join ranks agree:

**Theorem 2.10:** *For any  $n \geq 1$  there is a definable join generator  $\mathbf{G}_n$  of rank  $n$  and join rank  $n$ .*

**Theorem 2.11:** *There is a definable join generator  $\mathbf{G}_\omega$  of infinite rank (hence infinite join rank).*

For the proofs of Theorems 2.10 and 2.11, we need the following existence result for s.m.i. degrees which is proved in Sec. 5, as well as a simple observation relating the rank of a s.m.i. degree to its join rank.

**Theorem 2.12:** *Let  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  ( $n \geq 1$ ) be nonzero c.e. degrees. There are c.e. degrees  $\mathbf{a}_0, \dots, \mathbf{a}_{n-1}$  such that  $\mathbf{0} < \mathbf{a}_i \leq \mathbf{b}_i$  for  $i < n$  and  $\mathbf{a}_0 \vee \dots \vee \mathbf{a}_{n-1}$  is s.m.i.*

**Proposition 2.13:** *Assume that  $\mathbf{a}$  is s.m.i. and  $\mathbf{a} \in \text{CL}(\mathbf{A})$ . Then  $\mathbf{a} \in \text{CL}_j(\mathbf{A})$  and*

$$\text{rank}_{\mathbf{A}}(\mathbf{a}) = \text{j-rank}_{\mathbf{A}}(\mathbf{a}).$$

**Proof:** The first part of the claim is immediate by strong meet inaccessibility of  $\mathbf{a}$ . So, since, for  $\mathbf{a} \in \text{CL}_j(\mathbf{A})$ ,  $\text{rank}_{\mathbf{A}}(\mathbf{a}) \leq \text{j-rank}_{\mathbf{A}}(\mathbf{a})$ , it suffices to show that  $\text{j-rank}_{\mathbf{A}}(\mathbf{a}) \leq \text{rank}_{\mathbf{A}}(\mathbf{a})$ . Assume that  $\text{rank}_{\mathbf{A}}(\mathbf{a}) = n$  and fix  $\mathbf{a}_0, \dots, \mathbf{a}_{n-1} \in \mathbf{A}$  such that  $\mathbf{a} \in \text{CL}(\mathbf{a}_0, \dots, \mathbf{a}_{n-1})$ . Then, by strong meet inaccessibility of  $\mathbf{a}$ ,  $\mathbf{a} \in \text{CL}_j(\mathbf{a}_0, \dots, \mathbf{a}_{n-1})$  hence, by  $\{\mathbf{a}_0, \dots, \mathbf{a}_{n-1}\} \subseteq \mathbf{A}$ ,  $\text{j-rank}_{\mathbf{A}}(\mathbf{a}) \leq n$ .  $\square$

Just as the proof of the related results in [3], the proofs of Theorems 2.10 and 2.11 exploit some results on contiguous and nonbounding degrees in the literature, which we summarize first.

Recall that a c.e. degree is *contiguous* if it contains only one c.e. wtt-degree. Ladner and Sasso [13] have shown that any nonzero c.e. degree bounds a nonzero contiguous degree, and this has been extended by Ambos-Spies [1] as follows.

Let  $\mathbf{c}_0, \dots, \mathbf{c}_{n-1}$  be nonzero c.e. degrees ( $n \geq 1$ ). There are c.e. degrees  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  such that, for  $i < n$ ,  $\mathbf{0} < \mathbf{b}_i \leq \mathbf{c}_i$ , and, for  $\emptyset \subset \alpha \subseteq \{0, \dots, n-1\}$ ,  $\bigvee_{i \in \alpha} \mathbf{b}_i$  is contiguous. (2.4)

(This is a special case of Theorem 4.2 in [1].) As Stob [19] has shown, contiguous degrees have a local distributivity property. To make this more precise, call a c.e. degree  $\mathbf{b}$  *n-covering* ( $n \geq 1$ ) if, for any c.e. degrees  $\mathbf{a}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ ,

$$\mathbf{a} \leq \mathbf{b}_0 \vee \dots \vee \mathbf{b}_{n-1} = \mathbf{b} \Rightarrow \exists \mathbf{a}_0 \leq \mathbf{b}_0, \dots, \mathbf{a}_{n-1} \leq \mathbf{b}_{n-1} [\mathbf{a} = \mathbf{a}_0 \vee \dots \vee \mathbf{a}_{n-1}] \quad (2.5)$$

holds. Then Stob [19] has shown that contiguous degrees are 2-covering and, as observed in [1] (see Corollary 1.5 there), this can be easily extended to show

$$\text{Let } \mathbf{b} \text{ be contiguous. Then } \mathbf{b} \text{ is } n\text{-covering for all } n \geq 1. \quad (2.6)$$

The existence of nonzero *nonbounding* degrees, i.e., nonzero c.e. degrees which do not bound a minimal pair of c.e. degrees, has been established by Lachlan [12], and this result has been strengthened by Ambos-Spies and Soare [4], who have shown that there is an infinite sequence of nonbounding degrees which pairwise form minimal pairs.

There are c.e. degrees  $\mathbf{c}_n > \mathbf{0}$  such that, for any  $n \geq 0$ ,  $\mathbf{c}_n$  is nonbounding, and, for any  $n \neq n' \geq 0$ ,  $\mathbf{c}_n \wedge \mathbf{c}_{n'} = \mathbf{0}$ . (2.7)

Now, following Ambos-Spies [3], say that a c.e. degree  $\mathbf{b}$  is of *type*  $n \geq 2$  *via*  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  if the degrees  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  are nonbounding and there is an embedding of the  $n$ -atom Boolean algebra  $\mathcal{B}_n = (2^{\{1, \dots, n\}}, \cup, \cap)$  into the initial segment  $\mathbf{R}(\leq \mathbf{b})$  which preserves joins, meets and the least and greatest elements and which maps the atoms of  $\mathcal{B}_n$  to the degrees  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ ; and say that  $\mathbf{b}$  is of *type*  $n$  if  $\mathbf{b}$  is of type  $n$  via some degrees  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ .

Examples of degrees of type  $n$  are provided by the following fact.

Let  $\mathbf{b}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  ( $n \geq 2$ ) be c.e. degrees such that  $\mathbf{b} = \mathbf{b}_0 \vee \dots \vee \mathbf{b}_{n-1}$ ,  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  are nonbounding and pairwise minimal pairs, and, for any  $\alpha$  s.t.  $\emptyset \subset \alpha \subseteq \{0, \dots, n-1\}$ ,  $\bigvee_{i \in \alpha} \mathbf{b}_i$  is contiguous. Then  $\mathbf{b}$  is of type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ .

(2.8)

(Since the proof of (2.8), which exploits (2.6), is quite straightforward and implicit in the proof of Corollary 5.5 in [1], we omit it here.) Note that, by (2.4) and (2.7), for any  $n \geq 2$  there are degrees  $\mathbf{b}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  as in the hypothesis of (2.8). So (by (2.6))

For  $n \geq 2$  there is a contiguous (hence  $n$ -covering) degree  $\mathbf{b}$  of type  $n$

(2.9)

holds. Finally, we observe that

Let  $\mathbf{b}$  be  $n$ -covering and of type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  ( $n \geq 2$ ). Then, for any c.e. degree  $\mathbf{a} \leq \mathbf{b}$ ,  $\mathbf{a}$  is nonbounding iff  $\mathbf{a} \leq \mathbf{b}_i$  for some  $i < n$

(2.10)

holds. (Namely, for a proof of the nontrivial implication, let  $\mathbf{a} \leq \mathbf{b}$  be nonbounding. Then, since  $\mathbf{b}$  is  $n$ -covering and  $\mathbf{b} = \mathbf{b}_0 \vee \dots \vee \mathbf{b}_{n-1}$ , there are c.e. degrees  $\mathbf{a}_i \leq \mathbf{b}_i$  ( $i < n$ ) such that  $\mathbf{a} = \mathbf{a}_0 \vee \dots \vee \mathbf{a}_{n-1}$ . But, since the degrees  $\mathbf{b}_i$  are pairwise minimal pairs and since  $\mathbf{a}$  is nonbounding, this implies that, for some  $i < n$ ,  $\mathbf{a} = \mathbf{a}_i$  hence  $\mathbf{a} \leq \mathbf{b}_i$ .)

Some of the above results together with Theorem 2.12 imply the following sufficient condition for a generator to have rank  $\geq n$  (for given  $n \geq 2$ ).

**Lemma 2.14:** *Let  $\mathbf{b}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  be c.e. degrees such that  $\mathbf{b}$  is of type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  ( $n \geq 2$ ), and let  $\mathbf{G}$  be a generator such that*

$$\mathbf{G} \cap \mathbf{R}(\leq \mathbf{b}) \subseteq \mathbf{R}(\leq \mathbf{b}_0) \cup \dots \cup \mathbf{R}(\leq \mathbf{b}_{n-1}) \quad (2.11)$$

*holds. Then  $\text{rank}(\mathbf{G}) \geq n$ .*

**Proof:** By Theorem 2.12, fix c.e. degrees  $\mathbf{a}, \mathbf{a}_0, \dots, \mathbf{a}_{n-1}$  such that  $\mathbf{a}$  is s.m.i.,  $\mathbf{0} < \mathbf{a}_i \leq \mathbf{b}_i$  for  $i < n$ , and  $\mathbf{a} = \mathbf{a}_0 \vee \dots \vee \mathbf{a}_{n-1}$ . Then, by Proposition 2.13, it suffices to show that  $\text{j-rank}_{\mathbf{G}}(\mathbf{a}) \geq n$ .

For a contradiction, assume that  $\text{j-rank}_{\mathbf{G}}(\mathbf{a}) = p < n$  and fix  $\mathbf{c}_0, \dots, \mathbf{c}_{p-1} \in \mathbf{G}$  such that  $\mathbf{a} = \mathbf{c}_0 \vee \dots \vee \mathbf{c}_{p-1}$ . Since  $\mathbf{a} \leq \mathbf{b}$ , it follows by (2.11) and by  $p < n$  that there is a number  $i < n$  such that

$$\mathbf{a} \leq \bigvee_{j \in \{0, \dots, n-1\} \setminus \{i\}} \mathbf{b}_j.$$

On the other hand, by  $\mathbf{b}$  having type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ ,

$$\left( \bigvee_{j \in \{0, \dots, n-1\} \setminus \{i\}} \mathbf{b}_j \right) \wedge \mathbf{b}_i = \mathbf{0}.$$

So  $\mathbf{a} \wedge \mathbf{b}_i = \mathbf{0}$ . But this is impossible since, by choice of  $\mathbf{a}_i$ ,  $\mathbf{a}_i \leq \mathbf{a}$ ,  $\mathbf{b}_i$  and  $\mathbf{a}_i \neq \mathbf{0}$ .  $\square$

The preceding observations suffice to prove Theorem 2.10.

**Proof:** (of Theorem 2.10) Since  $\mathbf{R}$  is a join generator of join rank 1 (hence rank 1), w.l.o.g. we may assume that  $n \geq 2$ . Let

$$\begin{aligned} \mathbf{G}_n = \{ & \mathbf{a} : \text{there is no } \mathbf{b} \geq \mathbf{a} \text{ which is both } n\text{-covering and of type } n\} \\ & \cup \{ \mathbf{a} : \mathbf{a} \text{ is nonbounding} \}. \end{aligned}$$

Obviously,  $\mathbf{G}_n$  is definable, and in [3] it has been shown that  $\mathbf{G}_n$  is a join generator of join rank  $n$ . So it suffices to show that  $\text{rank}(\mathbf{G}_n) \geq n$ .

By (2.9), fix c.e. degrees  $\mathbf{b}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  such that  $\mathbf{b}$  is  $n$ -covering and  $\mathbf{b}$  is of type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ . Then, by definition of  $\mathbf{G}_n$ ,

$$\mathbf{G}_n \cap \mathbf{R}(\leq \mathbf{b}) = \{ \mathbf{a} \leq \mathbf{b} : \mathbf{a} \text{ is nonbounding} \}.$$

So, by (2.10), (2.11) holds for  $\mathbf{G} = \mathbf{G}_n$ . Hence,  $\text{rank}(\mathbf{G}_n) \geq n$  by Lemma 2.14.  $\square$

For the proof of Theorem 2.11, in addition to the above observations we need two definability results: First, Downey and Lempp [9] have shown that the class of the contiguous degrees is definable (namely, a c.e. degree  $\mathbf{a}$  is contiguous if and only if  $\mathbf{a}$  is 2-covering). Second, Nies [14] has shown that, for any definable class  $\mathbf{D}$  of c.e. degrees, the ideal generated by  $\mathbf{D}$  is definable too. By inspecting the proof, one immediately gets the corresponding result for the join closure.

**Lemma 2.15:** (Nies) For any definable class  $\mathbf{D}$  of c.e. degrees, the join closure  $\text{CL}_j(\mathbf{D})$  of  $\mathbf{D}$  is definable too.

**Proof:** (of Theorem 2.11) Let

$$\mathbf{G}_\omega = \overline{\{ \mathbf{a} : \exists \mathbf{b} \geq \mathbf{a} (\mathbf{b} \in \text{CL}_j(\mathbf{NB}) \cap \text{CONT}) \}} \cup \mathbf{NB}$$

where  $\mathbf{NB}$  and  $\text{CONT}$  are the classes of the nonbounding degrees and contiguous degrees, respectively. Since, obviously,  $\mathbf{NB}$  is definable hence

$\text{CL}_j(\mathbf{NB})$  is definable by Lemma 2.15, it follows by definability of  $\mathbf{CONT}$  (Downey and Lempp [9]) that  $\mathbf{G}_\omega$  is definable.

To show that  $\mathbf{G}_\omega$  is a join generator, given  $\mathbf{a} \in \mathbf{R} \setminus \mathbf{G}_\omega$ , it suffices to show that  $\mathbf{a} \in \text{CL}_j(\mathbf{G}_\omega)$ . By choice of  $\mathbf{a}$  and by definition of  $\mathbf{G}_\omega$ ,  $\mathbf{a} \notin \mathbf{NB}$  and there is a degree  $\mathbf{b} \in \text{CL}_j(\mathbf{NB}) \cap \mathbf{CONT}$  such that  $\mathbf{a} \leq \mathbf{b}$ . So, by downward closure of  $\mathbf{NB}$  and by (2.6), there is a number  $n \geq 2$  and nonzero nonbounding degrees  $\mathbf{a}_i$  ( $i < n$ ) such that  $\mathbf{a} = \mathbf{a}_0 \vee \cdots \vee \mathbf{a}_{n-1}$ . So, in particular,  $\mathbf{a} \in \text{CL}_j(\mathbf{NB})$  which, by  $\mathbf{NB} \subseteq \mathbf{G}_\omega$ , implies the claim.

It remains to show that  $\text{rank}(\mathbf{G}_\omega) \geq n$  for all numbers  $n \geq 2$ . Fix  $n \geq 2$ , and, by (2.9), fix c.e. degrees  $\mathbf{b}, \mathbf{b}_0, \dots, \mathbf{b}_{n-1}$  such that  $\mathbf{b}$  is contiguous and  $\mathbf{b}$  is of type  $n$  via  $\mathbf{b}_0, \dots, \mathbf{b}_{n-1}$ . Then, by Lemma 2.14, it suffices to show

$$\mathbf{G}_\omega \cap \mathbf{R}(\leq \mathbf{b}) \subseteq \mathbf{R}(\leq \mathbf{b}_0) \cup \cdots \cup \mathbf{R}(\leq \mathbf{b}_{n-1}).$$

So fix  $\mathbf{a} \in \mathbf{G}_\omega \cap \mathbf{R}(\leq \mathbf{b})$ . Since  $\mathbf{a} \leq \mathbf{b}$  and  $\mathbf{b} \in \text{CL}_j(\mathbf{NB}) \cap \mathbf{CONT}$ , it follows by definition of  $\mathbf{G}_\omega$  that  $\mathbf{a}$  is nonbounding. So, by (2.10),  $\mathbf{a} \leq \mathbf{b}_i$  for some  $i < n$ , which completes the proof.  $\square$

### 3. Proof of Theorem 2.7

Before we give the proof of Theorem 2.7, we give some notation and facts used not only in this proof but also in the proofs of Theorems 2.8 and 2.12 given in the following two sections.

Let  $(\varphi_e)_{e \geq 0}$ ,  $(W_e)_{e \geq 0}$  and  $(\{e\}_e^\sigma)_{e \geq 0}$  be computable numberings of the unary partial computable functions, c.e. sets, and Turing functionals, respectively, where  $W_e$  is the domain of  $\varphi_e$ , and fix uniformly computable enumerations  $(\varphi_{e,s})_{s \geq 0}$ ,  $(W_{e,s})_{s \geq 0}$  and  $(\{e\}_{e,s}^\sigma)_{s \geq 0}$  of  $\varphi_e$ ,  $W_e$  and  $\{e\}^\sigma$ , respectively, such that

$$\varphi_{e,s}(x) \downarrow \text{ (i.e. } x \in W_{e,s}) \Rightarrow e, x < s, \quad (3.1)$$

$$\{e\}_s^X(x) \downarrow \Rightarrow e, x, u(X; e, x, s) < s \quad (3.2)$$

and

$$\{e\}_s^X(x) \downarrow \Rightarrow x < u(X; e, x, s) \quad (3.3)$$

hold, where  $u(X; e, x)$  and  $u(X; e, x, s)$  denote the use of  $\{e\}_e^X(x)$  and  $\{e\}_{e,s}^X(x)$ , respectively.

For modelling some of the required Turing reductions, we use (standard) markers. The standard marker of  $W_e$  (with respect to the given enumeration  $(W_{e,s})_{s \geq 0}$ ) is denoted by  $\gamma_e$  and defined by

$$\begin{aligned} \gamma_{e,0}(x) &= \langle x, 0 \rangle \\ \gamma_{e,s+1}(x) &= \begin{cases} \langle x, s+1 \rangle & \text{if } W_{e,s+1} \upharpoonright x \neq W_{e,s} \upharpoonright x, \\ \gamma_{e,s}(x) & \text{otherwise.} \end{cases} \end{aligned}$$

Note that  $\gamma_e(x) = \lim_{s \rightarrow \omega} \gamma_{e,s}(x)$  exists, that  $\gamma_e(x)$  is computable in  $W_e$  and that

$$\gamma_{e,s}(x) \leq \gamma_{e,s+1}(x) \leq \gamma_e(x) \tag{3.4}$$

for all numbers  $e, x, s$ . The following observation on the growth of the standard markers will be crucial.

**Lemma 3.1:** (*Standard Marker Lemma*) *Let  $A$  be any set and  $e$  be any index such that  $W_e \not\leq_T A$ . Then, for any infinite  $A$ -c.e. set  $D$  and any partial  $A$ -computable function  $\psi$  such that  $D$  is contained in the domain of  $\psi$ , there is an infinite  $A$ -computable subset  $\hat{D}$  of  $D$  such that  $\psi(x) < \gamma_e(x)$  for all  $x \in \hat{D}$ .*

This lemma is implicit already in Lachlan [11] and follows from the lemma to Theorem 2 of Chapter 18 of Shoenfield [16].

**General format of the proof**

We now turn to the proof of Theorem 2.7. By Lemma 2.3, it suffices to show that there is a low c.e. degree  $\mathbf{a}$  such that  $\mathbf{a} > \mathbf{0}$  and

$$\begin{aligned} \forall \mathbf{b}_0, \mathbf{b}_1 [\mathbf{b}_0 \vee \mathbf{b}_1 = \mathbf{a} \Rightarrow \mathbf{a} \leq \mathbf{b}_0 \quad \text{or} \\ \forall \mathbf{c}_0, \mathbf{c}_1 \not\leq \mathbf{a} \exists \mathbf{d} (\mathbf{d} \leq \mathbf{b}_1 \vee \mathbf{c}_0, \mathbf{b}_1 \vee \mathbf{c}_1 \ \& \ \mathbf{d} \not\leq \mathbf{a})] \end{aligned} \tag{3.5}$$

holds.

By a finite injury priority argument, we construct a c.e. set  $A$  such that  $\mathbf{a} = \text{deg}(A)$  has the required properties. Together with  $A$ , we enumerate auxiliary c.e. sets  $C_n$  ( $n \geq 0$ ). We let  $A_s$  and  $C_{n,s}$  denote the finite parts of  $A$  and  $C_n$ , respectively, enumerated by the end of stage  $s \geq 0$  of the construction. Moreover, we let  $A_0 = C_{n,0} = \emptyset$ , i.e., stage 0 of the construction is vacuous.

There is an infinite list of requirements  $R_e$ ,  $e \geq 0$ , to be met. The requirements are of three different types, *noncomputability requirements*  $P_e$ ,

lowness requirements  $N_e$ , and *inaccessibility requirements*  $Q_e$ . The priority ordering is determined by  $P_e = R_{3e}$ ,  $N_e = R_{3e+1}$ , and  $Q_e = R_{3e+2}$ .

At any stage  $s + 1$  of the construction, any requirement  $P_e$ ,  $N_e$  and  $Q_e$  with  $e \leq s$  may *require attention*, and the highest priority requirement which requires attention *becomes active* (or, in other words, *receives attention*). If  $R_e$  acts at stage  $s + 1$  then all lower priority requirements  $R_{e'}$ ,  $e < e'$ , are *initialized*. Moreover, if requirement  $R_e$  acts at stage  $s + 1$  then it may be declared to be *satisfied* at this stage. In this case,  $R_e$  remains satisfied unless it becomes initialized, i.e., if  $R_e$  is satisfied at stage  $s$  and  $R_e$  is not initialized at stage  $s + 1$  then  $R_e$  is satisfied at stage  $s + 1$  too. We say that  $R_e$  is *permanently satisfied at stage  $s$*  if  $R_e$  is satisfied at stage  $s$  and not initialized later, and  $R_e$  is *permanently satisfied* if there is a stage at which it is permanently satisfied.

We will argue that any requirement requires attention at most finitely often. So any requirement acts only finitely often and is initialized only finitely often.

### Requirements

The requirements are as follows. In order to ensure that  $\mathbf{a} > \mathbf{0}$  and  $\mathbf{a}$  is low, we meet the *Noncomputability Requirements*

$$P_e : A \neq \{e\}$$

and the *Lowness Requirements*

$$N_e : \text{If } \{e\}_s^A(e) \downarrow \text{ for infinitely many } s \text{ then } \{e\}^A(e) \downarrow$$

respectively. In order to guarantee (3.5), for any numbers  $n, m \geq 0$  and for the corresponding unique numbers  $i_0, i_1, j_0, j_1, j_2, k_0, k_1$  and  $e$  such that  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$  and  $e = \langle n, m \rangle$  we ensure

$$C_n \leq_T W_{i_1} \oplus W_{k_0}, W_{i_1} \oplus W_{k_1} \quad (3.6)$$

and meet the *Inaccessibility Requirements*

$$Q_e : \text{If } (i) \ W_{i_0} = \{j_0\}^A \ \& \ W_{i_1} = \{j_1\}^A \ \& \ A = \{j_2\}^{W_{i_0} \oplus W_{i_1}},$$

$$(ii) \ W_{k_0}, W_{k_1} \not\leq_T A, \text{ and}$$

$$(iii) \ A \not\leq_T W_{i_0}$$

$$\text{then } (*) \ C_n \neq \{m\}^A.$$

To see that the  $Q_e$  requirements, together with (3.6) ensure that (3.5) holds, suppose that  $\mathbf{b}_0 \vee \mathbf{b}_1 = \mathbf{a}$ ,  $\mathbf{a} \not\leq \mathbf{b}_0$ , and  $\mathbf{c}_0, \mathbf{c}_1 \not\leq \mathbf{a}$ . To show that (3.5) is met, we need to show that there is  $\mathbf{d}$  with  $\mathbf{d} \leq \mathbf{b}_1 \vee \mathbf{c}_0, \mathbf{b}_1 \vee \mathbf{c}_1$  and  $\mathbf{d} \not\leq \mathbf{a}$ . We may choose  $i_0, i_1, j_0, j_1, j_2, k_1, k_2$  such that  $W_{i_0} \in \mathbf{b}_0, W_{i_1} \in \mathbf{b}_1, W_{i_0} = \{j_0\}^A, W_{i_1} = \{j_1\}^A, A = \{j_2\}^{W_{i_0} \oplus W_{i_1}}, W_{k_0} \in \mathbf{c}_0$ , and  $W_{k_1} \in \mathbf{c}_1$ . Let  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$  and define  $\mathbf{d} = \text{deg}(C_n)$ . Then, (3.6) implies  $\mathbf{d} \leq \mathbf{b}_1 \vee \mathbf{c}_0, \mathbf{b}_1 \vee \mathbf{c}_1$ . Assuming that we meet  $Q_e$  for all  $e = \langle n, m \rangle$ , then, since for such  $e$  all the hypotheses (i), (ii), (iii) of  $Q_e$  hold, we must have  $C_n \neq \{m\}^A$  for all  $m$ , which ensures that  $\mathbf{d} \not\leq \mathbf{a}$ .

The strategies for satisfying condition (3.6) and meeting the requirements  $R_e$  are described next. There — just as in the following in general — when discussing condition (3.6) or an inaccessibility requirement  $Q_e$ , we always tacitly assume that  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$  and  $e = \langle n, m \rangle$ .

**Strategy for satisfying condition (3.6)**

Condition (3.6) is satisfied by marker permitting. To be more precise, the construction guarantees

$$x \in C_{n,s+1} \setminus C_{n,s} \Rightarrow \exists z < \min\{\gamma_{k_0,s}(x), \gamma_{k_1,s}(x)\} \quad (z \in W_{i_1,s+1} \setminus W_{i_1,s}) \tag{3.7}$$

where  $\gamma_{k_p}$  is the *standard marker* of  $W_{k_p}$  ( $p = 0, 1$ ). (Note that, by (3.4), (3.7) implies that a number  $x$  cannot enter  $C_n$  after a stage  $s$  such that  $W_{i_1,s} \upharpoonright \gamma_{k_p}(x) = W_{i_1} \upharpoonright \gamma_{k_p}(x)$  (for  $p = 0, 1$ ). Since  $\gamma_{k_p}$  is  $W_{k_p}$ -computable it follows that (3.7) ensures that (3.6) holds.)

**Strategy for meeting  $P_e$**

The strategy for meeting the noncomputability requirements is standard. It uses *followers*.

Requirement  $P_e$  *requires attention* at stage  $s + 1$  if  $e \leq s$ ,  $P_e$  is not satisfied at (the end of) stage  $s$ , and one of the following holds.

$$P_e \text{ has no follower at the end of stage } s. \tag{3.8}$$

$$P_e \text{ has follower } y \text{ at the end of stage } s, y \text{ is realized at stage } s, \tag{3.9}$$

$$\text{i.e., } \{e\}_s(y) = 0, \text{ and } y \notin A_s.$$

The corresponding action (if  $P_e$  receives attention at stage  $s + 1$ ) is as follows. If (3.8) holds then appoint  $y = s + 1$  as follower of  $P_e$ ; and if (3.9) holds then put the follower  $y$  of  $P_e$  into  $A$  and declare  $P_e$  to be *satisfied*.

At any stage  $s$  there is at most one follower of  $P_e$ . We denote this follower by  $y(e, s)$  and write  $y(e, s) \uparrow$  if such a follower does not exist.

If  $P_e$  is *initialized* at stage  $s + 1$  then the follower of  $P_e$  at the end of stage  $s$  (if any) is cancelled (hence  $y(e, s + 1) \uparrow$ ). A follower which is never cancelled (i.e. which is appointed at a stage after which  $P_e$  is not initialized anymore) is called *permanent*. We will argue that there will be a (unique) permanent follower  $y(e)$  of  $P_e$  and that this follower  $y(e)$  witnesses that  $P_e$  is met, i.e.,  $A(y(e)) \neq \{e\}(y(e))$ .

The noncomputability requirements are the only requirements which enumerate numbers into  $A$ . Since at any stage  $s + 1$  at most one requirement becomes active, it follows that

$$A_{s+1} \neq A_s \Rightarrow \exists! e \leq s (y(e, s) \downarrow \ \& \ A_{s+1} \setminus A_s = \{y(e, s)\}) \quad (3.10)$$

holds.

In the actual construction, the strategy for meeting the inaccessibility requirements  $Q_{e'}$  will require that the followers of the noncomputability requirements  $P_e$  have certain properties. For this sake, below we have to add another clause for  $P_e$  requiring attention.

### Strategy for meeting $N_e$

The strategy for meeting the lowness requirements is standard too.

Requirement  $N_e$  *requires attention* at stage  $s + 1$  if  $e \leq s$ ,  $N_e$  is not satisfied at (the end of) stage  $s$ , and the following holds.

$$\{e\}_s^{A_s}(e) \downarrow. \quad (3.11)$$

The corresponding action (if  $N_e$  receives attention at stage  $s + 1$ ) is as follows. Declare  $N_e$  to be *satisfied*.

Note that, by  $N_e$  becoming active, all followers of lower priority noncomputability requirements are cancelled. Since any follower appointed later will be greater than  $s + 1$  hence, by our convention (3.2), greater than  $u(A_s; e, e, s)$ , it follows by (3.10) that  $\{e\}^A(e) = \{e\}_s^{A_s}(e) \downarrow$  and therefore that  $N_e$  is met unless  $N_e$  becomes initialized later. So if  $N_e$  is permanently satisfied then  $N_e$  is met.

### Strategy for meeting $Q_e$

For the discussion of the strategy for meeting  $Q_e$ , fix  $e$  and the numbers  $n, m, i_0, i_1, j_0, j_1, j_2, k_0, k_1$ , such that  $e = \langle n, m \rangle$  and  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$ .

There are two strategies for meeting  $Q_e$ . The first strategy attempts to refute hypothesis (i) of the requirement (see (3.12) and (3.13) below). This strategy is purely  $A$ -negative: it waits for a disagreement in one of the equations in (i) which can be preserved by putting a restraint on  $A$ . The second strategy attempts to meet the requirement by satisfying (\*) (see (3.14) below). The latter strategy is  $A$ -negative too (in order to preserve a computation with oracle  $A$ ) and, in addition,  $C_n$ -positive.

Requirement  $Q_e$  *requires attention* at stage  $s + 1$  if  $e \leq s$ ,  $Q_e$  is not satisfied at (the end of) stage  $s$ , and one of the following holds.

$$\begin{aligned} \exists x \left( A_s(x) \neq \{j_2\}_s^{W_{i_0,s} \oplus W_{i_1,s}}(x) \downarrow, \right. \\ \text{and, for } u = u(W_{i_0,s} \oplus W_{i_1,s}; j_2, x, s) \text{ and for } p \leq 1, \quad (3.12) \\ \left. W_{i_p,s} \upharpoonright u = \{j_p\}_s^{A_s} \upharpoonright u \right) \end{aligned}$$

$$\exists x \exists p \leq 1 (W_{i_p,s}(x) = 1 \neq \{j_p\}_s^{A_s}(x) \downarrow) \quad (3.13)$$

$$\exists x \in \omega^{[e]} \quad (3.14)$$

$$\left( \{m\}_s^{A_s}(x) = 0 \ \& \ \exists z < \min\{\gamma_{k_0,s}(x), \gamma_{k_1,s}(x)\} (z \in W_{i_1,s+1} \setminus W_{i_1,s}) \right).$$

(Note that, by our convention (3.2), the quantifier  $\exists x$  in (3.12)–(3.14) can be replaced by the bounded quantifier  $\exists x \leq s$  whence it is decidable whether these conditions hold or not.)

The corresponding action (if  $Q_e$  receives attention at stage  $s + 1$ ) is as follows. Declare  $Q_e$  to be *satisfied*. Moreover if (3.14) holds then, for the least  $x$  as there, put  $x$  into  $C_n$ . Note that the latter action is consistent with (3.7). (Also note that the set  $\omega^{[e]}$  is reserved for  $Q_e$ , i.e., numbers from  $\omega^{[e]}$  can be enumerated into  $C_n$  only by the  $Q_e$ -strategy.)

If  $Q_e$  is permanently satisfied then  $Q_e$  is met. This is immediate by the following claim since, if  $Q_e$  becomes active at stage  $s + 1$  and is not initialized later, then  $A \upharpoonright s + 1 = A_s \upharpoonright s + 1$ .

Claim 3.2: Assume that  $Q_e$  requires and receives attention at stage  $s + 1$  and that  $A \upharpoonright s + 1 = A_s \upharpoonright s + 1$ . Then either clause (i) in  $Q_e$  fails or clause (\*) in  $Q_e$  holds. So, in particular,  $Q_e$  is met.

**Proof:** If (3.12) holds, fix the least  $x$  as there and the corresponding  $u$ . Then, by (3.2),  $x < s$  and  $u(A_s; j_p, y, s) < s$  for all  $y < u$  and  $p \leq 1$ . So, by  $A \upharpoonright s + 1 = A_s \upharpoonright s + 1$ ,  $A(x) = A_s(x)$  and, for  $p \leq 1$ ,  $\{j_p\}^A \upharpoonright u = \{j_p\}_s^{A_s} \upharpoonright u$ . It follows, by choice of  $x$  and  $u$ , that  $A(x) \neq \{j_2\}^{W_{i_0} \oplus W_{i_1}}(x)$  or, for some  $p \leq 1$ ,  $W_{i_p} \upharpoonright u \neq \{j_p\}^A \upharpoonright u$ . In either case, this implies that hypothesis (i) of  $Q_e$  fails, hence  $Q_e$  is met.

If (3.13) or (3.14) holds then the argument that  $Q_e$  is met is similar. If (3.13) holds then, for any  $x$  and  $p \leq 1$  as there,  $1 = W_{i_p}(x) \neq \{j_p\}^A(x)$ . So, again, hypothesis (i) of  $Q_e$  fails. Finally, if (3.14) holds then, for the least  $x$  as there,  $C_n(x) = 1 \neq 0 = \{m\}^A(x)$ . So the conclusion (\*) of  $Q_e$  holds.  $\square$

The above finitary strategy attempts to refute hypothesis (i) of  $Q_e$  by diagonalization or to guarantee the conclusion (\*) by diagonalization. It does not suffice to meet  $Q_e$ , and an additional infinitary strategy is needed which is based on the assumption that hypotheses (i) and (ii) of  $Q_e$  hold. Very roughly speaking, the idea of this strategy is as follows. By (i),  $A = \{j_2\}^{W_{i_0} \oplus W_{i_1}}$ . So a number  $y$  which enters  $A$  sufficiently late triggers a change of  $W_{i_0}$  or  $W_{i_1}$ . Now any follower  $y$  of a lower priority coding requirement which does not enter  $A$  sufficiently promptly is chosen so that a change of  $W_{i_1}$  gives the necessary permission to make an attack on  $Q_e$  via clause (3.14). So if the finitary strategy fails then we may argue that any “critical” number which enters  $A$  is “permitted” by  $W_{i_0}$ , hence  $A \leq_T W_{i_0}$  (so hypothesis (iii) of  $Q_e$  fails and the requirement is met).

This infinitary strategy interferes with the strategies of the noncomputability requirements but the impact on a fixed requirement is finitary (hence compatible with the finite injury framework). To implement the strategy, we use the following  $e$ -eligibility notion.

A number  $y$  is  $e$ -eligible ( $e = \langle n, m \rangle$ ,  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$ ) via numbers  $x, u, v$  and  $r$  at stage  $s + 1$  if the following hold.

$$x \in \omega^{[e]} \tag{3.15}$$

$$\{m\}_s^{A_s}(x) = 0 \tag{3.16}$$

$$u = u(A_s; m, x, s) \tag{3.17}$$

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$$y \geq u \quad (3.18)$$

$$y \notin A_s \quad (3.19)$$

$$A_s \upharpoonright y + 1 = \{j_2\}_s^{W_{i_0,s} \oplus W_{i_1,s}} \upharpoonright y + 1 \quad (3.20)$$

$$v = \max\{u(W_{i_0,s} \oplus W_{i_1,s}; j_2, z, s) : z \leq y\} \quad (3.21)$$

$$\forall p \leq 1 (W_{i_p,s} \upharpoonright v + 1 = \{j_p\}_s^{A_s} \upharpoonright v + 1) \quad (3.22)$$

$$r = \max\{u(A_s; j_p, z, s) : p \leq 1 \ \& \ z \leq v\} \quad (3.23)$$

and

$$v < \gamma_{k_0,s}(x), \gamma_{k_1,s}(x). \quad (3.24)$$

Call  $y$  *e-preeligible* via  $x, u, v$  and  $r$  at stage  $s + 1$  if (3.15)–(3.23) (but not necessarily (3.24)) hold. Call  $y$  *e-(pre)eligible* at stage  $s + 1$  if there are numbers  $x, u, v$ , and  $r$  such that  $y$  is *e-(pre)eligible* via  $x, u, v$ , and  $r$  at stage  $s + 1$ . Note that, if  $y$  is *e-(pre)eligible* via  $x, u, v$  and  $r$  at stage  $s + 1$ , then, by our conventions (3.2) and (3.3),

$$x < u \leq y < v < r < s. \quad (3.25)$$

(Also note that if  $y$  is *e-(pre)eligible* via  $x, u, v$  and  $r$  at stage  $s + 1$  then the numbers  $u, v$  and  $r$  are determined by  $y$  and  $x$ . So, in particular, since  $x < y$ , it is decidable whether  $y$  is *e-(pre)eligible* at stage  $s + 1$ .)

The following claim explains how *e-eligibility* is used in meeting requirement  $Q_e$ .

**Claim 3.3:** Assume that  $Q_e$  requires attention only finitely often and is satisfied only finitely often. If there is an infinite computable set  $S$  of stages such that, for any  $s \in S$ ,

$$\forall y, s' ([y \leq s \leq s' \ \& \ y \in A_{s'+1} \setminus A_{s'}] \Rightarrow y \text{ is } e\text{-eligible at stage } s' + 1) \quad (3.26)$$

holds, then requirement  $Q_e$  is met.

**Proof:** Fix an infinite computable set  $S$  such that (3.26) holds for all  $s \in S$ . In order to show that  $Q_e$  is met, w.l.o.g. we may assume that hypothesis (i) in  $Q_e$  holds, and it suffices to show that

$$A \leq_T W_{i_0} \quad (3.27)$$

holds (hence hypothesis (iii) of  $Q_e$  fails).

For a proof of (3.27), it suffices to show that there is a function  $s(z)$  ( $z \geq 0$ ) such that

$$A \upharpoonright z + 1 = A_{s(z)} \upharpoonright z + 1 \tag{3.28}$$

and such that  $s(z)$  can be uniformly computed from  $W_{i_0} \oplus (A \upharpoonright z)$ . Obviously, this gives an inductive procedure for uniformly computing  $A(z)$  from  $W_{i_0}$  for any  $z \geq 0$ .

The definition of  $s(z)$  is as follows. Fix  $s_0 \geq e$  such that  $Q_e$  neither requires attention nor is satisfied after stage  $s_0$ . Note that, by (i), for any  $z$  there are numbers  $v_z$  and  $r_z$  such that, for all sufficiently large stages  $s$  and for  $v(z, s) = v_z$  and  $r(z, s) = r_z$ , the following hold.

$$s > \max(z + 1, s_0) \tag{3.29}$$

$$A \upharpoonright z = A_s \upharpoonright z \tag{3.30}$$

$$A_s \upharpoonright z + 1 = \{j_2\}_s^{W_{i_0,s} \oplus W_{i_1,s}} \upharpoonright z + 1 \tag{3.31}$$

$$v(z, s) = \max\{u(W_{i_0,s} \oplus W_{i_1,s}; j_2, x, s) : x \leq z\} \tag{3.32}$$

$$W_{i_0} \upharpoonright v(z, s) = W_{i_0,s} \upharpoonright v(z, s) \tag{3.33}$$

$$\forall p \leq 1 (W_{i_p,s} \upharpoonright v(z, s) = \{j_p\}_s^{A_s} \upharpoonright v(z, s)) \tag{3.34}$$

$$r(z, s) = \max(\{u(A_s; j_p, x, s) : p \leq 1 \ \& \ x < v(z, s)\} \cup \{z + 1\}). \tag{3.35}$$

(Note that, for given  $z$  and  $s$ , if there are numbers  $v(z, s)$  and  $r(z, s)$  such that (3.29)–(3.35) hold then these numbers are uniquely determined by  $z$  and  $s$  via (3.32) and (3.35).) So there are infinitely many stages  $s$  as above with  $s \in S$ . Moreover, using  $W_{i_0} \oplus (A \upharpoonright z)$ , as an oracle, it is decidable whether, for a stage  $s$ , there are numbers  $v(z, s)$  and  $r(z, s)$  such that (3.29)–(3.35) hold. So, if we let  $s(z)$  be the least stage  $s$  such that  $s \in S$  (hence (3.26) holds) and, for some  $v(z, s)$  and  $r(z, s)$ , (3.29)–(3.35) hold then  $s(z)$  can be uniformly computed from  $W_{i_0} \oplus (A \upharpoonright z)$ .

It remains to show that (3.28) holds. Fix  $z$ , let  $s = s(z)$  and, for a contradiction, assume that  $A \upharpoonright z + 1 \neq A_s \upharpoonright z + 1$ . Then, by (3.30),

$$z \in A \setminus A_s. \tag{3.36}$$

Since, by (i),  $A(z) = \{j_2\}^{W_{i_0} \oplus W_{i_1}}(z)$ , it follows by (3.31), (3.32) and (3.33) that

$$W_{i_1} \upharpoonright v(z, s) \neq W_{i_1, s} \upharpoonright v(z, s).$$

So we may fix the unique  $t \geq s$  such that

$$W_{i_1, t+1} \upharpoonright v(z, s) \neq W_{i_1, t} \upharpoonright v(z, s) = W_{i_1, s} \upharpoonright v(z, s). \quad (3.37)$$

On the other hand, since (by (3.35))  $z < r(z, s)$ , it follows from (3.36) that there is a stage  $s' \geq s$  such that

$$A_{s'+1} \upharpoonright r(z, s) \neq A_{s'} \upharpoonright r(z, s). \quad (3.38)$$

Note that  $s' \leq t$  for the least such stage  $s'$  since otherwise, by (3.37), by (3.34) and by (3.35),

$$\begin{aligned} W_{i_1, t+1} \upharpoonright v(z, s) &\neq W_{i_1, s} \upharpoonright v(z, s) \\ &= \{j_1\}_s^{A_s} \upharpoonright v(z, s) \\ &= \{j_1\}_{t+1}^{A_{t+1}} \upharpoonright v(z, s). \end{aligned}$$

But this implies that  $Q_e$  requires attention via clause (3.13) at stage  $t+2$  which (by (3.29)) contradicts the choice of  $s_0$ .

So for the remainder of the argument, we may fix  $s'$  maximal such that  $s \leq s' \leq t$  and (3.38) holds. We will show that  $Q_e$  requires attention via clause (3.14) at stage  $t+1$  contrary to choice of  $s_0$ .

Fix the (unique) number  $y$  which enters  $A$  at stage  $s'+1$ . Then

$$z \leq y < r(z, s) < s \quad (3.39)$$

(where the first inequality holds by (3.30) and the last inequality holds by choice of  $r(z, s)$  and  $s$  and our convention (3.2)) and, by maximality of  $s'$ ,

$$(A_{t+1} \upharpoonright r(z, s)) \setminus (A_{s'} \upharpoonright r(z, s)) = \{y\}. \quad (3.40)$$

Moreover, by  $s \in S$  and (3.39),  $y$  is  $e$ -eligible at stage  $s'+1$ , say via  $x, u, v$  and  $r$ . So  $x \in \omega^{[e]}$ ,  $\{m\}_{s'}^{A_{s'}}(x) = 0$  and  $x < u = u(A_{s'}, m, x, s') \leq y$  which, by (3.40), implies  $\{m\}_t^{A_t}(x) = 0$ . So, in order to argue that  $Q_e$  requires attention via clause (3.14) at stage  $t+1$ , it suffices to show

$$W_{i_1, t+1} \upharpoonright \min\{\gamma_{k_0, t}(x), \gamma_{k_1, t}(x)\} \neq W_{i_1, t} \upharpoonright \min\{\gamma_{k_0, t}(x), \gamma_{k_1, t}(x)\}. \quad (3.41)$$

For a proof of (3.41), first note that, by  $e$ -eligibility of  $y$  via  $x, u, v$  and  $r$  at stage  $s'+1$ ,

$$v = \max\{u(W_{i_0, s'} \oplus W_{i_1, s'}; j_2, x', s') : x' \leq y\} < \gamma_{k_0, s'}(x), \gamma_{k_1, s'}(x).$$

So, since the standard markers are nondecreasing in the stages and since  $s' \leq t$ , by (the inequality in) (3.37), it suffices to show that  $v(z, s) \leq v$ . But this follows from  $z \leq y$  and  $s \leq s' \leq t$ , since, by (the equality in) (3.37), by (3.33), and by definition of  $v(z, s)$ ,

$$\begin{aligned} v(z, s) &= \max\{u(W_{i_0, s} \oplus W_{i_1, s}; j_2, x', s) : x' \leq z\} \\ &= \max\{u(W_{i_0, s'} \oplus W_{i_1, s'}; j_2, x', s') : x' \leq z\}. \end{aligned}$$

This completes the proof of (3.41) and the proof of Claim 3.3.  $\square$

The following two claims provide some more facts on  $e$ -eligibility to be used in the construction: The first claim shows when we can find  $e$ -eligible numbers while the second claim tells us how  $e$ -eligibility of a number can be preserved.

**Claim 3.4:** Assume that clauses (i) and (ii) in  $Q_e$  hold, and that  $\{m\}^A$  is total and, for almost all numbers  $x \in \omega^{[e]}$ ,  $\{m\}^A(x) = 0$ . Then, for any infinite  $A$ -computable subset  $E$  of  $\bar{A}$  there is an infinite subset  $F$  of  $E$  such that, for any  $y \in F$ , there are numbers  $x_y$ ,  $u_y$ ,  $v_y$  and  $r_y$  such that  $y$  is  $e$ -eligible via  $x_y$ ,  $u_y$ ,  $v_y$  and  $r_y$  at almost all stages.

**Proof:** Fix  $x_0$  minimal such that  $\{m\}^A(x) = 0$  for all  $x \geq x_0$  in  $\omega^{[e]}$ . By (i), by totality of  $\{m\}^A$ , and by choice of  $E$ , for any number  $x \geq 0$  there are unique numbers  $u(x)$ ,  $y(x)$ ,  $v(x)$ ,  $r(x)$  and  $s(x)$  such that

$$\begin{aligned} u(x) &= u(A; m, x), \\ y(x) &= \mu y \geq u(x) \ (y \in E), \\ v(x) &= \max\{u(W_{i_0} \oplus W_{i_1}; j_2, z) : z \leq y(x)\}, \\ r(x) &= \max\{u(A; j_p, z) : p \leq 1 \ \& \ z \leq v(x)\}, \end{aligned}$$

and  $s(x)$  is the least stage  $s > r(x)$  such that  $\{m\}_s^{A_s}(x) \downarrow$ ,  $u(A_s; m, x, s) = u(x)$ ,  $A_s(z) = \{j_2\}^{W_{i_0, s} \oplus W_{i_1, s}}(z)$  for all  $z \leq y(x)$ ,  $v(x) = \max\{u(W_{i_0, s} \oplus W_{i_1, s}; j_2, z, s) : z \leq y(x)\}$ ,  $W_{i_p, s}(z) = \{j_p\}_s^{A_s}(z)$  for all  $p \leq 1$  and  $z \leq v(x)$ ,  $r(x) = \max\{u(A_s; j_p, z, s) : p \leq 1 \ \& \ z \leq v(x)\}$ , and  $A \upharpoonright r(x) = A_s \upharpoonright r(x)$ .

Obviously,  $u(x)$ ,  $y(x)$ ,  $v(x)$ ,  $r(x)$  can be computed by  $A$ ,  $x < u(x) \leq y(x) < v(x) < r(x) < s(x)$ ,  $y(x) \in E$ , and, for any  $x \geq x_0$  in  $\omega^{[e]}$ ,  $y(x)$  is  $e$ -preeligible via  $x$ ,  $u(x)$ ,  $v(x)$  and  $r(x)$  at all stages  $s + 1 > s(x)$ . So it suffices to show that there are infinitely many numbers  $x \in \omega^{[e]}$  such that  $v(x) < \gamma_{k_0}(x)$  and  $\gamma_{k_1}(x)$ . But, by  $A$ -computability of  $v(x)$ , this is immediate by the Standard Marker Lemma. (Namely, a first application yields an infinite  $A$ -computable subset  $\hat{D}$  of  $\{x : x \in \omega^{[e]} \ \& \ x \geq x_0\}$

such that  $v(x) < \gamma_{k_0}(x)$ , and a second application yields an infinite  $A$ -computable subset  $\hat{D}$  of  $\hat{D}$  such that  $v(x) < \gamma_{k_1}(x)$ .  $\square$

**Claim 3.5:** Let  $e, y, x, u, v, r, s$  and  $s'$  be given such that  $s \leq s', e \leq s', y$  is  $e$ -(pre)eligible via  $x, u, v$  and  $r$  at stage  $s + 1$ ,  $A_{s'} \upharpoonright r = A_s \upharpoonright r$ , and  $Q_e$  is neither satisfied at stage  $s'$  nor requires attention at stage  $s' + 1$ . Then  $y$  is  $e$ -(pre)eligible via  $x, u, v$  and  $r$  at stage  $s' + 1$ .

**Proof:** Note that if (3.24) holds at stage  $s$  then it holds at all stages  $s' \geq s$  since the standard markers are nondecreasing in the stages. So it suffices to consider the case of preeligibility.

By assumption, (3.15)–(3.23) and (3.25) hold, and it suffices to show that (3.16), (3.17), (3.19), (3.20), (3.21), (3.22) and (3.23) remain true if we replace  $s$  by  $s'$ . Since, by (3.25),  $x < u \leq y < v < r < s$  and since, by assumption,  $A_{s'} \upharpoonright r = A_s \upharpoonright r$ , this is immediate for (3.16), (3.17), (3.19), and (3.23) where the last equation holds since, for  $z \leq v$ , the use of the computations  $\{j_p\}_s^{A_s}(z) \downarrow$  is bounded by  $r$  hence these computations are preserved through the end of stage  $s'$ . The latter implies that (by  $A_{s'} \upharpoonright y + 1 = A_s \upharpoonright y + 1$ ) the remaining conditions (3.20), (3.21) and (3.22) are preserved too, unless, for some  $p \leq 1$ ,  $W_{i_p, s'} \upharpoonright v + 1 \neq W_{i_p, s} \upharpoonright v + 1$ . If the latter happens, however, then there is a number  $x'$  such that

$$1 = W_{i_p, s'}(x') \neq W_{i_p, s}(x') = \{j_p\}_s^{A_s}(x') \downarrow = \{j_p\}_{s'}^{A_{s'}}(x') \downarrow.$$

So, since, by assumption,  $Q_e$  is not satisfied at stage  $s'$  and since  $e \leq s'$ , it follows that  $Q_e$  requires attention at stage  $s' + 1$  via clause (3.13) contrary to assumption. So the clauses (3.20)–(3.22) hold at stage  $s'$  too, which completes the proof of the claim.  $\square$

Claim 3.3 leads to the following strategy for meeting  $Q_e$ : if the follower  $y(e'', s)$  of a noncomputability requirement  $P_{e''}$  of lower priority than  $Q_e$  has not yet been enumerated into  $A$ ,  $y(e'', s)$  is not  $e$ -eligible, and there is a number  $y > y(e'', s)$  such that  $y \notin A_s$  and  $y$  is  $e$ -eligible at stage  $s + 1$  then we replace  $y(e'', s)$  by (the least such)  $y$ . Then, by Claim 3.4 and Claim 3.5 we will argue that if  $Q_e$  is not satisfied for some trivial reasons then (almost all) permanent followers which do not enter  $A$  will become  $e$ -eligible and from this we conclude that the premise of Claim 3.3 will be satisfied.

To synchronize the attempts to make followers  $e$ -eligible for the higher priority inaccessibility requirements  $Q_e$ , we introduce  $e$ -states.

The  $e$ -state of a number  $y$  at the end of stage  $s$  is the (binary) string  $\sigma(e, y, s)$  of length  $e$  defined by

$$\forall e' < e \left( \sigma(e, y, s)(e') = 0 \Leftrightarrow y \text{ is } e'\text{-eligible at stage } s+1 \text{ or } Q_{e'} \text{ is satisfied at the end of stage } s \right).$$

$e$ -states are ordered by the standard lexicographical ordering, i.e.,  $\sigma < \sigma'$  if there is a number  $e' < e$  such that  $\sigma(e') \neq \sigma'(e')$  and, for the least such  $e'$ ,  $\sigma(e') < \sigma'(e')$ ; and  $\sigma \leq \sigma'$  if  $\sigma < \sigma'$  or  $\sigma = \sigma'$ . In addition, we use the partial ordering  $\preceq$  on  $\{0, 1\}^e$ , where  $\sigma \preceq \sigma'$  if  $\sigma(e') \leq \sigma'(e')$  for all  $e' < e$ ; and we write  $\sigma \prec \sigma'$  if  $\sigma \preceq \sigma'$  and  $\sigma \neq \sigma'$ . Note that  $\sigma \prec \sigma'$  implies  $\sigma < \sigma'$ , but the converse in general fails. In order to distinguish between  $<$  ( $\leq$ ) and  $\prec$  ( $\preceq$ ), we say that  $\sigma$  is (*weakly*) *less* than  $\sigma'$  if  $\sigma < \sigma'$  ( $\sigma \leq \sigma'$ ) holds, and we say that  $\sigma$  (*weakly*) *precedes*  $\sigma'$  if  $\sigma \prec \sigma'$  ( $\sigma \preceq \sigma'$ ) holds. If we talk about the *least*  $e$ -state with a certain property, we always mean the least  $e$ -state with this property with respect to the lexicographical ordering  $<$ .

### Strategy for meeting $P_e$ revisited

In the spirit of the above comments, in order to make the action of the noncomputability requirements compatible with the strategy for meeting the inaccessibility requirements, we ensure that the  $e$ -state of the followers of  $P_e$  becomes as small as possible. Roughly speaking, this is ensured by replacing a follower  $y$  of  $P_e$  which is not yet in  $A$  and not yet realized by a larger number  $y'$  which is not yet in  $A$  and which has smaller  $e$ -state than  $y$ , whenever this is possible. To be more precise, if  $y = y(e, s)$  is the follower of  $P_e$  at the end of stage  $s$  then a *declared*  $e$ -state denoted by  $\hat{\sigma}(e, s)$  is attached to  $P_e$ . We ensure that the true  $e$ -state of  $y$ ,  $\sigma(e, y, s)$  weakly precedes the declared  $e$ -state  $\hat{\sigma}(e, s)$  as long as  $y$  is not enumerated into  $A$  and not cancelled (if  $y$  is cancelled then the declared  $e$ -state is cancelled too), and if there is a number  $y' > y$  which is not yet in  $A$  and which has an  $e$ -state less than the declared  $e$ -state of  $P_e$  then we replace the follower  $y$  by such a number  $y'$  and let the declared  $e$ -state be the true  $e$ -state of this number at the current stage.

The above is implemented by adding to (3.8) and (3.9) the following third clause causing  $P_e$  to require attention at stage  $s+1$ .

$P_e$  has follower  $y$  at the end of stage  $s$ ,  $y$  is not realized at stage  $s$ , and there is a number  $y'$  such that  $y < y' \leq s$ ,  $y' \notin A_s$ , and  $\sigma(e, y', s) < \hat{\sigma}(e, s)$ .

(3.42)

If this clause applies and  $P_e$  receives attention then the follower  $y$  is replaced by the least such  $y'$  and the declared  $e$ -state of  $P_e$  at stage  $s + 1$  is defined to be  $\sigma(e, y', s)$ . Moreover, if  $P_e$  receives attention via clause (3.8) at stage  $s + 1$  and a new follower is appointed then the maximum  $e$ -state  $1^e$  becomes the declared  $e$ -state of  $P_e$  at stage  $s + 1$ , i.e.,  $\hat{\sigma}(e, s + 1) = 1^e$ .

(Note that, by clause (3.42), a  $P_e$ -follower  $y$  may be replaced at stage  $s + 1$  by a number  $y' \leq s$ . So, for given  $e$ ,  $y$  and  $s$ , it will not be decidable anymore whether  $y$  will be a  $P_e$  follower at a stage  $\geq s$ .)

### The construction

The construction is immediate by the above. For clarity of presentation we explicitly state it here using the previously given definition of requiring attention. Parameters depending on the stage which are attached to the requirements persist unless explicitly stated otherwise. If any requirement  $R_e$  becomes initialized at stage  $s + 1$  and it was satisfied at the end of stage  $s$  then it becomes unsatisfied. Moreover, if a noncomputability requirement  $P_e$  becomes initialized at stage  $s + 1$  and it has a follower  $y = y(e, s)$  at the end of stage  $s$  then this follower is cancelled as well as the declared  $e$ -state (i.e.,  $y(e, s + 1) \uparrow$  and  $\hat{\sigma}(e, s + 1) \uparrow$ ).

At stage 0, no requirement is satisfied and no noncomputability requirement has a follower (i.e.,  $y(e, 0) \uparrow$  and  $\hat{\sigma}(e, 0) \uparrow$  for  $e \geq 0$ ), and  $A_0 = C_{n,0} = \emptyset$  for all  $n \geq 0$ . Stage  $s + 1 > 0$  is as follows.

*Stage  $s + 1$ .* Fix  $k$  minimal such that  $R_k$  requires attention at stage  $s + 1$ , fix  $e$  and  $i \leq 2$  such that  $k = 3e + i$ , and distinguish the following three cases according to the type of requirement  $R_k$ .

*Case 1:*  $k = 3e$ , i.e.,  $R_k = P_e$ . If (3.8) holds then appoint  $y = s + 1$  as follower of  $P_e$  (i.e., let  $y(e, s + 1) = s + 1$ ) and let  $\hat{\sigma}(e, s + 1) = 1^e$ ; if (3.9) holds then put the follower  $y = y(e, s)$  of  $P_e$  into  $A$  and declare  $P_e$  to be *satisfied*; and if (3.42) holds then replace the follower  $y = y(e, s)$  of  $P_e$  by the least number  $y'$  as there, i.e., let  $y(e, s + 1) = y'$  and let  $\hat{\sigma}(e, s + 1) = \sigma(e, y', s)$ .

*Case 2:*  $k = 3e + 1$ , i.e.,  $R_k = N_e$ . Declare  $N_e$  to be *satisfied*.

*Case 3:*  $k = 3e + 2$ , i.e.,  $R_k = Q_e$ . Then declare  $Q_e$  to be *satisfied*. Moreover if (3.14) holds then, for the least  $x$  as there, put  $x$  into  $C_n$  (where  $e = \langle n, m \rangle$  for some  $m$ ).

In any case, declare that requirement  $R_k$  receives attention and is active at stage  $s + 1$ , and initialize all requirements  $R_{k'}$  with  $k' > k$ .

### Verification

Obviously the construction satisfies (3.7). Hence (3.6) holds. So it suffices to show that all requirements are met.

We start with some observations on the followers and the declared states of the noncomputability requirements.

Obviously, for any  $e$ ,  $P_e$  has no follower at the end of stage 0 and, for any  $s \geq 0$ , there is at most one follower of  $P_e$  at the end of stage  $s + 1$ . So we may let  $y(e, s)$  denote the follower of  $P_e$  at the end of stage  $s$  and let  $y(e, s) \uparrow$  indicate that there is no such follower. Since at any stage at most one requirement acts and only the noncomputability requirements enumerate numbers into  $A$ , (3.10) is immediate. Moreover, by a straightforward induction on stage  $s$ , the indices  $e$  for which  $y(e, s)$  is defined is an initial segment of  $\omega$  of size  $\leq s$ , the followers (when defined) are nondecreasing in the stage and strictly increasing in the index, and a follower is bounded by its index from below and by the stage from above, i.e.,

$$\exists e \leq s (y(e', s) \downarrow \Leftrightarrow e' < e) \quad (3.43)$$

$$s < s' \ \& \ y(e, s) \downarrow \ \& \ y(e, s') \downarrow \Rightarrow y(e, s) \leq y(e, s') \quad (3.44)$$

$$e < e' \ \& \ y(e', s) \downarrow \Rightarrow y(e, s) < y(e', s) \quad (3.45)$$

$$y(e, s) \downarrow \Rightarrow e < y(e, s) \leq s \quad (3.46)$$

hold. Also note that if  $P_e$  has no follower at some stage then any of its later followers is greater than this stage, i.e.,

$$s < s' \ \& \ y(e, s) \uparrow \ \& \ y(e, s') \downarrow \Rightarrow y(e, s') > s, \quad (3.47)$$

and if  $P_e$  becomes active then the lower priority followers are cancelled hence

$$e < e' \ \& \ y(e, s) \neq y(e, s + 1) \Rightarrow y(e', s + 1) \uparrow. \quad (3.48)$$

Moreover, if the follower  $y$  is assigned to  $P_e$  at stage  $s + 1$  and  $P_e$  neither is initialized nor becomes active by stage  $s' > s$  then, by cancellation of the

lower priority followers at stage  $s + 1$ , no number  $\leq s + 1$  enters  $A$  after stage  $s$  and prior to stage  $s' + 1$  whence

$$s < s' \ \& \ y(e, s) \neq y(e, s + 1) \downarrow = y(e, s') \notin A_{s'} \Rightarrow A_{s'} \upharpoonright s + 2 = A_s \upharpoonright s + 2. \quad (3.49)$$

The declared state of a noncomputability requirement is defined at the end of a stage if and only if the requirement has a follower at the end of this stage, and the declared state changes if and only if the follower changes. Moreover, if a follower is appointed then the declared state assumes its maximum possible value (hence is weakly preceded by the  $e$ -state of the new follower at the previous stage), and if a follower is replaced with another number then the declared state is decreased and coincides with the true  $e$ -state of the new follower at the previous stage.

$$\hat{\sigma}(e, s) \downarrow \Leftrightarrow y(e, s) \downarrow \quad (3.50)$$

$$\hat{\sigma}(e, s) \neq \hat{\sigma}(e, s + 1) \Leftrightarrow y(e, s) \neq y(e, s + 1) \quad (3.51)$$

$$\hat{\sigma}(e, s) \neq \hat{\sigma}(e, s + 1) \downarrow \Rightarrow \sigma(e, y(e, s + 1), s) \preceq \hat{\sigma}(e, s + 1) \quad (3.52)$$

$$\hat{\sigma}(e, s) \downarrow \neq \hat{\sigma}(e, s + 1) \downarrow \Rightarrow \hat{\sigma}(e, s) > \hat{\sigma}(e, s + 1) = \sigma(e, y(e, s + 1), s). \quad (3.53)$$

Finally, observe that the declared states satisfy the following weak monotonicity property.

$$e < e' \ \& \ y(e, s) \notin A_s \ \& \ y(e', s) \downarrow \Rightarrow \hat{\sigma}(e, s) \leq \hat{\sigma}(e', s) \upharpoonright e. \quad (3.54)$$

Namely, for a contradiction, assume that  $e < e'$ ,  $y(e, s) \notin A_s$  and  $y(e', s) \downarrow$  hold but  $\hat{\sigma}(e', s) \upharpoonright e < \hat{\sigma}(e, s)$ . Fix  $s' < s$  minimal such that  $y(e', t) = y(e', s)$  for all  $t$  with  $s' + 1 \leq t \leq s$ . Then  $y(e', s') \neq y(e', s' + 1) \downarrow$  and (by (3.51) and by assumption)  $\hat{\sigma}(e', s' + 1) = \hat{\sigma}(e', s) < 1^{e'}$ . So  $P_{e'}$  receives attention at stage  $s' + 1$  according to clause (3.42) whence, for  $y = y(e', s' + 1)$ ,  $y(e', s') < y \leq s'$ ,  $y \notin A_{s'}$  and  $\sigma(e', y, s') = \hat{\sigma}(e', s' + 1)$  hence  $\sigma(e', y, s') \upharpoonright e < \hat{\sigma}(e, s)$ . On the other hand (by (3.48) and (3.51))  $y(e, s') = y(e, s)$  and  $\hat{\sigma}(e, s') = \hat{\sigma}(e, s)$ . Since (by (3.45))  $y(e, s') < y(e', s')$  and since  $y(e, s') = y(e, s) \notin A_{s'}$ , it follows that  $P_e$  requires attention at stage  $s' + 1$  according to clause (3.42) (or clause (3.9)) via  $y$ , which gives the desired contradiction.

Call a follower  $y$  of  $P_e$  *permanent* if  $y = y(e, s)$  for all sufficiently large  $s$  and call an  $e$ -state  $\sigma$  the *permanent declared  $e$ -state* of  $P_e$  if  $\sigma = \hat{\sigma}(e, s)$  for all sufficiently large  $s$ . Call requirement  $R_e$  *permanently satisfied at stage  $s$*  if  $R_e$  is satisfied at stage  $s$  and not initialized later (hence satisfied at

all stages  $s' \geq s$ ), and call  $R_e$  *permanently satisfied* if it is permanently satisfied at some stage.

**Claim 3.6:** Any requirement  $R_n$  requires attention only finitely often. Moreover, any requirement  $P_e$  has a permanent follower.

**Proof:** The proof is by induction on  $n$ . Fix  $n$  and, by inductive hypothesis, fix a stage  $s_0 > n$  such that no requirement  $R_{n'}$  with  $n' < n$  requires attention after stage  $s_0$ . Then  $R_n$  receives attention at any stage  $s + 1 > s_0$  at which it requires attention and  $R_n$  is not initialized after stage  $s_0$ . So if  $R_n$  is a lowness or inaccessibility requirement then  $R_n$  will require attention at most once after stage  $s_0$ , since if it requires attention then it receives attention and is satisfied from this stage on (hence does not require attention anymore).

So, for the remainder of the proof, we may assume that  $R_n$  is a noncomputability requirement, say  $R_n = P_e$ . If  $P_e$  has no follower at the end of stage  $s_0$  then (by  $s_0 > n \geq e$ ) it requires and receives attention via clause (3.8) at stage  $s_0 + 1$ . So, since  $P_e$  is not initialized after stage  $s_0$ ,  $y(e, s) \downarrow$  for all  $s > s_0$ . So  $P_e$  does not require attention via (3.8) after stage  $s_0 + 1$ , and  $P_e$  requires attention at most once via (3.9) at a stage  $s \geq s_0 + 1$  since if this happens then  $P_e$  becomes permanently satisfied at this stage hence does not require attention later. Finally, since  $y(e, s)$  is defined for all  $s \geq s_0 + 1$  it follows, by (3.50), that  $\hat{\sigma}(e, s) \downarrow$  for such  $s$ . It follows, by (3.53), that  $\hat{\sigma}(e, s)$  is weakly decreasing after stage  $s_0$  and  $\hat{\sigma}(e, s + 1) < \hat{\sigma}(e, s)$  if and only if  $P_e$  becomes active via clause (3.42) at stage  $s + 1$ . So  $P_e$  can act (hence require attention) via (3.42) at most  $2^e - 1$  times after stage  $s_0 + 1$ . So  $P_e$  requires attention only finitely often, and, for the follower  $y(e, s + 1)$  existing at the last stage  $s + 1$  at which  $P_e$  acts,  $y(e, s') = y(e, s + 1)$  for all  $s' > s$  hence  $y(e, s + 1)$  is permanent.  $\square$

Note that, by Claim 3.6 and by (3.50) and (3.51), requirement  $P_e$  does not only have a permanent follower but also a permanent declared  $e$ -state. Let  $y(e)$  be the permanent follower of requirement  $P_e$  and let  $\hat{\sigma}(e)$  be the permanent declared  $e$ -state of  $P_e$ . Moreover, let  $t_e + 1$  be the stage at which the permanent follower  $y(e)$  is appointed. Note that, by (3.46) and (3.45),  $e < y(e) < y(e')$  for  $e < e'$ . Moreover, it is immediate by Claim 3.6 and construction, that

$$A(y(e)) \neq \{e\}(y(e)) \quad (3.55)$$

(for any  $e \geq 0$ ). Indeed, suppose that  $A(y(e)) = 0$ . Then,  $P_e$  is not permanently satisfied and if  $\{e\}(y(e)) = 0$ , then  $P_e$  would require attention infinitely often via (3.9), contradicting Claim 3.6. Now suppose that  $A(y(e)) = 1$ . If  $P_e$  requires attention at stage  $t_e + 1$  via (3.8), then  $y(e) = t_e + 1$ , and (3.46) and the construction imply that  $y(e) \notin A_{t_e+1}$ , while if  $P_e$  requires attention at stage  $t_e + 1$  via (3.42), we again have  $y(e) \notin A_{t_e+1}$ . It follows from (3.45) that after stage  $t_e + 1$ ,  $y(e)$  cannot be the follower of any requirement other than  $P_e$ . Thus,  $A(y(e)) = 1$  implies that  $P_e$  received attention at some stage after  $t_e + 1$  via (3.9) and  $y(e)$  is realized, so again  $A(y(e)) \neq \{e\}(y(e))$ .

This immediately implies that the noncomputability requirements are met, and Claim 3.6 easily implies that the lowness requirements are met too.

Claim 3.7: Any requirement  $P_e$  and  $N_e$  is met.

**Proof:** The first part of the claim is immediate by (3.55). The proof of the second part is as follows. If  $\{e\}_s^{A_s}(e) \downarrow$  for infinitely many stages  $s$  then, for the least stage  $s \geq e$  such that no requirement of higher priority than  $N_e$  requires attention after stage  $s$  and  $\{e\}_s^{A_s}(e) \downarrow$ ,  $N_e$  becomes active at stage  $s + 1$  thereby cancelling all lower priority followers. So (by choice of  $s$  and by (3.47))  $A \upharpoonright s + 1 = A_s \upharpoonright s + 1$  which, by our convention (3.2), ensures that  $\{e\}^A(e) = \{e\}_s^{A_s}(e) \downarrow$ .  $\square$

It remains to show that the inaccessibility requirements  $Q_e$  are met. For the remainder of the proof, fix  $e \geq 0$ , and fix  $n, m, i_0, i_1, j_0, j_1, j_2, k_0, k_1$  such that  $e = \langle n, m \rangle$  and  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$ . Moreover, by Claim 3.6, fix the greatest stage  $s_0$  such that  $Q_e$  or a higher priority requirement requires attention at stage  $s_0$ . Note that  $s_0 \geq e + 1$  (since  $P_e$  has higher priority than  $Q_e$ ,  $P_e$  eventually requires attention, and  $P_e$  requires attention only at stages  $s + 1 > e$ ). Moreover, by initialization,

$$\forall e'' > e (y(e'', s_0) \uparrow \ \& \ \hat{\sigma}(e'', s_0) \uparrow). \tag{3.56}$$

The following observation will be useful for showing that  $Q_e$  is met.

Claim 3.8: (a) Let  $s, s'$  and  $y$  be given such that  $s_0 \leq s < s'$  and  $A_{s'} \upharpoonright s + 2 = A_s \upharpoonright s + 2$ . Then  $\sigma(e + 1, y, s') \preceq \sigma(e + 1, y, s)$ .

(b) Let  $e'', s'$  and  $y$  be given such that  $e < e'', s_0 \leq s'$ , and  $y = y(e'', s') \notin A_{s'}$ . Then  $\sigma(e + 1, y, s') \preceq \hat{\sigma}(e'', s') \upharpoonright e + 1$ .

**Proof:** (a) Given  $e' \leq e$  such that  $\sigma(e+1, y, s)(e') = 0$ , it suffices to show that

$$\sigma(e+1, y, s')(e') = 0. \quad (3.57)$$

If  $Q_{e'}$  is satisfied at stage  $s$  then, by  $s_0 \leq s$ ,  $Q_{e'}$  is permanently satisfied at stage  $s_0$  hence satisfied at stage  $s'$  whence (3.57) holds. Otherwise, there are numbers  $x, u, v$  and  $r$  such that  $y$  is  $e'$ -eligible via  $x, u, v$  and  $r$  at stage  $s+1$ , and it suffices to show that  $y$  is  $e'$ -eligible via  $x, u, v$  and  $r$  at stage  $s'+1$  too. But, since  $s_0 \leq s$  and  $r < s$ , this is immediate by Claim 3.5.

(b) Fix  $s < s'$  minimal such that  $y(e'', s+1) = y(e'', s') = y$ . Then, by (3.49),  $A_{s'} \upharpoonright s+2 = A_s \upharpoonright s+2$  and, for all  $t$  with  $s+1 \leq t \leq s'$ ,  $y(e'', t) = y(e'', s') = y$  whence  $s_0 \leq s$  by (3.56). So, by part (a) of the claim,  $\sigma(e+1, y, s') \preceq \sigma(e+1, y, s)$ . On the other hand, by choice of  $s$ , it follows, by (3.52), that  $\sigma(e+1, y, s) \preceq \hat{\sigma}(e'', s+1) \upharpoonright e+1$  and, by (3.50) and (3.51), that  $\hat{\sigma}(e'', s') = \hat{\sigma}(e'', s+1)$ . So  $\sigma(e+1, y, s') \preceq \hat{\sigma}(e'', s') \upharpoonright e+1$ .  $\square$

Claim 3.9:  $Q_e$  is met.

**Proof:** If  $Q_e$  is permanently satisfied then, for the greatest stage  $s+1$  at which  $Q_e$  receives attention,  $Q_e$  is not initialized later whence  $A \upharpoonright s+2 = A_s \upharpoonright s+2$ . So  $Q_e$  is met by Claim 3.2. Hence, for the remainder of the proof we may assume that  $Q_e$  is not satisfied at any stage  $s \geq s_0$ . Moreover, w.l.o.g. we may assume that the clauses (i) and (ii) in  $Q_e$  hold while clause (\*) fails (since, otherwise,  $Q_e$  is obviously met). So, in particular, the assumptions made in Claim 3.3 and Claim 3.4 hold.

By the above and by Claim 3.3, in order to show that  $Q_e$  is met, it suffices to show that there is an infinite computable set  $S$  of stages  $s$  satisfying (3.26). For giving such a set  $S$ , we need some more notation and observations.

Let  $e' \leq e$  and  $s \geq s_0$ . A number  $y$  is *truly  $e'$ -eligible via  $x, u, v, r$  at stage  $s+1$*  if  $y$  is  $e'$ -eligible via  $x, u, v, r$  at stage  $s+1$  and  $A \upharpoonright r = A_s \upharpoonright r$ ;  $y$  is *truly  $e'$ -eligible at stage  $s+1$*  if  $y$  is truly  $e'$ -eligible via some numbers  $x, u, v$  and  $r$  at stage  $s+1$ ; and the  $(e+1)$ -state of  $y$  *truly precedes* the  $(e+1)$ -state  $\sigma$  at stage  $s$  ( $\sigma(e+1, y, s) \preceq_t \sigma$  for short) if, for any  $e' \leq e$  such that  $\sigma(e') = 0$  and  $Q_{e'}$  is not satisfied at the end of stage  $s_0$ ,  $y$  is truly  $e'$ -eligible at stage  $s+1$ .

Note that if  $e' \leq e$ ,  $s \geq s_0$ ,  $Q_{e'}$  is not satisfied at stage  $s_0$  and  $y$  is truly  $e'$ -eligible at stage  $s+1$  then (by (3.25))  $y \notin A$  and, by choice of  $s_0$  and

Claim 3.5,  $y$  is (truly)  $e'$ -eligible at stage  $s'+1$  for all stages  $s' \geq s$ . It follows that, for any number  $y$ , any stages  $s$  and  $s'$  such that  $s' \geq s \geq s_0$  and any  $(e+1)$ -states  $\sigma$  and  $\sigma'$  such that  $\sigma \preceq \sigma'$  and such that the  $(e+1)$ -state of  $y$  truly precedes  $\sigma$  at stage  $s$ , it holds that the  $(e+1)$ -state of  $y$  at stage  $s'$  truly precedes  $\sigma'$ , i.e.,

$$\begin{aligned} & \forall s, s', y \geq 0 \forall \sigma, \sigma' \in \{0, 1\}^{e+1} \\ & ([s_0 \leq s \leq s' \ \& \ \sigma(e+1, y, s) \preceq_t \sigma \ \& \ \sigma \preceq \sigma'] \Rightarrow \sigma(e+1, y, s') \preceq_t \sigma') \end{aligned} \quad (3.58)$$

holds. Moreover, obviously, for  $s \geq s_0$ ,  $\sigma(e+1, y, s) \preceq_t \sigma$  implies  $\sigma(e+1, y, s) \preceq \sigma$ . Conversely, (by (3.25))

$$\begin{aligned} & \forall s \geq s_0 \forall y \geq 0 \forall \sigma \in \{0, 1\}^{e+1} \\ & ([\sigma(e+1, y, s) \preceq \sigma \ \& \ A \upharpoonright s+2 = A_s \upharpoonright s+2] \Rightarrow \sigma(e+1, y, s) \preceq_t \sigma). \end{aligned} \quad (3.59)$$

Now let  $\sigma^*$  be the least  $(e+1)$ -state  $\sigma$  (with respect to the ordering  $\leq$ ) such that, for infinitely many numbers  $y \notin A$ , there is a stage  $s \geq s_0$  at which the  $(e+1)$ -state of  $y$  truly precedes  $\sigma$ , i.e.,

$$\sigma^* = \mu \sigma \in \{0, 1\}^{e+1} [\exists^\infty y \notin A \exists s \geq s_0 (\sigma(e+1, y, s) \preceq_t \sigma)]$$

(note that  $\sigma^*$  exists since  $A$  is co-infinite and, for any  $y$  and  $s \geq s_0$ , the  $(e+1)$ -state of  $y$  truly precedes  $1^{e+1}$  at stage  $s$ ), and fix  $e_0 > e$  minimal such that

$$y(e_0) \notin A \quad (3.60)$$

and, for any  $y \geq e_0$  with  $y \notin A$ , there is no stage  $s \geq s_0$  and no  $\sigma < \sigma^*$  such that the  $(e+1)$ -state of  $y$  truly precedes  $\sigma$  at stage  $s$ , i.e.,

$$\forall y \geq e_0 \forall s \geq s_0 \forall \sigma < \sigma^* (y \notin A \Rightarrow \sigma(e+1, y, s) \not\preceq_t \sigma) \quad (3.61)$$

holds (note that  $e_0$  exists since, by choice of  $\sigma^*$ , (3.61) holds for almost all numbers  $e_0$  while, by (3.55), (3.60) holds for infinitely many  $e_0$ ).

The following properties of  $\sigma^*$  will be crucial.

$$\forall e'' \geq e_0 \forall s > t_{e_0} (\hat{\sigma}(e'', s) \downarrow \Rightarrow \sigma^* \leq \hat{\sigma}(e'', s) \upharpoonright e+1) \quad (3.62)$$

$$\forall e'' \geq e_0 (y(e'') \notin A \Rightarrow \hat{\sigma}(e'') \upharpoonright e+1 = \sigma^*) \quad (3.63)$$

$$\sigma^*(e) = 0. \quad (3.64)$$

The proof of (3.62) is as follows. Since  $y(e_0) \notin A$  and  $\hat{\sigma}(e_0, s) = \hat{\sigma}(e_0, t_{e_0} + 1)$  for all  $s > t_{e_0}$ , by (3.54) (and (3.50)), it suffices to show

that  $\sigma^* \leq \hat{\sigma}(e_0, t_{e_0} + 1) \upharpoonright e + 1$ . This is done as follows. By choice of  $t_{e_0}$  and by (3.60),  $P_{e_0}$  becomes active via clause (3.8) or (3.42) at stage  $t_{e_0} + 1$  — hence  $\sigma(e_0, y(e_0), t_{e_0}) \preceq_t \hat{\sigma}(e_0, t_{e_0} + 1)$  — and  $A \upharpoonright t_{e_0} + 2 = A_{t_{e_0}} \upharpoonright t_{e_0} + 2$ . So, by (3.59),  $\sigma(e + 1, y(e_0), t_{e_0}) \preceq_t \hat{\sigma}(e_0, t_{e_0} + 1) \upharpoonright e + 1$ . Since  $e_0 \leq y(e_0)$  it follows by choice of  $e_0$  that  $\sigma^* \leq \hat{\sigma}(e_0, t_{e_0} + 1) \upharpoonright e + 1$ , which completes the proof of (3.62).

For a proof of (3.63), fix  $e'' \geq e_0$  such that  $y(e'') \notin A$ , and, for a contradiction, assume that  $\hat{\sigma}(e'') \upharpoonright e + 1 \neq \sigma^*$ . Then, by (3.62),  $\sigma^* < \hat{\sigma}(e'') \upharpoonright e + 1$ . But, by choice of  $\sigma^*$  and (3.58) this implies that there is a number  $y > y(e'')$  such that  $y \notin A$  and the  $(e + 1)$ -state of  $y$  strictly precedes  $\hat{\sigma}(e'') \upharpoonright e + 1$  at all sufficiently large stages. So  $P_{e''}$  requires attention infinitely often via clause (3.42) contrary to Claim 3.6. (Note that, by  $y(e'') \notin A$  and (3.55), the permanent follower  $y(e'')$  is never realized.)

Finally, for a proof of (3.64), for a contradiction assume that  $\sigma^*(e) = 1$ . Then, by (3.61), there is no number  $y$  and no stage  $s$  such that

$$\begin{aligned} y \notin A \ \& \ e_0 \leq y \ \& \ s \geq s_0 \ \& \ \sigma(e + 1, y, s) \preceq_t \sigma^* \ \& \\ y \text{ is truly } e\text{-eligible at stage } s + 1. \end{aligned} \quad (3.65)$$

(Namely, for such  $y$  and  $s$ ,  $\sigma(e + 1, y, s) \preceq_t \sigma$  for the string  $\sigma < \sigma^*$  defined by  $\sigma(e) = 0$  and  $\sigma(e') = \sigma^*(e')$  for  $e' < e$ .) So, in order to get the desired contradiction, it suffices to show that there is a number  $y$  and a stage  $s$  satisfying (3.65). Let  $E$  be the  $A$ -computably enumerable set

$$E = \{y \notin A : \exists e'' \geq e_0 \exists s \geq s_0 (y(e''), s) \neq y(e''), s + 1) = y \ \&$$

$$A \upharpoonright s + 2 = A_s \upharpoonright s + 2 \ \& \ \hat{\sigma}(e''), s + 1) \upharpoonright e + 1 = \sigma^*\}.$$

Then, for any  $y \in E$ ,  $y \notin A$ ,  $e_0 \leq y$  (by (3.46)), and, by (3.52), (3.59) and (3.58),  $\sigma(e + 1, y, s) \preceq_t \sigma^*$  for almost all stages  $s$ . So it only remains to show that there is a number  $y \in E$  which is truly  $e$ -eligible at some stage  $s \geq s_0$  hence at almost all stages  $s$ . But the existence of such a number  $y$  follows by Claim 3.4 since  $E$  is infinite — namely, for any number  $e'' \geq e_0$  such that  $y(e'') \notin A$ ,  $y(e'') \in E$  since  $y(e''), t_{e''} \neq y(e''), t_{e''} + 1) = y(e'')$ ,  $A \upharpoonright t_{e''} + 2 = A_{t_{e''}} \upharpoonright t_{e''} + 2$  (by (3.49)), and  $\hat{\sigma}(e''), t_{e''} + 1) \upharpoonright e + 1 = \sigma^*$  (by (3.63)) — and since any infinite  $A$ -c.e. set contains an infinite  $A$ -computable subset. This completes the proof of (3.64).

Now  $S$  is defined by

$$\begin{aligned} S = \{s > t_{e_0} : \forall e'' > e_0 (y(e''), s) \downarrow \ \& \\ y(e''), s) \notin A_s \Rightarrow \hat{\sigma}(e''), s + 1) \upharpoonright e + 1 = \sigma^*\}. \end{aligned} \quad (3.66)$$

Obviously,  $S$  is computable. Moreover,  $S$  is infinite. Namely, for any  $e'' > e_0$  such that  $y(e'') \notin A$ ,  $y(e''', t_{e''} + 1) = y(e''')$  and  $y(e''') \in A$  if and only if  $y(e''') \in A_{t_{e''} + 1}$  for all  $e''' \leq e''$ . So, for any such  $e''$ ,  $t_{e''} + 1 \in S$  by (3.63). Infinity of  $S$  follows since there are infinitely many  $e''$  with  $y(e'') \notin A$ .

It remains to show that the elements  $s$  of  $S$  satisfy (3.26). So fix  $s \in S$ , a number  $y \leq s$  and a stage  $s' \geq s$  such that  $y \in A_{s'+1} \setminus A_{s'}$ . We have to show that  $y$  is  $e$ -eligible at stage  $s' + 1$ . Fix  $e''$  such that  $y = y(e'', s')$ . Since  $y$  enters  $A$  at stage  $s' + 1 > t_{e_0} + 1$  it follows that  $e_0 < e''$ . Moreover, since  $y \leq s$ , it follows by (3.47) that  $y(e'', t)$  is defined for all  $t$  with  $s \leq t \leq s'$ . So, by (3.53) and (3.51) and by choice of  $s$ ,

$$\hat{\sigma}(e'', s') \upharpoonright e + 1 \leq \hat{\sigma}(e'', s) \upharpoonright e + 1 = \sigma^*$$

hence, by (3.62),  $\hat{\sigma}(e'', s') \upharpoonright e + 1 = \sigma^*$ . It follows by Claim 3.8(b) that  $\sigma(e + 1, y, s')$  precedes  $\sigma^*$ . But, by (3.64), this implies that  $y$  is  $e$ -eligible at stage  $s' + 1$ .

This completes the proof of Claim 3.9.  $\square$

This completes the proof of Theorem 2.7.

#### 4. Proof of Theorem 2.8

Given a high c.e. degree  $\mathbf{a}$ , it suffices to give c.e. degrees  $\mathbf{a}_0$  and  $\mathbf{a}_1$  such that

$$\mathbf{a} = \mathbf{a}_0 \vee \mathbf{a}_1 \tag{4.1}$$

and, for  $i = 0, 1$ ,

$$\begin{aligned} &\forall \mathbf{b}_0, \mathbf{b}_1 [\mathbf{b}_0 \vee \mathbf{b}_1 = \mathbf{a}_i \Rightarrow \mathbf{a}_i \leq \mathbf{b}_0 \text{ or} \\ &\forall \mathbf{c}_0, \mathbf{c}_1 \not\leq \mathbf{a}_i \exists \mathbf{d} (\mathbf{d} \leq \mathbf{b}_1 \vee \mathbf{c}_0, \mathbf{b}_1 \vee \mathbf{c}_1 \text{ \& } \mathbf{d} \not\leq \mathbf{a}_i)] \end{aligned} \tag{4.2}$$

hold. (Note that (4.2) ensures that  $\mathbf{a}_i$  is s.m.i. unless  $\mathbf{a}_i = \mathbf{0}$ . So, by  $\mathbf{a} > \mathbf{0}$  and by (4.1), either  $\mathbf{a}_0$  and  $\mathbf{a}_1$  are s.m.i. or one of these degrees is  $\mathbf{0}$  and  $\mathbf{a}$  is s.m.i. In either case, this implies Theorem 2.8.)

The strategy for satisfying (4.2) is based on the corresponding strategy introduced in the proof of Theorem 2.7 above. For  $i = 0, 1$  we enumerate c.e. sets  $A_i$  and auxiliary c.e. sets  $C_{i,n}$  ( $n \geq 0$ ) such that, for any numbers  $n, m \geq 0$  and for the corresponding unique numbers  $i_0, i_1, j_0, j_1, j_2, k_0, k_1$  and  $e$  such that  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$  and  $e = \langle n, m \rangle$ ,

$$C_{i,n} \leq_T W_{i_1} \oplus W_{k_0}, W_{i_1} \oplus W_{k_1} \tag{4.3}$$

holds and the *Inaccessibility Requirements*

$$\begin{aligned} Q_e^i : \text{If} \quad & (i) \quad W_{i_0} = \{j_0\}^A \ \& \ W_{i_1} = \{j_1\}^{A_i} \ \& \ A_i = \{j_2\}^{W_{i_0} \oplus W_{i_1}}, \\ & (ii) \quad W_{k_0}, W_{k_1} \not\leq_T A_i, \ \text{and} \\ & (iii) \quad A_i \not\leq_T W_{i_0} \end{aligned}$$

then  $(*) \ C_{i,n} \neq \{m\}^{A_i}$

are met. (In the following, when we consider one of the sets  $C_{i,n}$  or one of the requirements  $Q_e^i$ , we tacitly assume that  $n = \langle i_0, i_1, j_0, j_1, j_2, k_0, k_1 \rangle$  and  $e = \langle n, m \rangle$ .)

Just as in the proof of Theorem 2.7, condition (4.3) is satisfied by guaranteeing

$$x \in C_{i,n,s+1} \setminus C_{i,n,s} \Rightarrow \exists z < \min\{\gamma_{k_0,s}(x), \gamma_{k_1,s}(x)\} \ (z \in W_{i_1,s+1} \setminus W_{i_1,s}), \quad (4.4)$$

and we use the finitary strategy for meeting requirement  $Q_e^i$  by diagonalization introduced there. So requirement  $Q_e^i$  *requires attention* at stage  $s+1$  if  $e \leq s$ ,  $Q_e^i$  is not satisfied at (the end of) stage  $s$ , and one of the following holds.

$$\begin{aligned} \exists x \left( \begin{array}{l} A_{i,s}(x) \neq \{j_2\}_s^{W_{i_0,s} \oplus W_{i_1,s}}(x) \downarrow, \\ \text{and, for } u = u(W_{i_0,s} \oplus W_{i_1,s}; j_2, x, s) \text{ and for } p \leq 1, \\ W_{i_p,s} \upharpoonright u = \{j_p\}_s^{A_{i,s}} \upharpoonright u \end{array} \right) \quad (4.5) \end{aligned}$$

$$\exists x \exists p \leq 1 \ (W_{i_p,s}(x) = 1 \neq \{j_p\}_s^{A_{i,s}}(x) \downarrow) \quad (4.6)$$

$$\exists x \in \omega^{[e]} \quad (4.7)$$

$$\left( \{m\}_s^{A_{i,s}}(x) = 0 \ \& \ \exists z < \min\{\gamma_{k_0,s}(x), \gamma_{k_1,s}(x)\} \ (z \in W_{i_1,s+1} \setminus W_{i_1,s}) \right).$$

The corresponding action (if  $Q_e^i$  receives attention at stage  $s+1$ ) is essentially as before: Declare  $Q_e^i$  to be *satisfied*. Moreover if (4.7) holds then, for the least  $x$  as there, put  $x$  into  $C_{i,n}$ . Note that the latter action is consistent with (4.4). Moreover, as in the proof of Theorem 2.7 (compare with Claim 3.2), the following holds (for  $i = 0, 1$ ) implying that  $Q_e^i$  is met if it is permanently satisfied.

Claim 4.1: Assume that  $Q_e^i$  requires and receives attention at stage  $s + 1$  and that  $A_i \upharpoonright s + 1 = A_{i,s} \upharpoonright s + 1$ . Then either clause (i) in  $Q_e^i$  fails or clause (\*) in  $Q_e^i$  holds. So, in particular,  $Q_e^i$  is met.

While in the basic construction, however, we attempted to guarantee  $A \upharpoonright s + 1 = A_s \upharpoonright s + 1$  by cancelling the lower priority followers of the noncomputability requirements when  $Q_e$  received attention, in the current setting (where the noncomputability requirements are replaced by some coding requirements, see below) cancellation is not possible. So, instead, when  $Q_e^i$  receives attention at stage  $s + 1$ , then it imposes a restraint  $r_{Q_e^i}^i(e, s + 1) = s + 1$  on  $A_i$ . Correspondingly, we initialize  $Q_e^i$  later if (and only if) this restraint becomes injured. More formally,  $Q_e^i$  is *initialized* at stage  $s' + 1$  if  $A_{i,s'+1} \upharpoonright r_{Q_e^i}^i(e, s') \neq A_{i,s'} \upharpoonright r_{Q_e^i}^i(e, s')$  (as in the previous constructions we assume that any parameters stay unchanged during the construction unless explicitly stated otherwise). If initialized at stage  $s' + 1$  then  $Q_e^i$  becomes *unsatisfied* at stage  $s' + 1$  and the restraint is lifted by setting  $r_{Q_e^i}^i(e, s' + 1) = 0$ . If  $Q_e^i$  is satisfied at stage  $s + 1$  and not initialized later then we say that  $Q_e^i$  is *permanently satisfied* (at stage  $s + 1$ ). ( $Q_e^i$  can become initialized only by the action of a coding requirement (to be defined below) where the coding requirement may have higher or lower priority than  $Q_e^i$ . But, since a coding requirement has a choice whether it puts a code into  $A_0$  or into  $A_1$ , just as in the proof of the Sacks splitting theorem, by enforcing the highest priority restraint, eventually  $Q_e^i$  will not be initialized anymore.)

As in the proof of Theorem 2.7, the direct strategy for meeting the inaccessibility requirements does not suffice to guarantee that these requirements are met. Unless  $Q_e^i$  is permanently satisfied (hence met by Claim 4.1) or met for some trivial reason, in addition it has to be ensured that numbers which enter  $A_i$  “late” are “eligible”. To make this more precise, define *i-e-(pre)eligibility* of a number  $y$  (via numbers  $x, u, v$  and  $r$ ) at stage  $s + 1$  just as *e-(pre)eligibility* in the proof of Theorem 2.7 but with the set  $A_i$  in place of  $A$  (i.e., by replacing  $A_s$  by  $A_{i,s}$  in (3.15)–(3.24)); and, similarly, the *i-e-state*  $\sigma_i(e, y, s)$  of a number  $y$  at stage  $s$  is defined as the *e-state*  $\sigma(e, y, s)$  in the basic construction with  $A_i, Q_e^i$ , and *i-e'-eligibility* in place of  $A, Q_{e'}$  and *e'-eligibility*, respectively. Then, as there (compare with Claim 3.3), one can observe that the following holds (for  $i = 0, 1$ ).

Claim 4.2: Assume that  $Q_e^i$  requires attention only finitely often and is satisfied only finitely often. If there is an infinite computable set  $S$  of stages

such that, for any  $s \in S$ ,

$$\forall y, s' ([y \leq s \leq s' \ \& \ y \in A_{i,s'+1} \setminus A_{i,s'}] \Rightarrow y \text{ is } i\text{-}e\text{-eligible at stage } s' + 1) \tag{4.8}$$

holds, then requirement  $Q_e^i$  is met.

Moreover, as in the proof of Theorem 2.7 we can prove the following two claims (corresponding to Claims 3.4 and 3.5) giving sufficient conditions for the existence of  $i$ - $e$ -eligible numbers and for the preservation of  $i$ - $e$ -eligibility ( $i = 0, 1$ ).

Claim 4.3: Assume that clauses (i) and (ii) in  $Q_e^i$  hold, and that  $\{m\}^{A_i}$  is total and, for almost all numbers  $x \in \omega^{[e]}$ ,  $\{m\}^{A_i}(x) = 0$ . Then, for any infinite  $A_i$ -computable subset  $E$  of  $\overline{A_i}$  there is an infinite subset  $F$  of  $E$  such that, for any  $y \in F$ , there are numbers  $x_y, u_y, v_y$  and  $r_y$  such that  $y$  is  $i$ - $e$ -eligible via  $x_y, u_y, v_y$  and  $r_y$  at almost all stages.

Claim 4.4: Let  $e, y, x, u, v, r, s$  and  $s'$  be given such that  $s \leq s', e \leq s', y$  is  $i$ - $e$ -(pre)eligible via  $x, u, v$  and  $r$  at stage  $s + 1, A_{i,s'} \upharpoonright r = A_{i,s} \upharpoonright r$ , and  $Q_e^i$  is neither satisfied at stage  $s'$  nor requires attention at stage  $s' + 1$ . Then  $y$  is  $i$ - $e$ -(pre)eligible via  $x, u, v$  and  $r$  at stage  $s' + 1$ .

Having reviewed the basic features of the inaccessibility strategy, we now turn to the strategy for guaranteeing that, for  $\mathbf{a}_i = \text{deg}(A_i)$ , (4.1) holds. This strategy is based on *Martin permitting*, a sort of “almost - always” permitting argument pertaining to high c.e. degrees (see e.g. Soare [18], Chapter XI.2 for more details).

We start with some notation. Given an infinite c.e. set  $A$  and a computable 1-1 function  $a$  enumerating  $A$ , let  $A_s = \{a(0), \dots, a(s - 1)\}$ , let  $\bar{a}(x, s)$  be the  $(x + 1)$ th element of  $\overline{A_s}$  in order, let  $\bar{a}(x)$  be the  $(x + 1)$ th element of  $\overline{A}$  in order, and let

$$c_{\overline{A}}(x) = \mu s (\bar{a}(x, s) = \bar{a}(x)).$$

Now, since the given degree  $\mathbf{a}$  is high, the following holds (see e.g. Theorem XI.2.1 in [18]).

Fact 4.5: There is a c.e. set  $A \in \mathbf{a}$  and a computable 1-1 function  $a$  enumerating  $A$  such that  $c_{\overline{A}}$  dominates all computable functions.

For the remainder of the proof fix such a set  $A$  and the corresponding enumeration function  $a$  and enumeration  $(A_s)_{s \geq 0}$ . Say that a number  $y$  is

$M(\text{artin})$ -permitted (by  $A$ ) at stage  $s + 1$  if  $\bar{a}(y, s + 1) \neq \bar{a}(y, s)$ . Then, by Fact 4.5, for any given computable function  $f(y)$ ,  $y$  is  $M$ -permitted at a stage  $> f(y)$  for almost all  $y$ .

Now in order to guarantee  $A =_T A_0 \oplus A_1$  (hence (4.1)), we use a combined Martin and marker permitting. To be more precise, we define a partial computable marker function  $\beta(e, s)$  (i.e., a partial computable function  $\beta : \omega \times \omega \rightarrow \omega$  with computable domain) with the following properties (for any  $e, e', s, s', y \geq 0$ ).

Initially, the marker is nowhere defined, i.e.,

$$(\beta_0) \forall e (\beta(e, 0) \uparrow).$$

At any stage  $s + 1 > 0$  either, for the least  $e$  such that  $\beta(e, s) \uparrow$ ,  $\beta(e, s + 1)$  becomes defined while all other marker positions remain unchanged, or a marker  $\beta(e)$  defined at stage  $s$  may be moved to a higher position or lifted in which case the markers below remain unchanged while the markers above are lifted. Moreover, if the marker  $\beta(e)$  is put down on a new position at stage  $s + 1$  then we let  $\beta(e, s + 1) = \langle s + 1, 2^{s+1} \rangle > s + 1$ . So, more formally, the following holds (for all  $s \geq 0$ ).

$$\begin{aligned} (\beta_{00}) \exists e \leq s & \left[ \forall e' < e (\beta(e', s) = \beta(e', s + 1) \downarrow) \ \& \right. \\ & \beta(e, s) \neq \beta(e, s + 1) \ \& \\ & (\beta(e, s + 1) = \langle s + 1, 2^{s+1} \rangle \vee (\beta(e, s) \downarrow \ \& \ \beta(e, s + 1) \uparrow)) \ \& \\ & \left. \forall e'' > e (\beta(e'', s + 1) \uparrow) \right]. \end{aligned}$$

Here we assume that the pairing function  $\langle \cdot, \cdot \rangle$  is not only strictly increasing in both arguments but also satisfies  $x \leq \langle x, 0 \rangle$  and  $\langle x, 2^x \rangle < \langle x + 1, 0 \rangle$  for all  $x \geq 0$ . (The latter property will be used below in order to keep the traces defined there in order.)

As one can easily check (by induction on  $s$ ),  $(\beta_0)$  and  $(\beta_{00})$  guarantee that, at any stage  $s$ ,  $\beta$  is defined on an initial segment of  $\omega$  of length  $\leq s$ ,

$$(\beta_1) \exists e \leq s (\beta(e', s) \downarrow \Leftrightarrow e' < e),$$

that  $\beta$  is strictly increasing on this initial segment,

$$(\beta_2) [e < e' \ \& \ \beta(e', s) \downarrow] \Rightarrow \beta(e, s) < \beta(e', s),$$

that, whenever defined,  $\beta(e, s)$  is nondecreasing in  $s$ , exceeds  $e$ , and is bounded by  $\langle s + 1, 2^{s+1} \rangle$ ,

$$\begin{aligned} (\beta_3) \quad [s \leq s' \ \& \ \beta(e, s) \downarrow \ \& \ \beta(e, s') \downarrow] \\ \Rightarrow \quad e < \beta(e, s) \leq \beta(e, s') \leq \langle s', 2^{s'} \rangle, \end{aligned}$$

that the value of  $\beta(e)$  at a stage  $s + 1$  where it is put down or moved to a new value is greater than this stage,

$$(\beta_4) \quad \beta(e, s) \neq \beta(e, s + 1) \downarrow \Rightarrow \beta(e, s + 1) = \langle s + 1, 2^{s+1} \rangle > s + 1,$$

and that the values of the marker on different arguments differ (when defined) independent of the stages, i.e.,

$$(\beta_5) \quad [e \neq e' \ \& \ \beta(e, s) \downarrow \ \& \ \beta(e', s') \downarrow] \Rightarrow \beta(e, s) \neq \beta(e', s').$$

Now, in order to guarantee that  $A$  is Turing equivalent to  $A_0 \oplus A_1$ , we guarantee that, eventually, the marker  $\beta(e)$  comes to a final position  $\beta^*(e) \in \omega$ , i.e.,

$$(\beta_6) \quad \beta^*(e) = \lim_{s \rightarrow \omega} \beta(e, s) < \omega \text{ exists,}$$

and that the moves of the marker are related to the given enumeration of the given set  $A$  and the constructed enumerations of the sets  $A_i$  ( $i = 0, 1$ ) under construction as follows.

If defined on  $e$  at stage  $s$ , the marker  $\beta(e)$  is allowed to move or to be lifted at stage  $s + 1$  only if the old marker position is M-permitted, i.e.,

$$(\beta_7) \quad \beta(e, s) \downarrow \neq \beta(e, s + 1) \Rightarrow \bar{a}(\beta(e, s), s) \neq \bar{a}(\beta(e, s), s + 1),$$

and any such move has to be made recognizable for  $A_0 \oplus A_1$  by enumerating a new number  $y$  with  $y < \beta(e, s)$  into  $A_0$  or  $A_1$  at stage  $s + 1$ , i.e.,

$$(\beta_8) \quad \beta(e, s) \downarrow \neq \beta(e, s + 1) \Rightarrow \exists i \leq 1 \exists y < \beta(e, s) (y \in A_{i, s+1} \setminus A_{i, s}).$$

Conversely, if a number  $y$  is enumerated into  $A_0$  or  $A_1$  at stage  $s + 1$  then this is witnessed by a corresponding move of  $\beta$ , i.e.,

$$\begin{aligned} (\beta_9) \quad y \in A_{i, s+1} \setminus A_{i, s} \Rightarrow \exists e [\beta(e, s) \downarrow \ \& \ y \in [e, \beta(e, s)) \\ \ \& \ \beta(e, s) \neq \beta(e, s + 1)]. \end{aligned}$$

Finally, for any element  $a(s)$  of  $A$ ,

$$(\beta_{10}) \quad \beta(a(s), s) \uparrow \text{ or } \beta(a(s), s + 1) \uparrow.$$

Note that, by  $(\beta_4)$ ,  $(\beta_3)$ , and  $(\beta_6)$ , the last condition implies that, for  $e \in A$ , the final position  $\beta^*(e)$  of the marker on  $e$  exceeds the stage at which the number  $e$  is enumerated into  $A$ , namely

$$(\beta_{10^*}) \beta^*(a(s)) \geq s + 1.$$

That the above rules guarantee  $A =_T A_0 \oplus A_1$  is shown as follows.

**Claim 4.6:** Assume that the partial computable marker  $\beta$  satisfies the conditions  $(\beta_1)$ – $(\beta_{10})$  for all  $e, e', s, s', y$ . Then  $A \leq_T \beta^*$ ,  $\beta^* \leq_T A$ ,  $\beta^* \leq_T A_0 \oplus A_1$ , and  $A_0 \oplus A_1 \leq_T \beta^*$ . So, in particular,  $A =_T A_0 \oplus A_1$ .

**Proof:** Note that, by  $(\beta_6)$ ,  $\beta^*(e) = \lim_{s \rightarrow \omega} \beta(e, s) \in \omega$  is well-defined and, by  $(\beta_3)$ ,  $\beta^*(e) = \sup\{\beta(e, s) : s \geq 0 \ \& \ \beta(e, s) \downarrow\}$ . So  $A \leq_T \beta^*$  holds since, by (the above and)  $(\beta_{10^*})$ , a number  $e$  is in  $A$  iff  $e \in A_{\beta^*(e)}$ ;  $\beta^* \leq_T A$  holds since, by  $(\beta_7)$ ,  $\beta^*(e) = \beta(e, s)$  for the least  $s$  such that  $\beta(e, s) \downarrow$  and  $\bar{a}(\beta(e, s), s) = \bar{a}(\beta(e, s))$ ;  $\beta^* \leq_T A_0 \oplus A_1$  holds since, by  $(\beta_8)$ ,  $\beta^*(e) = \beta(e, s)$  for the least  $s$  such that  $\beta(e, s)$  is defined and  $A_i \upharpoonright \beta(e, s) = A_{i,s} \upharpoonright \beta(e, s)$  for  $i = 0, 1$ ; and  $A_0 \oplus A_1 \leq_T \beta^*$  holds since, by  $(\beta_9)$ , it holds that, for any  $y \geq 0$  and  $i \leq 1$ ,  $A_i(y) = A_{i,s}(y)$  for the least stage  $s$  such that  $\beta^*(e) = \beta(e, s)$  for all  $e \leq y$ .  $\square$

The task to guarantee the above rules for the  $e$ th marker  $\beta(e)$ , in particular to guarantee that  $\beta(e)$  comes to a limit and that, for  $e = a(s)$ ,  $(\beta_{10})$  holds, is assigned to the *eth coding requirement* (for  $e \geq 0$ )

$P_e : \beta^*(e) \in \omega$  exists and if  $e = a(s)$  and  $\beta(e, s) \downarrow$  then  $\beta(e, s + 1) \uparrow$ .

The priority ordering of the requirements is given by  $P_e = R_{3e}$  and  $Q_e^i = R_{3e+i+1}$  ( $i = 0, 1, e \geq 0$ ).

The basic strategy for meeting  $P_e$  is twofold. First, if  $e$  is minimal such that  $\beta(e, s)$  is undefined (and there is no higher priority coding requirement which becomes active at stage  $s + 1$ ) then  $P_e$  puts down marker  $\beta(e)$  (according to  $(\beta_4)$ ) by letting  $\beta(e, s + 1) = \langle s + 1, 2^{s+1} \rangle$ . Second, if  $e$  enters  $A$  at stage  $s + 1$ , i.e., if  $e = a(s)$ , and  $\beta(e, s) \downarrow$  then  $P_e$  lifts the marker  $\beta(e)$  and all markers  $\beta(e'')$  with  $e < e''$ .

Note that this action is consistent with the rules  $(\beta_1)$ – $(\beta_5)$  and guarantees  $(\beta_6)$  and  $(\beta_{10})$  where, for the former, one should note that  $\beta(e)$  changes only if  $P_e$  or a higher priority coding requirement becomes active and that this will happen only finitely often. Moreover (by  $(\beta_3)$ ), the above action is consistent with  $(\beta_7)$  since  $e$  entering  $A$  at stage  $s + 1$   $M$ -permits the lifting

of  $\beta(e)$  at stage  $s + 1$ . In order to ensure  $(\beta_8)$ , however, when  $\beta(e)$  is lifted at stage  $s + 1$  (in order to guarantee  $(\beta_{10})$ ) then, for some  $i \leq 1$ , a trace  $y < \beta(e, s)$  has to be put into  $A_i$  at stage  $s + 1$ . This action in turn has to be made compatible with  $(\beta_9)$  for which it suffices to choose the trace  $y$  so that  $y \in [e, \beta(e, s)) \setminus A_{i,s}$  holds. So, when  $\beta(e)$  is put down at stage  $s + 1$  then at the same stage  $i$ -e-traces  $\beta^i(e, s + 1) \in [e, \beta(e, s + 1)) \setminus A_{i,s}$  ( $i = 0, 1$ ) are put down too, and one of these traces is enumerated into its corresponding set  $A_i$  if  $\beta(e)$  is lifted later in order to ensure  $(\beta_{10})$ . The decision, which of the traces is chosen, is based on the current restraints. Namely, in order to guarantee that the restraint imposed by a requirement is eventually obeyed, we have to guarantee that, if some higher priority restraints might be injured, then the highest priority restraint which may be injured by the enumeration of a trace is protected by choosing the trace going into the other side (we refer to this as the *splitting condition* in the following).

Just as in the basic construction, the positive requirements  $P_e$  have to cooperate with the higher priority inaccessibility requirements in order to make the strategy for meeting the latter work. This requires some amendments of the just described basic  $P_e$ -strategy. In particular, if the current  $i$ -e-trace  $\beta^i(e, s)$  of the coding requirement  $P_e$  can be replaced by a larger unused number  $y$  having  $i$ -e-state  $\sigma$  less than the (declared)  $i$ -e-state of  $\beta^i(e, s)$  then we have to do so. Since such a number  $y$  may be bigger than the current position of the marker  $\beta(e)$ , this requires moving  $\beta(e)$  beyond  $y$  in order to ensure that  $y \in [e, \beta(e))$ . Now moving  $\beta(e)$  requires M-permission. By the domination property of  $A$ , in almost all cases, eventually we get such a permission, but we have to ensure that, while waiting for this permission, the  $i$ -e-state  $\sigma$  of  $y$  is preserved. In order to achieve this, we have to impose a restraint on  $A_i$  once we see the candidate  $y$  (compare with Claim 4.4). Moreover, once permission is given to move the marker  $\beta(e)$ , in addition we have to put one of the current traces of  $P_e$  into  $A_0$  or  $A_1$ . Of course, we cannot use the  $i$ -e-trace since enumeration of  $\beta^i(e, s) < y$  into  $A_i$  may destroy the  $i$ -e-state  $\sigma$  of  $y$ . So we have to enumerate the  $(1 - i)$ -e-trace  $\beta^{1-i}(e, s)$  into  $A_{1-i}$ . The latter, however, may cause the following two problems. First, this might violate the splitting condition. In order to prevent this from happening, whenever one of the traces is less than the restraint imposed by the higher priority requirements on the corresponding side then, once permission is given to move  $\beta(e)$ , we lift the marker (simultaneously enumerating the trace satisfying the splitting condition in its

corresponding set) and start all over again with the  $P_e$ -strategy. Second, by enumerating the  $(1 - i)$ - $e$ -trace into  $A_{1-i}$ , this trace is used up and we have to assign a new  $(1 - i)$ - $e$ -trace which may have a greater  $(1 - i)$ - $e$ -state than the previous trace. So improving the  $i$ - $e$ -state of the  $i$ - $e$ -trace may worsen the  $(1 - i)$ - $e$ -state of the  $(1 - i)$ - $e$ -trace. In order to overcome the latter problem, we do not assign a single  $i$ - $e$ -trace  $\beta^i(e)$  to  $P_e$  but a sequence  $\vec{\beta}^i(e) = (\beta_0^i(e), \dots, \beta_{2^e}^i(e))$  of  $2^e + 1$   $i$ - $e$ -traces and assign a declared  $i$ - $e$ -state to the sequence (as a whole) where  $\beta_0^i(e) < \beta_1^i(e) < \dots < \beta_{2^e}^i(e)$ , the  $i$ - $e$ -state of any of these traces precedes the declared  $i$ - $e$ -state, and, for  $k < 2^e$ , the trace  $y_{k+1}$  (for ease of notation, we write  $y_k$  for  $\beta_k^i(e)$ ) is chosen big enough such that enumerating  $y_{k+1}$  into  $A_i$  will not affect the  $i$ - $e$ -state of the smaller members  $y_0, \dots, y_k$  in the sequence. Since the declared  $i$ - $e$ -state can be improved at most  $2^e - 1$  times, this guarantees that there are enough of the current  $(1 - i)$ - $e$ -traces left for the necessary updates of  $i$ - $e$ -traces (and vice versa) and we can preserve the  $i$ - $e$ -states of the unused traces by enumerating the traces of the given sequence in decreasing order. Correspondingly to the basic construction, the first sequence of  $i$ - $e$ -traces for  $P_e$  is assigned the declared  $i$ - $e$ -state  $1^e$ , and the current sequence of  $i$ - $e$ -traces with state  $\sigma$  is only replaced by a new sequence if the states of all members of this new sequence precede a state  $\sigma' < \sigma$  (so that the declared state can be improved to  $\sigma'$  by replacing the old sequence with the new sequence).

For the implementation of the just described  $P_e$ -strategy, we use the following definition. Given a binary string  $\sigma$  of length  $e$ , call a sequence  $\vec{y} = (y_0, \dots, y_{2^e})$  (of numbers) of length  $2^e + 1$   $i$ - $\sigma$ - $z$ -eligible at stage  $s + 1$  if the following hold.

- (1)  $z < y_0 < y_1 < \dots < y_{2^e} < s$ .
- (2) For  $k \leq 2^e$ ,  $y_k \notin A_{i,s}$ .
- (3) For  $k \leq 2^e$ ,  $\sigma_i(e, y_k, s) \preceq \sigma$ . Moreover, for any  $e' < e$  and  $k \leq 2^e$  such that  $\sigma(e') = 0$  and  $Q_{e'}^i$  is not satisfied at the end of stage  $s$ , there are numbers  $x_k, u_k, v_k$  and  $r_k$  such that  $y_k$  is  $i$ - $e'$ -eligible via  $x_k, u_k, v_k$  and  $r_k$  at stage  $s + 1$  and  $r_k < y_{k+1}$  (where we let  $y_{2^e+1} = s$ ).

As explained above, if the replacement of the current sequence  $\vec{\beta}^i(e, s)$  of  $i$ - $e$ -traces by a sequence of larger unrestrained traces allows to improve the (declared)  $i$ - $e$ -state then the  $P_e$ -strategy attempts to do this in the following two steps. First, by imposing an appropriate restraint on  $A_i$ , the state of the potential replacement is preserved; then, once M-permission

is given, the actual replacement takes place. The first part of this action is formalized by the following definition of requiring attention (while the second part will be described in Case 1 of Step 1 of stage  $s + 1$  of the construction below). Whether  $P_e$  requires attention at stage  $s + 1$  depends on the restraint  $R^i(e, s)$  on  $A_i$  to be respected by  $P_e$  at stage  $s + 1$  while the restraint  $R^i(e, s)$  depends on which higher priority coding requirements  $P_{e'}$ ,  $e' < e$ , require attention at stage  $s + 1$ . So these concepts are defined simultaneously by induction on  $e$ .

The restraint imposed on  $A_i$  by the requirements of higher priority than  $P_e$  ( $i = 0, 1$ ) (including the restraints which higher priority requirements which require attention at stage  $s + 1$  may want to impose) is denoted by  $R^i(e, s)$  and is defined as follows. Let

$$R^i(e, s) = s + 1$$

if there is a number  $e' < e$  such that  $Q_{e'}^i$  requires attention at stage  $s + 1$  or  $P_{e'}$  requires attention via  $i$  at stage  $s + 1$ , and let

$$R^i(e, s) = \max\{r^i(e', s) : e' < e\}$$

where

$$r^i(e', s) = \max\{r_P^i(e', s), r_Q^i(e', s)\}$$

otherwise.

If  $\beta(e, s) \downarrow$ ,  $r_P^0(e, s) = r_P^1(e, s) = 0$ , and  $\hat{\sigma}_0(e, s) \downarrow$  and  $\hat{\sigma}_1(e, s) \downarrow$  are the declared 0- $e$ -state and declared 1- $e$ -state at the end of stage  $s$ , then requirement  $P_e$  requires attention via  $(i, \sigma, \vec{y})$  at stage  $s + 1$  if  $i \leq 1$ ,  $\sigma$  is a binary string of length  $e$  such that  $\sigma < \hat{\sigma}_i(e, s)$ , and  $\vec{y}$  is an  $i$ - $\sigma$ - $\max\{R^i(e, s), \beta(e, s)\}$ -eligible sequence at stage  $s + 1$ ;  $P_e$  requires attention via  $i$  at stage  $s + 1$  if  $i$  is minimal such that  $P_e$  requires attention via  $(i, \sigma, \vec{y})$  for some  $\sigma$  and  $\vec{y}$ ; and  $P_e$  requires attention at stage  $s + 1$  if there is an  $i \leq 1$  such that  $P_e$  requires attention via  $i$  at stage  $s + 1$ .

If requirement  $P_e$  is initialized at stage  $s$  then  $\beta(e, s)$  is lifted ( $\beta(e, s) \uparrow$ ), and, for  $i = 0, 1$ , the sequence of the  $i$ - $e$ -traces is cancelled ( $\vec{\beta}^i(e, s) \uparrow$ ), the declared  $i$ - $e$ -state is cancelled ( $\hat{\sigma}_i(e, s + 1) \uparrow$ ), and the restraint imposed on  $A_i$  by  $P_e$  is cancelled ( $r_P^i(e, s) = 0$ ).

Using the notation introduced above, the construction is as follows where at stage 0 all requirements are initialized.

*Stage  $s + 1$ .* The stage consists of three steps. Either the first or the second step, however, is vacuous.

*Step 1.* Fix  $e$  minimal such that  $\beta(e, s) \downarrow$ ,  $\beta(e, s)$  is M-permitted at stage  $s + 1$ , and one of the following holds.

- (a)  $e = a(s)$ .
- (b) For some  $i \leq 1$ ,  $\beta_0^i(e, s) < R^i(e, s)$ .
- (c) For some  $i \leq 1$ ,  $r_P^i(e, s) > 0$ .

If there is no such  $e$  then proceed to Step 2. (Note that this happens only if  $\beta(a(s), s) \uparrow$  since otherwise  $\beta(a(s), s)$  is M-permitted at stage  $s + 1$ .) Otherwise, distinguish the following two cases, perform the corresponding action, and afterwards move to Step 3 (skipping Step 2).

*Case 1: Conditions (a) and (b) fail and, for some  $i \leq 1$  such that  $r_P^i(e, s) > 0$ , there is a binary string  $\sigma$  of length  $e$  such that  $\sigma < \hat{\sigma}_i(e, s)$  and such that there is an  $i$ - $\sigma$ -max $\{R^i(e, s), \beta(e, s)\}$ -eligible sequence  $\vec{y}$  at stage  $s + 1$ .*

Then, for the least such  $i$ , the least corresponding  $\sigma$ , and the least corresponding  $\vec{y}$ , let

$$\begin{aligned}\beta(e, s + 1) &= \langle s + 1, 2^{s+1} \rangle, \\ \vec{\beta}^i(e, s + 1) &= \vec{y}, \\ \hat{\sigma}_i(e, s + 1) &= \sigma, \\ r_P^i(e, s + 1) &= 0, \text{ and}\end{aligned}$$

declare that  $P_e$  becomes  $i$ -active at stage  $s + 1$ .

Moreover, if there is a number  $k \leq 2^e$  such that  $\beta_k^{1-i}(e, s) \notin A_{1-i, s}$  (below we will show that such a number exists) then, for the greatest such  $k$ , put  $\beta_k^{1-i}(e, s)$  into  $A_{1-i, s+1}$ .

*Case 2: Otherwise.* For  $i = 0, 1$ , let  $n_i$  be the least number  $n < 3e$  such that, for some  $e' < e$ ,

$$\begin{aligned}[n = 3e' \ \&\ \beta_0^i(e, s) < r_P^i(e', s)] \\ \text{or} \\ [n = 3e' + 1 + i \ \&\ \beta_0^i(e, s) < r_Q^i(e', s)] \\ \text{or} \\ [n = 3e' \ \&\ P_{e'} \text{ requires attention via } i \text{ at stage } s + 1] \\ \text{or} \\ [n = 3e' + 1 + i \ \&\ Q_{e'}^i \text{ requires attention at stage } s + 1]\end{aligned}$$

holds, and let  $n_i = 3e$  if no such number  $n$  exists; fix  $i_0$  such that either  $n_{i_0} < n_{1-i_0}$  or  $i_0 = 0$  and  $n_0 = n_1$ ; and do the following.

- (1) If  $\beta_0^{1-i_0}(e, s) \notin A_{1-i_0, s}$  then put  $\beta_0^{1-i_0}(e, s)$  into  $A_{1-i_0, s+1}$  (below we will show that  $\beta_0^{1-i_0}(e, s) \notin A_{1-i_0, s}$  holds), and
- (2) initialize  $P_e$ .

In either case, declare that  $P_e$  becomes active at stage  $s+1$ , and initialize all requirements  $P_{e'}$  with  $e' > e$ . Moreover, initialize all requirements  $Q_{e'}^i$  such that  $A_{i, s+1} \upharpoonright r_Q^i(e', s) \neq A_{i, s} \upharpoonright r_Q^i(e', s)$ . (Note that numbers can go into  $A_i$  in the first step of a stage only. So  $A_{i, s+1}$  is given by the end of this step.)

*Step 2.* Fix  $e$  minimal such that  $\beta(e, s) \uparrow$  and do the following (for  $i = 0, 1$ ).

Let  $\beta(e, s+1) = \langle s+1, 2^{s+1} \rangle$ ,  
let  $\vec{\beta}^i(e, s+1) = (\langle s+1, 0 \rangle, \dots, \langle s+1, 2^e \rangle)$ ,  
let  $\hat{\sigma}_i(e, s+1) = 1^e$ ,  
let  $r_P^i(e, s+1) = 0$ , and  
declare that  $P_e$  becomes active at stage  $s+1$ .  
Moreover, initialize all requirements  $P_{e'}$  with  $e < e'$  (actually this action is vacuous since  $\beta(e', s) \uparrow$  for  $e' > e$ ).

*Step 3.* Fix  $e$  minimal as in Step 1 or — if Step 1 is vacuous — as in Step 2.

For any  $e' < e$  such that  $P_{e'}$  requires attention at stage  $s+1$ , fix the unique  $i \leq 1$  such that  $P_{e'}$  requires attention via  $i$  at stage  $s+1$ , let  $r_P^i(e', s+1) = s+1$ , and declare that  $P_{e'}$  receives attention (via  $i$ ) at stage  $s+1$ .

Finally, for any  $e' < e$  and  $i \leq 1$  such that  $A_{i, s+1} \upharpoonright s+1 = A_{i, s} \upharpoonright s+1$  and  $Q_{e'}^i$  requires attention at stage  $s+1$ , let  $r_Q^i(e', s+1) = s+1$  and declare that  $Q_{e'}^i$  receives attention at stage  $s+1$ . Moreover, if (4.7) holds (for  $e'$  in place of  $e$ ) then, for the least  $x$  as there, put  $x$  into  $C_{i, n}$  (where  $e' = \langle n, m \rangle$  for some  $m$ ).

This completes the construction.

Note that in this construction the terms *receiving attention* and *becoming active* have different meanings. A coding requirement  $P_e$  can become active only in Step 1 or 2 of a stage whereas it can receive attention only in Step 3 (and the latter, but not the former, only occurs if  $P_e$  requires attention). An inaccessibility requirement  $Q_e^i$  does not become active at

all but it may receive attention in Step 3 of a stage at which it requires attention. The coding requirement  $P_e$  may enumerate a number into  $A_0$  or  $A_1$  only if it becomes active (and this happens (if and) only if it becomes active in Step 1 of the stage). If a (coding or inaccessibility) requirement receives attention then it imposes a restraint (on one of the sets  $A_0$  or  $A_1$ ) but does not initialize any (coding or inaccessibility) requirements. If  $P_e$  becomes active then it initializes the lower priority coding requirements as well as the inaccessibility requirements which are injured by the action of  $P_e$ . (The action of  $P_e$  may also injure the restraint imposed by some higher priority coding requirement  $P_{e'}$ , so that this restraint becomes useless. Still, if this happens, for technical convenience, we do not cancel the restraint. Similarly, we let such a higher priority requirement  $P_{e'}$  receive attention if it requires attention no matter whether or not the restraint it wants to impose became injured in Step 1 of stage  $s + 1$ .)

#### Proof of Correctness.

Obviously the construction satisfies (4.4). Hence (4.3) holds. So, by Claim 4.6, it suffices to show that the marker  $\beta$  satisfies the conditions  $(\beta_1)$ – $(\beta_{10})$  (hence, in particular, the coding requirements  $P_e$  are met) and that the inaccessibility requirements  $Q_e^i$  are met.

We first show that the conditions  $(\beta_1)$ – $(\beta_{10})$  are satisfied where we proceed in three steps: First, following some basic observations on the moves of the marker  $\beta$ , we show that  $(\beta_1)$ – $(\beta_5)$  are satisfied (Claim 4.7). Then, using some observations on the relations among the positions of the marker  $\beta$  on  $e$  and the corresponding traces and declared states together with the fact that the least  $i$ - $e$ -trace does not enter  $A_i$  (Claim 4.8), we prove conditions  $(\beta_7)$ – $(\beta_{10})$  (Claim 4.9). Finally, for a proof of  $(\beta_6)$ , we show that the coding requirements act and require attention only finitely often and the inaccessibility requirements require attention only finitely often, from which we deduce that not only the marker  $\beta$  on  $e$  but also the restraints, traces and declared states come to a limit (Claim 4.10).

Note that at any stage  $s + 1 > 0$  there is a unique number  $e$ , in the following denoted by  $e_{s+1}$ , such that  $P_e$  becomes active at stage  $s + 1$ . Since all coding requirements are initialized at stage 0, since all coding requirements  $P_{e'}$  with  $e' > e_{s+1}$  are initialized at stage  $s + 1$ , and since — unless  $\beta(e_{s+1}, s) \downarrow$  —  $e_{s+1}$  is the least  $e$  such that  $\beta(e, s) \uparrow$ , it follows by a straightforward induction on  $s$  that  $(\beta_0)$  and (for  $e = e_{s+1}$ )  $(\beta_{00})$  hold. As observed above, this implies

Claim 4.7: Conditions  $(\beta_1)$ – $(\beta_5)$  are satisfied.

To verify conditions  $(\beta_7)$ – $(\beta_{10})$  next (and for the remainder of the proof in general), we start with some observations on the relations between the marker  $\beta(e, s)$  and the corresponding traces  $\beta_k^i(e, s)$  and their declared  $i$ - $e$ -states  $\hat{\sigma}_i(e, s)$  and introduce some notation.

It is immediate by construction that (for  $i \leq 1$  and  $e, s \geq 0$ )

$$\beta(e, s) \downarrow \Leftrightarrow \vec{\beta}^i(e, s) \downarrow \Leftrightarrow \hat{\sigma}_i(e, s) \downarrow \quad (4.9)$$

holds. (In the following we will tacitly use this fact.) So, if we call a stage  $s$  an  $e$ -stage if  $\beta(e, s)$  is defined, then the parameters  $\beta(e, s)$ ,  $\vec{\beta}^i(e, s)$  and  $\hat{\sigma}_i(e, s)$  attached to  $P_e$  are defined at stage  $s$  if and only if  $s$  is an  $e$ -stage. Also note that, by  $(\beta_1)$ , any  $e$ -stage  $s$  is greater than  $e$  and any  $e$ -stage is an  $e'$ -stage for all  $e' \leq e$ . Moreover, for any  $e$ -stage  $s$ ,  $\vec{\beta}^i(e, s) = (\beta_0^i(e, s), \dots, \beta_{2^e}^i(e, s))$  where  $\beta_0^i(e, s) < \beta_1^i(e, s) < \dots < \beta_{2^e}^i(e, s)$ . When dealing with these traces, we sometimes identify the vector  $\vec{\beta}^i(e, s)$  (if defined) with the corresponding finite set, i.e., let  $\vec{\beta}^i(e, s) = \{\beta_0^i(e, s), \dots, \beta_{2^e}^i(e, s)\}$ , and we write  $\vec{\beta}^i(e, s) < \vec{\beta}^i(e', s')$  if  $\vec{\beta}^i(e, s)$  and  $\vec{\beta}^i(e', s')$  are defined and  $\beta_{2^e}^i(e, s) < \beta_0^i(e', s')$  (i.e.,  $\max \vec{\beta}^i(e, s) < \min \vec{\beta}^i(e', s')$ ) and, similarly,  $\vec{\beta}^i(e, s) < y$  ( $y < \vec{\beta}^i(e, s)$ ) if  $\vec{\beta}^i(e, s)$  is defined and  $\beta_{2^e}^i(e, s) < y$  ( $y < \beta_0^i(e, s)$ ).

Moreover, the following notions will be useful. We say that  $\vec{\beta}^i(e)$  is *newly appointed at stage*  $s + 1$  if  $P_e$  is active in Step 2 of stage  $s + 1$  (i.e., iff  $\vec{\beta}^i(e, s) \uparrow \neq \vec{\beta}^i(e, s + 1) \downarrow$ ), and we say that  $\vec{\beta}^i(e)$  *becomes upgraded at stage*  $s + 1$  if  $P_e$  is  $i$ -active at stage  $s + 1$  (i.e., iff  $\vec{\beta}^i(e, s) \downarrow \neq \vec{\beta}^i(e, s + 1) \downarrow$ ). For any  $e$ -stage  $s$ , we let  $s(e, s)$  be the greatest stage  $\leq s$  at which  $\vec{\beta}^i(e)$  is newly appointed (note that  $s$  does not depend on  $i$  since  $\vec{\beta}^0(e)$  and  $\vec{\beta}^1(e)$  are newly appointed at the same stages), and we let  $s^i(e, s)$  be the greatest stage  $\leq s$  at which  $\vec{\beta}^i(e)$  is newly appointed or becomes upgraded. Moreover, we call  $e$ -stages  $s'$  and  $s''$   *$e$ -equivalent* ( $s' \sim_e s''$ ) if any stage  $s$  with  $\min(s', s'') \leq s \leq \max(s', s'')$  is an  $e$ -stage, and we say that  $\vec{\beta}^i(e, s)$  is *upgraded* if  $s$  is an  $e$ -stage and  $\vec{\beta}^i(e)$  becomes upgraded at stage  $s^i(e, s)$ . Note that, for an  $e$ -stage  $s$ ,  $s(e, s)$  is the least stage  $s' \leq s$  with  $s' \sim_e s$  and that  $s(e, s) \leq s^i(e, s) \leq s$ . Also note that

$$\beta(e, s) \downarrow \Rightarrow \vec{\beta}^i(e, s) = \vec{\beta}^i(e, s^i(e, s)) \downarrow \quad (4.10)$$

since  $P_e$  neither becomes  $i$ -active nor is initialized at any stage  $t$  with  $s^i(e, s) < t \leq s$ . Moreover, if  $\vec{\beta}^i(e)$  is newly appointed at stage  $s + 1$  then,

by  $(\beta_1)$  and by construction,  $e \leq s$ ,  $\vec{\beta}^i(e, s+1) = (\langle s+1, 0 \rangle, \dots, \langle s+1, 2^e \rangle)$  and  $\beta(e, s+1) = \langle s+1, 2^{s+1} \rangle$  whence

$$\begin{aligned} \beta(e, s) \downarrow \& s(e, s) = s^i(e, s) \Rightarrow e < s^i(e, s) \leq \vec{\beta}^i(e, s^i(e, s)) \\ &= (\langle s^i(e, s), 0 \rangle, \dots, \langle s^i(e, s), 2^e \rangle) \quad (4.11) \\ &< \langle s^i(e, s), 2^{s^i(e, s)} \rangle = \beta(e, s^i(e, s)) \end{aligned}$$

holds, while if  $\vec{\beta}^i(e)$  is upgraded at stage  $s+1$  then  $\beta(e, s) < \vec{\beta}^i(e, s+1) < s$ , and none of the new  $i$ - $e$ -traces has been previously enumerated into  $A_i$  (and none is enumerated into  $A_i$  at stage  $s+1$ ) whence

$$\begin{aligned} \beta(e, s) \downarrow \& s(e, s) < s^i(e, s) \Rightarrow \beta(e, s^i(e, s) - 1) < \vec{\beta}^i(e, s^i(e, s)) < s^i(e, s) \\ &< \langle s^i(e, s), 2^{s^i(e, s)} \rangle = \beta(e, s^i(e, s)) \\ &\text{and} \\ &\vec{\beta}^i(e, s^i(e, s)) \cap A_{i, s^i(e, s)} = \emptyset \end{aligned} \quad (4.12)$$

holds. Together with  $(\beta_3)$  the preceding three observations imply that the traces  $\vec{\beta}^i(e, s)$  (if defined) are contained in the open interval  $(e, \beta(e, s))$ ,

$$\beta(e, s) \downarrow \Rightarrow e < \vec{\beta}^i(e, s) < \beta(e, s) \leq \langle s, 2^s \rangle, \quad (4.13)$$

that the traces  $\vec{\beta}^i(e, s')$  (if defined) exceed  $\langle s, 2^s \rangle$  for any previous stage  $s$  at which the traces were undefined,

$$s < s' \& \beta(e, s) \uparrow \& \beta(e, s') \downarrow \Rightarrow \langle s, 2^s \rangle < \vec{\beta}^i(e, s') \quad (4.14)$$

and that the traces  $\vec{\beta}^i(e, s)$  are nondecreasing in  $s$  (where defined),

$$s < s' \& \beta(e, s) \downarrow \& \beta(e, s') \downarrow \Rightarrow \vec{\beta}^i(e, s) = \vec{\beta}^i(e, s') \vee \vec{\beta}^i(e, s) < \vec{\beta}^i(e, s'). \quad (4.15)$$

(For the latter two facts, recall that the pairing function is strictly increasing in either argument and satisfies  $\langle s, 2^s \rangle < \langle s+1, 0 \rangle$  for all  $s$ , and observe that, for  $e$ -equivalent stages  $s < s'$ , either  $\vec{\beta}^i(e, s) = \vec{\beta}^i(e, s') = \vec{\beta}^i(e, s^i(e, s))$  (namely if  $s^i(e, s) = s^i(e, s')$ ) or  $\vec{\beta}^i(e, s) < \beta(e, s^i(e, s)) < \vec{\beta}^i(e, s')$  (namely if  $s^i(e, s) < s^i(e, s')$ .) It follows that, at any stage  $s$ , the sets of  $i$ -traces of the coding requirement are pairwise different, in fact

$$e < e' \& \beta(e', s) \downarrow \Rightarrow \vec{\beta}^i(e, s) < \beta(e, s^i(e, s)) < \vec{\beta}^i(e', s) \quad (4.16)$$

holds. For a proof of (4.16), fix  $e, e', s$  such that  $e < e'$  and  $s$  is an  $e'$ -stage. By (4.10) and (4.13), it suffices to show that  $\beta(e, s^i(e, s)) < \vec{\beta}^i(e', s)$ . But, since  $\beta(e, s^i(e, s)) = \langle s^i(e, s), 2^{s^i(e, s)} \rangle$  and since  $P_{e'}$  is initialized at stage  $s^i(e, s)$ , this is immediate by (4.14).

Since a number  $y$  is enumerated into  $A_i$  at stage  $s + 1$  only if  $y$  is an  $i$ - $e$ -trace (for some  $e \geq 0$ ) at stage  $s$ , (4.13) implies

$$A_{i,s+1} \subseteq \omega \upharpoonright \langle s, 2^s \rangle. \quad (4.17)$$

So if  $\vec{\beta}^i(e)$  is newly appointed at stage  $s + 1$  then, by (4.11), the newly appointed  $i$ - $e$ -traces are not in  $A_{i,s+1}$ . Since the corresponding observation for traces becoming upgraded at stage  $s + 1$  trivially holds (compare (4.12)),

$$\beta(e, s) \downarrow \Rightarrow \vec{\beta}^i(e, s^i(e, s)) \cap A_{i,s^i(e,s)} = \emptyset \quad (4.18)$$

follows. Moreover,

$$\begin{aligned} s \sim_e s + 1 \ \& \ A_{i,s+1} \upharpoonright \langle s^i(e, s), 2^{s^i(e,s)} \rangle \neq A_{i,s} \upharpoonright \langle s^i(e, s), 2^{s^i(e,s)} \rangle \Rightarrow \\ & [ P_e \text{ is } (1-i)\text{-active at stage } s + 1 \ \& \\ & \exists k \leq 2^e (A_{i,s+1} \setminus A_{i,s} = \{\beta_k^i(e, s^i(e, s))\}) ]. \end{aligned} \quad (4.19)$$

Namely, since  $P_e$  becomes active at stage  $s^i(e, s)$ , all lower priority requirements  $P_{e''}$ ,  $e < e''$ , are initialized at stage  $s^i(e, s)$  hence (by (4.14)) enumerate only numbers  $> \langle s^i(e, s), 2^{s^i(e,s)} \rangle$  into  $A_i$  after stage  $s^i(e, s)$ , and, since  $s + 1$  is an  $e$ -stage, no higher priority requirement  $P_{e'}$ ,  $e' < e$ , may act at stage  $s + 1$ . So  $P_e$  is the only requirement which may enumerate a number into  $A_i$  at stage  $s + 1$  and — since  $s + 1$  is an  $e$ -state — this happens only if  $P_e$  is  $(1-i)$ -active at stage  $s + 1$  whence the claim follows by (4.10).

Since the  $i$ - $e$ -traces are enumerated into  $A_i$  in decreasing order, (4.18) and (4.19), together with (4.10), (4.13) and the fact that no number enters  $A_i$  at stage  $s^i(e, s)$ , imply (for  $k \leq 2^e$ )

$$\begin{aligned} \beta(e, s) \downarrow \ \& \ \beta_k^i(e, s^i(e, s)) \notin A_{i,s} \Rightarrow \\ A_{i,s} \upharpoonright \beta_{k+1}^i(e, s^i(e, s)) &= A_{i,s^i(e,s)-1} \upharpoonright \beta_{k+1}^i(e, s^i(e, s)) \\ \text{(where we let } \beta_{2^e+1}^i(e, s^i(e, s)) &= \beta(e, s^i(e, s))). \end{aligned} \quad (4.20)$$

Next we turn to the declared states. Note that, correspondingly to (4.10),

$$\beta(e, s) \downarrow \Rightarrow \hat{\sigma}_i(e, s) = \hat{\sigma}_i(e, s^i(e, s)) \downarrow \quad (4.21)$$

holds (hence  $\vec{\beta}^i(e, s)$  is upgraded iff  $\hat{\sigma}_i(e, s) < 1^e$ ). Moreover, if defined at stages  $s$  and  $s + 1$ , then  $\hat{\sigma}_i(e, s) = \hat{\sigma}_i(e, s + 1)$  unless  $P_e$  becomes  $i$ -active at stage  $s + 1$ , in which case  $\hat{\sigma}_i(e, s + 1) < \hat{\sigma}_i(e, s)$ . Hence

$$s' \leq s'' \ \& \ s' \sim_e s'' \Rightarrow \hat{\sigma}_i(e, s'') \leq \hat{\sigma}_i(e, s') \downarrow \quad (4.22)$$

and

$$s \sim_e s + 1 \Rightarrow (\hat{\sigma}_i(e, s + 1) < \hat{\sigma}_i(e, s) \Leftrightarrow P_e \text{ is } i\text{-active at stage } s + 1) \quad (4.23)$$

hold. Since the declared  $i$ - $e$ -state can assume only  $2^e$  different values hence can be decreased at most  $2^e - 1$  times, (4.22) and (4.23) imply

$$\beta(e, s) \downarrow \Rightarrow |\{s' : s \sim_e s' \ \& \ P_e \text{ is } i\text{-active at stage } s'\}| < 2^e. \quad (4.24)$$

Since there are  $2^e + 1$   $i$ - $e$ -traces (if defined) and since  $i$ - $e$ -traces are enumerated into  $A_i$  in decreasing order (compare (4.20)) it follows (from (4.24) with  $1 - i$  in place of  $i$ ) by (4.18), (4.19) and (4.20) that

$$\beta(e, s) \downarrow \Rightarrow \begin{array}{l} \beta_0^i(e, s^i(e, s)) \notin A_{i,s} \text{ and} \\ A_{i,s} \upharpoonright \beta_1^i(e, s^i(e, s)) = A_{i,s^i(e,s)-1} \upharpoonright \beta_1^i(e, s^i(e, s)). \end{array} \quad (4.25)$$

By (4.10), the latter implies

Claim 4.8: Let  $s$  be an  $e$ -stage. Then, for  $i \leq 1$ ,  $\vec{\beta}^i(e, s) = \vec{\beta}^i(e, s^i(e, s))$ ,  $\beta_0^i(e, s) \notin A_{i,s}$ , and  $A_{i,s} \upharpoonright \beta_1^i(e, s) = A_{i,s^i(e,s)-1} \upharpoonright \beta_1^i(e, s)$ .

Note that Claim 4.8 implies that if  $P_e$  is  $i$ -active at stage  $s + 1$  then there is a number  $k \leq 2^e$  such that  $\beta_k^{1-i}(e, s) \notin A_{1-i,s}$ , and, for the greatest such  $k$ , the  $(1 - i)$ - $e$ -trace  $\beta_k^{1-i}(e, s)$  is enumerated into  $A_{1-i}$  at stage  $s + 1$ ; and, similarly, if Case 2 of Step 1 applies to stage  $s + 1$  then  $\beta_0^{1-i_0}(e, s)$  is not in  $A_{1-i_0,s}$  and  $\beta_0^{1-i_0}(e, s)$  is enumerated into  $A_{1-i_0}$  at stage  $s + 1$ . So if  $P_e$  becomes active via Step 1 at stage  $s + 1$  then, for some  $i \leq 1$ , there is an  $i$ - $e$ -trace  $\beta_k^i(e, s)$  which is newly enumerated into  $A_i$  at stage  $s + 1$ . Since, for the least  $e$  such that  $\beta(e, s) \downarrow \neq \beta(e, s + 1)$  (if any),  $P_e$  becomes active in Step 1 of stage  $s + 1$  it follows that

$$\begin{array}{l} \beta(e, s) \downarrow \neq \beta(e, s + 1) \ \& \ \forall e' < e \ (\beta(e', s) = \beta(e', s + 1)) \Rightarrow \\ \exists i \leq 1 \ \exists k \leq 2^e \ (\beta_k^i(e, s) \in A_{i,s+1} \setminus A_{i,s}) \end{array} \quad (4.26)$$

holds. This observation is crucial for establishing the following claim.

Claim 4.9: Conditions  $(\beta_7)$ – $(\beta_{10})$  are satisfied.

**Proof:** For a proof of  $(\beta_7)$  note that, for the least  $e$  such that  $\beta(e, s) \downarrow \neq \beta(e, s + 1)$ ,  $P_e$  becomes active in Step 1 of stage  $s + 1$  whence  $\beta(e, s)$  is M-permitted. By  $(\beta_2)$  this implies  $(\beta_7)$ .  $(\beta_8)$  is immediate by (4.26), (4.13), and  $(\beta_2)$ . For a proof of  $(\beta_9)$  assume  $y \in A_{i,s+1} \setminus A_{i,s}$ . Then  $y = \beta_k^i(e, s)$  for some  $e$  and  $k \leq 2^e$  where  $P_e$  becomes active in Step 1 of stage  $s + 1$  whence  $\beta(e, s + 1) \neq \beta(e, s) \downarrow$ . So  $(\beta_9)$  follows from (4.13). Finally, for a proof

of  $(\beta_{10})$ , w.l.o.g. assume that  $\beta(a(s), s) \downarrow$ . Then, since  $a(s) < \beta(a(s), s)$ ,  $\beta(a(s), s)$  is M-permitted at stage  $s + 1$ . So either  $P_{a(s)}$  becomes active according to Case 2 in Step 1 of stage  $s + 1$  or a higher priority requirement  $P_e$ ,  $e < a(s)$ , becomes active. In either case,  $P_{a(s)}$  is initialized at stage  $s + 1$ , hence,  $\beta(a(s), s + 1) \uparrow$ .  $\square$

Next we show that all requirements require attention only finitely often, that the coding requirements become active only finitely often, and that the marker  $\beta$  reaches a final position  $\beta^*(e) \in \omega$  for all  $e \geq 0$  whence the marker condition  $(\beta_6)$  is satisfied too.

**Claim 4.10:** (a) For  $e \geq 0$ , the coding requirement  $P_e$  becomes active only finitely often, requires attention only finitely often and is initialized only finitely often. Moreover,  $\beta^*(e) = \lim_s \beta(e, s) \in \omega$  whence, in particular,  $(\beta_6)$  is satisfied.

(b) For  $e \geq 0$  and  $i \leq 1$ , the inaccessibility requirement  $Q_e^i$  requires attention only finitely often and is initialized only finitely often.

**Proof:** The two parts of the claim are proven simultaneously by induction on the index  $n$  of the corresponding requirements  $R_n = P_e$  and  $R_n = Q_e^i$ .

Fix  $n$  and, by inductive hypothesis, fix a stage  $s_0 > 0$  such that no requirement  $R_{n'}$  with  $n' < n$  requires attention, becomes active, or is initialized after stage  $s_0 - 1$ . So any restraint imposed by such a higher priority requirement at stage  $s_0$  is permanent and is bounded by  $s_0$ .

Next fix  $s_1 \geq s_0$  such that, for any coding requirement  $P_{e''}$  which becomes active after stage  $s_1$  and for any  $i \leq 1$  and  $s \geq s_1$  such that  $\beta_0^i(e'', s)$  is defined,  $s_0 < \beta_0^i(e'', s)$ . The existence of such a stage  $s_1$  is established as follows. First note that, by (4.13),  $\beta_0^i(e'', s) \leq s_0$  implies that  $e'' < s_0$ . So, by (4.14), it suffices to show that any requirement  $P_{e''}$  which becomes active infinitely often is initialized infinitely often. But this is immediate by (4.24).

Note that, by choice of  $s_1$ , for any requirement  $P_{e''}$  which becomes active according to Case 2 in Step 1 of a stage  $s + 1 > s_1$ ,  $n \leq n_i$  for the parameter  $n_i$  ( $i = 0, 1$ ) defined there. Namely, by  $s_1 \geq s_0$ ,  $3e'' \geq n$ , no requirement  $R_{n'}$  with  $n' < n$  requires attention at stage  $s + 1$ , and the restraint imposed by such a requirement  $R_{n'}$  is bounded by  $s_0$  hence is less than  $\beta_0^i(e'', s)$  by choice of  $s_1$ .

Now distinguish the following two cases according to the type of requirement  $R_n$ .

Case 1:  $R_n = P_e$  (i.e.,  $n = 3e$ ). It suffices to show that

$$\exists s \forall s' \geq s (\beta(e, s') \downarrow) \tag{4.27}$$

holds. Namely, fix  $s$  as in (4.27). Then, by (4.24),  $P_e$  becomes active only finitely often after stage  $s$ . Since  $P_e$  can be initialized only at a stage where some  $P_{e'}$  with  $e' \leq e$  becomes active, it follows that  $P_e$  is initialized only finitely often. Since  $\beta(e, s') \downarrow \neq \beta(e, s'+1) \downarrow$  implies that  $P_e$  becomes active at stage  $s'+1$ , it follows that  $\beta^*(e) = \lim_{s' \rightarrow \omega} \beta(e, s')$  exists and, by (4.27),  $\beta^*(e) \in \omega$ . Finally, in order to show that  $P_e$  requires attention only finitely often, let  $s'$  be the last stage at which some  $P_{e'}$  with  $e' \leq e$  becomes active. It suffices to observe that  $P_e$  receives attention at any stage  $> s'$  at which it requires attention and that  $P_e$  receives attention at most once after stage  $s'$  since the restraint which is imposed then will never be cancelled.

Now, for a proof of (4.27), for a contradiction assume that  $\beta(e, s) \uparrow$  for infinitely many  $s$ . Fix  $s_2 > s_1$  such that  $a(s) > e$  for all  $s \geq s_2$  and such that  $\beta(e, s_2) \uparrow$ . By the latter,  $P_e$  becomes active in Step 2 of stage  $s_2 + 1$  hence  $\beta(e, s_2 + 1) \downarrow$  and  $r_P^0(e, s_2 + 1) = r_P^1(e, s_2 + 1) = 0$ . So, by assumption, we may fix  $s_3 > s_2$  minimal such that  $\beta(e, s_3 + 1) \uparrow$ . Since no higher priority requirement may act at stage  $s_3 + 1$  (by  $s_3 \geq s_0$ ), it follows that  $P_e$  becomes active according to Case 2 in Step 1 of stage  $s_3 + 1$ . Moreover, since  $e < a(s_3)$  (by  $s_3 \geq s_2$ ) and since, for  $i \leq 1$ ,  $R^i(e, s_3) = R^i(e, s_0 - 1) \leq s_0 < \beta_0^i(e, s_3)$  (by choice of  $s_0$  and by  $s_3 \geq s_1$ ), the conditions (a) and (b) given there fail. So (c) must hold, i.e., there is a (unique) number  $i \leq 1$  such that  $r_P^i(e, s_3) > 0$ . On the other hand, since  $P_e$  does not become active according to Case 1, there is no string  $\sigma \in \{0, 1\}^e$  and no vector  $\vec{y}$  such that  $\sigma < \hat{\sigma}_i(e, s_3)$  and  $\vec{y}$  is  $i$ - $\sigma$ - $\max\{R^i(e, s_3), \beta(e, s_3)\}$ -eligible at stage  $s_3 + 1$ .

Now, by  $r_P^i(e, s_2) = 0 < r_P^i(e, s_3)$ , fix  $s$  maximal such that  $s_2 \leq s < s_3$  and  $r_P^i(e, s) = 0$ . Then  $P_e$  requires and receives attention via  $i$  at stage  $s + 1$  whence there is a string  $\sigma \in \{0, 1\}^e$  and a vector  $\vec{y}$  such that  $\sigma < \hat{\sigma}_i(e, s)$  and  $\vec{y}$  is  $i$ - $\sigma$ - $\max\{R^i(e, s), \beta(e, s)\}$ -eligible at stage  $s + 1$ , and, by maximality of  $s$ ,  $r_P^i(e, s') = s + 1$  for all  $s'$  with  $s < s' \leq s_3$ . By the latter,  $P_e$  does not become active at any such stage  $s'$  (since  $r_P^i(e, s') = 0$  for any stage  $s'$  at which  $P_e$  is active) hence  $\hat{\sigma}_i(e, s) = \hat{\sigma}_i(e, s_3)$  and  $\beta(e, s) = \beta(e, s_3)$ . So, since, by choice of  $s_0$ ,  $R^i(e, s) = R^i(e, s_3)$  too, in order to get the desired contradiction it suffices to show that  $\vec{y}$  is  $i$ - $\sigma$ - $\max\{R^i(e, s), \beta(e, s)\}$ -eligible at stage  $s_3 + 1$ . For this sake, by definition of  $i$ - $\sigma$ - $\max\{R^i(e, s), \beta(e, s)\}$ -eligibility and by Claim 4.4, it suffices to show that  $A_{i, s_3} \upharpoonright s + 1 = A_{i, s} \upharpoonright s + 1$  since, by choice of  $s_0$ , a requirement  $Q_{e'}^i$  with  $e' < e$  is satisfied at stage  $s$  iff it is satisfied at stage  $s_3$ , and does not require attention after stage  $s_0$ .

Now since neither  $P_e$  nor a higher priority requirement becomes active at a stage  $s' + 1$  where  $s \leq s' < s_3$ , for a proof of  $A_{i,s_3} \upharpoonright s + 1 = A_{i,s} \upharpoonright s + 1$ , it suffices to show that no lower priority requirement enumerates a trace  $< s + 1$  into  $A_i$  at such a stage  $s' + 1$ . So fix  $P_{e''}$ ,  $e < e''$ , and, for a contradiction, assume that  $\beta_k^i(e'', s') < s + 1$  is in  $A_{i,s'+1} \setminus A_{i,s'}$ . Since, by choice of  $s'$ , either  $P_e$  requires attention via  $i$  at stage  $s' + 1$  or  $r_P^i(e, s') = s + 1$ , it follows that  $\beta_0^i(e'', s') < s + 1 \leq R^i(e'', s' + 1)$ . So  $P_{e''}$  becomes active according to Case 2 in Step 1 of stage  $s' + 1$ . Moreover, for the parameters  $n_0$  and  $n_1$  defined there,  $n_i \leq 3e = n$  (since  $P_e$  requires attention via  $i$  at stage  $s' + 1$  or  $r_P^i(e, s') = s + 1$ ). On the other hand, by  $s' > s_1$ ,  $n \leq n_{1-i}$  as observed above. But, since, for a stage  $s'$  such that  $P_e$  requires attention via  $i$  at stage  $s' + 1$  or  $r_P^i(e, s') = s + 1$ ,  $P_e$  does not require attention via  $1 - i$  at stage  $s' + 1$  and  $r_P^{1-i}(e, s') = 0$ , the latter implies that  $n_{1-i} > n$  hence  $n_i < n_{1-i}$ . But, by construction, this implies that  $P_{e''}$  does not enumerate a trace in  $A_i$  at stage  $s' + 1$  which gives the desired contradiction and completes the proof of (4.27).

*Case 2:*  $R_n = Q_e^i$  (i.e.,  $n = 3e + 1 + i$ ). If  $Q_e^i$  does not require attention after stage  $s_1$ , then it can only be initialized once after stage  $s_1$  and the result holds for the requirement, so we can assume that  $Q_e^i$  requires attention at stage  $s + 1 > s_1$  and it suffices to show that  $Q_e^i$  receives attention and is permanently satisfied at stage  $s + 1$ .

In order to show that  $Q_e^i$  receives attention at stage  $s + 1$ , it suffices to show that  $A_{i,s+1} \upharpoonright s + 1 = A_{i,s} \upharpoonright s + 1$ . For a contradiction, assume that this is not the case. Then, for some  $e'' > e$ ,  $P_{e''}$  becomes active at stage  $s + 1$  and enumerates a trace  $\beta_k^i(e'', s) \leq s$  into  $A_i$ . Since, by  $Q_e^i$  requiring attention,  $R^i(e'', s) = s + 1$  it follows that  $\beta_0^i(e'', s) < R^i(e'', s)$ . So  $P_{e''}$  acts according to Case 2 of Step 1 of stage  $s + 1$  and, for the parameters  $n_0$  and  $n_1$  defined there,  $n_{1-i} \leq n_i$  since otherwise  $P_{e''}$  would not enumerate a trace into  $A_i$ . But, by  $Q_e^i$  requiring attention,  $n_i \leq 3e + 1 + i = n$  while on the other hand, as observed above,  $n \leq n_{1-i}$ . Moreover, by definition,  $n_{1-i}$  cannot attain the value  $3e + 1 + i$ . So  $n_i < n_{1-i}$  giving the desired contradiction.

The proof that  $Q_e^i$  is permanently satisfied at stage  $s + 1$  is similar. For a contradiction, fix  $s' > s$  minimal such that  $Q_e^i$  is initialized at stage  $s' + 1$ . Then  $A_{i,s'} \upharpoonright r_Q^i(e, s') \neq A_{i,s'+1} \upharpoonright r_Q^i(e, s')$  where, by minimality of  $s'$ ,  $r_Q^i(e, s') = r_Q^i(e, s + 1) = s + 1$ . So (by the priority ordering of the requirements and by choice of  $s_0$ ) a coding requirement  $P_{e''}$  with  $e'' > e$  becomes active at stage  $s' + 1$  and enumerates a trace  $\beta_k^i(e'', s') < r_Q^i(e, s')$

into  $A_i$ . Since  $r_Q^i(e, s') \leq R^i(e'', s')$  and  $\beta_0^i(e'', s') \leq \beta_k^i(e'', s')$ , it follows that  $P_{e''}$  acts according to Case 2 in Step 1 of stage  $s' + 1$  and that  $n_i \leq 3e + 1 + i = n$ . Moreover, since  $P_{e''}$  enumerates  $\beta_k^i(e'', s')$  into  $A_i$ ,  $n_{1-i} \leq n_i$ . But this is impossible, since (as observed above)  $n \leq n_{1-i}$  by choice of  $s_1$  and  $n_{1-i} \neq 3e + 1 + i$  by definition.  $\square$

By Claim 4.10, the parameters attached to the coding and inaccessibility requirements come to a limit. So we may let

$$\vec{\beta}^i(e) = (\beta_0^i(e), \dots, \beta_{2^e}^i(e)) = \lim_{s \rightarrow \omega} \vec{\beta}^i(e, s) \in \omega^{2^e + 1},$$

$$\hat{\sigma}^i(e) = \lim_{s \rightarrow \omega} \hat{\sigma}^i(e, s),$$

$$r_P^i(e) = \lim_{s \rightarrow \omega} r_P^i(e, s) < \omega,$$

and

$$r_Q^i(e) = \lim_{s \rightarrow \omega} r_Q^i(e, s) < \omega.$$

Moreover, we let

$$r_P(e) = \max\{r_P^0(e), r_P^1(e)\} \text{ and } r_P(e, s) = \max\{r_P^0(e, s), r_P^1(e, s)\},$$

and we let  $t_e + 1$  and  $t_e^i + 1$  be the last stages at which  $P_e$  becomes active, and at which the  $i$ - $e$ -traces become newly defined or upgraded, respectively. Note that  $t_e^i \leq t_e$  and, for  $e < e'$ ,  $t_e < t_{e'}^i$ . Moreover, for all  $s \geq t_e$ ,  $\beta(e, s + 1) = \beta(e, t_e + 1) = \beta^*(e)$ , while, for all stage  $s \geq t_e^i$ ,  $\vec{\beta}^i(e, s + 1) = \vec{\beta}^i(e, t_e^i + 1) = \vec{\beta}^i(e)$ ,  $\hat{\sigma}^i(e, s + 1) = \hat{\sigma}^i(e, t_e^i + 1) = \hat{\sigma}^i(e)$ , and  $s^i(e, s + 1) = t_e^i + 1$  (hence, in particular,  $s + 1 \sim_e t_e^i + 1$ ). Also note that, by (4.25), the latter imply

$$\beta_0^i(e) \notin A_i \text{ and } A_i \upharpoonright \beta_1^i(e) = A_{i, t_e^i} \upharpoonright \beta_1^i(e). \quad (4.28)$$

It remains to show that the inaccessibility requirements  $Q_e^i$  are met.

Claim 4.11:  $Q_e^i$  is met.

**Proof:** For the proof, fix  $e \geq 0$  and  $i \leq 1$  and fix the least stage  $s_0$  such that no requirement of higher priority than  $P_{e+1}$  becomes active or requires attention or is initialized after stage  $s_0$  (note that  $Q_e^0$  and  $Q_e^1$ , hence  $Q_e^i$ , have higher priority than  $P_{e+1}$ ).

Note that, by choice of  $s_0$ ,

$$e < e'' \ \& \ \beta(e'', s') \downarrow \ \& \ s_0 < s^i(e'', s') - 1 \ \& \ y = \beta_k^i(e'', s') \notin A_{i, s'} \Rightarrow \\ \sigma_i(e+1, y, s') \preceq \hat{\sigma}_i(e'', s') \uparrow e+1 \tag{4.29}$$

holds. (Compare with Claim 3.8 in the proof of Theorem 2.7.) The proof is as follows. By (4.10),  $\vec{\beta}^i(e'', s') = \vec{\beta}^i(e'', s^i(e'', s'))$  hence  $y = \beta_k^i(e'', s^i(e'', s'))$ . It follows, by construction, that  $\sigma_i(e'', y, s^i(e'', s') - 1) \preceq \hat{\sigma}_i(e'', s^i(e'', s'))$  and, for any  $e' \leq e$  such that  $\hat{\sigma}_i(e'', s^i(e'', s'))(e') = 0$  and  $Q_{e'}^i$  is not satisfied at stage  $s^i(e'', s') - 1$ ,  $y$  is  $i$ - $e'$ -eligible at stage  $s^i(e'', s')$  via numbers  $x, u, v$  and  $r$  where  $r < \beta_{k+1}^i(e'', s^i(e'', s'))$  (and where  $\beta_{2e''+1}^i(e'', s^i(e'', s')) = \langle s^i(e'', s'), 2^{s^i(e'', s')} \rangle$  by convention). Since  $s_0 < s^i(e'', s') - 1$ , it follows by (4.20) and by Claim 4.4, that, for any such  $e'$ ,  $y$  is  $i$ - $e'$ -eligible at stage  $s'+1$  too. Since, by  $s_0 < s^i(e'', s') - 1$ , for  $e' \leq e$ ,  $Q_{e'}^i$  is satisfied at stage  $s'$  iff  $Q_{e'}^i$  is satisfied at stage  $s^i(e'', s') - 1$  it follows that  $\sigma_i(e+1, y, s') \preceq \hat{\sigma}_i(e'', s^i(e'', s'))$ . So the claim follows by (4.21).

The remainder of the proof is organized as the proof of the corresponding Claim 3.9 in the proof of Theorem 2.7. As there w.l.o.g. we may assume that  $Q_e^i$  is not satisfied at any stage  $s \geq s_0$  and that the clauses (i) and (ii) in  $Q_e^i$  hold while clause (\*) fails. So, in particular, the assumptions made in Claim 4.2 and Claim 4.3 hold, and it suffices to show that there is an infinite computable set  $S$  of stages  $s$  satisfying (4.8).

For the definition of such a set  $S$ , first define *true  $i$ - $e'$ -eligibility* and the  $i$ - $(e+1)$ -state of  $y$  *truly preceding* the  $(e+1)$ -state  $\sigma$  at stage  $s$  ( $\sigma_i(e+1, y, s) \preceq_t \sigma$ ) just as the corresponding notions in the proof of Theorem 2.7 with  $A_i, Q_{e'}^i$  and  $i$ - $e'$ -eligibility in place of  $A, Q_{e'}$  and  $e'$ -eligibility, respectively. In addition, say that  $\sigma_i(e+1, y, s)$  precedes  $\sigma$  at stage  $s$  *via  $r$*  if, for any  $e' \leq e$  such that  $\sigma(e') = 0$  and  $Q_{e'}^i$  is not satisfied at stage  $s_0$ ,  $y$  is  $i$ - $e'$ -eligible via numbers  $x, u, v$  and  $r'$  at stage  $s+1$  such that  $r' \leq r$ , and say that  $\sigma_i(e+1, y, s)$  truly precedes  $\sigma$  at stage  $s$  *via  $r$*  if  $\sigma_i(e+1, y, s)$  precedes  $\sigma$  at stage  $s$  via  $r$  and  $A_i \uparrow r = A_{i, s} \uparrow r$ . Then, obviously, the analogs of (3.58) and (3.59) hold and, for the newly introduced notion, we obtain the following variant of (3.59):

$$\forall s \geq s_0 \ \forall y \geq 0 \ \forall \sigma \in \{0, 1\}^{e+1} \\ ([\sigma_i(e+1, y, s) \preceq \sigma \text{ via } r \ \& \ A_i \uparrow r = A_{i, s} \uparrow r] \Rightarrow \sigma_i(e+1, y, s) \preceq_t \sigma \text{ (via } r)). \tag{4.30}$$

Then, based on these modified notions, define  $\sigma^*$  correspondingly too:

$$\sigma^* = \mu \sigma \in \{0, 1\}^{e+1} [\exists y \notin A_i \exists s \geq s_0 (\sigma_i(e+1, y, s) \preceq_t \sigma)].$$

Finally, fix  $e_0 > e$  minimal such that

$$s_0 < t_{e_0}^i, \quad (4.31)$$

$$r_P(e_0) = 0 \quad (4.32)$$

and

$$\forall y \geq e_0 \forall s \geq s_0 \forall \sigma < \sigma^* (y \notin A_i \Rightarrow \sigma_i(e+1, y, s) \not\leq_t \sigma) \quad (4.33)$$

hold. Since (4.31) and (4.33) hold for almost all numbers  $e_0$ , in order to show that such a number  $e_0$  exists, it suffices to show that there are infinitely many  $e'' > e$  such that  $r_P(e'') = 0$ . For a contradiction, assume that this is not the case and fix  $e_1 > e$  such that  $r_P(e'') > 0$  for all  $e'' \geq e_1$ . Then, for any coding requirement  $P_{e''}$  with  $e'' \geq e_1$  and for any stage  $s+1$  at which  $P_{e''}$  becomes active (hence  $r_P(e'', s+1) = 0$ ) and  $\beta(e'', s+1) \downarrow$ , there is a stage  $s' > s$  such that  $P_{e''}$  receives attention at stage  $s'+1$ . So the function  $f$  which assigns to any stage  $s$  with  $\beta(e'', s) \neq \beta(e'', s+1) \downarrow$  for some  $e'' \geq e_1$  the least stage  $s' > s+1$  at which  $r_P(e'', s') > 0$  (while  $f(s) = s$  otherwise) is total and computable. Since, for such  $e''$  and  $s < \beta(e'', s+1)$  it follows that, for almost all such pairs  $(e'', s)$ ,  $\beta(e'', s+1)$  is M-permitted at some stage  $s'' > f(s)$ . So, unless  $P_{e''}$  became active or initialized at a stage  $t$  with  $s+1 < t \leq s''$ ,  $\beta(e'', s'') = \beta(e'', s+1)$  and  $r_P(e'', s'') > 0$  whence  $P_{e''}$  becomes active at stage  $s''+1$  or becomes initialized by some higher priority requirement becoming active. It follows that, for almost all  $e''$  either the marker  $\beta(e'')$  is moved infinitely often or is eventually permanently undefined. But this contradicts  $(\beta_6)$ .

The properties (3.62), (3.63) and (3.64) of  $\sigma^*$ , which were crucial in the proof of Theorem 2.7, here become as follows.

$$\forall e'' \geq e_0 \forall s > t_{e_0} (\hat{\sigma}_i(e'', s) \downarrow \Rightarrow \sigma^* \leq \hat{\sigma}_i(e'', s) \upharpoonright e+1) \quad (4.34)$$

$$\exists e_1 \geq e_0 \forall e'' \geq e_1 (\hat{\sigma}_i(e'') \upharpoonright e+1 = \sigma^*) \quad (4.35)$$

$$\sigma^*(e) = 0. \quad (4.36)$$

For a proof of (4.34), for a contradiction, fix  $e'' \geq e_0$  minimal such that  $\hat{\sigma}_i(e'', s) \upharpoonright e+1 < \sigma^*$  for some  $s > t_{e_0}$  and let  $s$  be the least such stage. Distinguish the following two cases depending on whether  $e'' = e_0$  or  $e_0 < e''$ .

*Case 1:*  $e'' = e_0$ . Then, by  $s > t_{e_0}$ ,  $\hat{\sigma}_i(e_0, s) = \hat{\sigma}_i(e_0, t_{e_0}^i + 1) = \hat{\sigma}_i(e_0)$  (and  $\vec{\beta}^i(e_0) = \vec{\beta}^i(e_0, t_{e_0}^i + 1)$ ) hence  $\hat{\sigma}_i(e_0) \upharpoonright e + 1 < \sigma^*$ . So  $P_{e_0}$  becomes  $i$ -active at stage  $t_{e_0}^i + 1$ . It follows, by construction, that  $\vec{\beta}^i(e_0)$  is  $i$ - $\hat{\sigma}_i(e_0)$ - $\max\{R^i(e_0, t_{e_0}^i), \beta(e_0, t_{e_0}^i)\}$ -eligible at stage  $t_{e_0}^i + 1$  hence  $\sigma_i(e + 1, \beta_0^i(e_0), t_{e_0}^i) \preceq \hat{\sigma}_i(e_0) \upharpoonright e + 1$  via  $\beta_1^i(e_0)$ . Since, by (4.28),  $\beta_0^i(e_0) \notin A_i$  and  $A_i \upharpoonright \beta_1^i(e_0) = A_{i, t_{e_0}^i} \upharpoonright \beta_1^i(e_0)$ , it follows by (4.30) that  $\sigma_i(e + 1, \beta_0^i(e_0), t_{e_0}^i) \preceq_t \hat{\sigma}_i(e_0) \upharpoonright e + 1$ . So, since  $e_0 < \beta_0^i(e_0)$ ,  $\hat{\sigma}_i(e_0) \upharpoonright e + 1 \not\prec \sigma^*$  by (4.33) contrary to assumption.

*Case 2:*  $e_0 < e''$ . Let  $s' = s^i(e'', s) - 1$ . Then  $t_{e_0} < s'$  since, by  $P_{e_0}$  becoming active at stage  $t_{e_0} + 1$ ,  $P_{e''}$  is initialized at stage  $t_{e_0} + 1$ , and (since  $\hat{\sigma}_i(e'', s) < 1^{e''}$  by assumption)  $P_{e''}$  becomes  $i$ -active at stage  $s' + 1$ . So, by construction, there is an  $i$ - $\hat{\sigma}_i(e'', s)$ - $\max\{R^i(e'', s'), \beta(e'', s')\}$ -eligible sequence  $\vec{y}$  at stage  $s' + 1$ . By  $e_0 < e''$  it follows that the sequence  $\vec{y} \upharpoonright 2^{e_0} + 1$  is  $i$ - $\hat{\sigma}_i(e'', s) \upharpoonright e_0$ - $\max\{R^i(e_0, s'), \beta(e_0, s')\}$ -eligible at stage  $s' + 1$ . Since, by minimality of  $e''$ ,  $\hat{\sigma}_i(e'', s) \upharpoonright e + 1 < \hat{\sigma}_i(e_0, s') \upharpoonright e + 1$  (hence  $\hat{\sigma}_i(e'', s) \upharpoonright e_0 < \hat{\sigma}_i(e_0, s')$ ) and since, by choice of  $e_0$ ,  $r_P(e_0, s') = 0$ , it follows that  $P_{e_0}$  requires attention at stage  $s' + 1$  hence  $r_P(e_0, s' + 1) = s' + 1$ . Since  $P_{e_0}$  neither is initialized nor becomes active after stage  $t_0 + 1$ , it follows that  $r_P(e_0) > 0$  contrary to choice of  $e_0$ .

The proof of (4.35) is indirect too. For a contradiction, assume that (4.35) fails. Then, by (4.34),  $\sigma^* < \hat{\sigma}_i(e'') \upharpoonright e + 1$  — hence  $\sigma^* < \hat{\sigma}_i(e'', t_{e''} + 1) \upharpoonright e + 1$  — for infinitely many numbers  $e'' \geq e_0$ . On the other hand, by choice of  $\sigma^*$ , for any  $e'' \geq e_0$  and  $z$  there is a sequence  $\vec{y}$  and a stage  $s'$  such that  $\vec{y}$  is  $i$ - $\sigma^* 1^{e'' - e - 1} z$ -eligible at all stages  $s \geq s'$ . It follows that, for any  $e'' \geq e_0$  and any stage  $s + 1 > s_0$  such that  $P_{e''}$  becomes active at stage  $s + 1$  and  $\sigma^* < \hat{\sigma}_i(e'', s + 1) \upharpoonright e + 1$ , there will be a least stage  $s' > s$  such that  $P_{e''}$  requires attention at stage  $s' + 1$  or is initialized at this stage. So, by M-permitting, we may argue that for almost all  $(e'', s)$  as above either  $P_{e''}$  becomes active after stage  $s + 1$  or is initialized later whence  $t_{e''} \neq s$ . But this contradicts the assumption.

Finally, for a proof of (4.36), for a contradiction, assume that  $\sigma^*(e) = 1$ . Define  $\sigma \in 2^{e+1}$  by  $\sigma \upharpoonright e = \sigma^* \upharpoonright e$  and  $\sigma(e) = 0$ . Then,  $\sigma < \sigma^*$  and we will show

$$\exists^\infty y \notin A_i \exists s \geq s_0 (\sigma_i(e + 1, y, s) \preceq_t \sigma) \quad (4.37)$$

which contradicts the definition of  $\sigma^*$ .

To establish (4.37), define

$$E = \{y \notin A_i : \exists s \geq s_0 (\sigma_i(e+1, y, s) \preceq_t \sigma^*)\}.$$

Then,  $E$  is clearly computably enumerable in  $A_i$ , and we claim that  $E$  is infinite. To see this, fix  $e_1 \geq e_0$  as in (4.35) and for any  $e'' \geq e_1$ , consider  $y = \beta_0^i(e'')$  and  $s = t_{e''}^i$ . Then,  $s \geq s_0$  and, by (4.28),  $y \notin A_i$ . By construction and (4.35),  $\sigma_i(e+1, y, s) = \sigma_i(e'', y, s) \upharpoonright e+1 \preceq \hat{\sigma}_i(e'', s+1) \upharpoonright e+1 = \sigma^*$  and  $\sigma_i(e+1, y, s)$  precedes  $\sigma^*$  via  $\beta_1^i(e'')$ , so by (4.28) and (4.30),  $\sigma_i(e+1, y, s) \preceq_t \sigma^*$  and thus  $y \in E$ . By (4.16), for  $e'' \neq e'''$ ,  $\beta_0^i(e'') \neq \beta_0^i(e''')$ , so  $E$  is infinite.

It follows that  $E$  has an infinite  $A_i$ -computable subset  $E'$ , and, by Claim 4.3, there is an infinite subset  $F$  of  $E'$  such that for every  $y \in F$ ,  $y$  is truly  $i$ - $e$ -eligible at all sufficiently large stages. For any  $y \in F$ , we also have  $\sigma_i(e+1, y, s) \preceq_t \sigma^*$  for all sufficiently large stages  $s$ , so for any  $y \in F$ ,  $\exists s \geq s_0 (\sigma_i(e+1, y, s) \preceq_t \sigma)$ , establishing (4.37), and hence (4.36) by contradiction.

Now  $S$  is defined by

$$S = \{s > t_{e_1} : \forall e'' > e_1 (\hat{\sigma}_i(e'', s) \downarrow \Rightarrow \hat{\sigma}_i(e'', s) \upharpoonright e+1 = \sigma^*)\} \quad (4.38)$$

where  $e_1$  is as in (4.35).

Obviously,  $S$  is computable. Moreover,  $S$  is infinite since, by (4.35),  $t_{e''}^i + 1 \in S$  for all  $e'' > e_1$ . It remains to show that the elements  $s$  of  $S$  satisfy (4.8). So fix  $s \in S$ , a number  $y \leq s$  and a stage  $s' \geq s$  such that  $y \in A_{i, s'+1} \setminus A_{i, s'}$ . We have to show that  $y$  is  $i$ - $e$ -eligible at stage  $s'+1$ . Fix  $e''$  and  $k$  such that  $y = \beta_k^i(e'', s')$ . Since  $y$  enters  $A_i$  at stage  $s'+1 > t_{e_1} + 1$  it follows that  $e_1 < e''$ . Moreover, since  $y \leq s$ , it follows (by (4.14)) that  $s$  and  $s'$  are  $e''$ -equivalent whence by (4.22), by  $s \in S$  and by (4.34),

$$\hat{\sigma}_i(e'', s') \upharpoonright e+1 \leq \hat{\sigma}_i(e'', s) \upharpoonright e+1 = \sigma^* \leq \hat{\sigma}_i(e'', s') \upharpoonright e+1,$$

i.e.,  $\hat{\sigma}_i(e'', s') \upharpoonright e+1 = \sigma^*$ . Since, by (4.29),  $\sigma_i(e+1, y, s') \preceq \hat{\sigma}_i(e'', s') \upharpoonright e+1$ , it follows that  $\sigma_i(e+1, y, s')$  precedes  $\sigma^*$ . So  $y$  is  $i$ - $e$ -eligible at stage  $s'+1$  by (4.36).

This completes the proof of Claim 4.11.  $\square$

## 5. Proof of Theorem 2.12

The proof combines the basic construction of an s.m.i. degree with the permitting technique first used by Dekker in [7] and then formalized by

Yates in [20]. Given noncomputable c.e. sets  $B_0, \dots, B_{n-1}$  ( $n \geq 1$ ), it suffices to construct pairwise disjoint c.e. sets  $A_0, \dots, A_{n-1}$  such that, for  $A = A_0 \cup \dots \cup A_{n-1}$  and  $\mathbf{a} = \text{deg}(A)$ ,

$$A_i \text{ is noncomputable } (i \leq n - 1), \tag{5.1}$$

$$A_i \leq_T B_i \text{ } (i \leq n - 1) \tag{5.2}$$

and (3.5) hold. Since the construction is very similar to the basic construction of a low s.m.i. degree given in the proof of Theorem 2.7, we only point out the necessary changes.

Let  $(B_{i,s})_{s \geq 0}$  be a computable enumeration of the given set  $B_i$  ( $i \leq n - 1$ ). The finite part of  $A_i$  enumerated by the end of stage  $s$  is denoted by  $A_{i,s}$ , and we let  $A_s = A_{0,s} \cup \dots \cup A_{n-1,s}$ .

In order to ensure (3.5), just as in the proof of Theorem 2.7, we enumerate auxiliary c.e. sets  $C_n$  ( $n \geq 0$ ) and ensure that the requirements  $Q_e$  are met and condition (3.7) is satisfied. (The index  $n$  of  $C_n$  will (in the rest of this section only) be used implicitly in the index  $e$  of  $Q_e$  and should not be confused with the  $n$  used in the statement of the theorem. All future uses of  $n$  in this section will refer to this number  $n$  introduced above.) In order to ensure that  $A_i$  is noncomputable, i.e., in order to ensure (5.1), we meet the requirements

$$P_{ne+i} : A_i \neq \{e\}$$

for  $e \geq 0$  and  $i \leq n - 1$ . Condition (5.2) is satisfied by permitting, i.e., for  $i \leq n - 1$  and all numbers  $x$  and stages  $s$  we ensure

$$x \in A_{i,s+1} \setminus A_{i,s} \Rightarrow B_{i,s+1} \upharpoonright x+1 \neq B_{i,s} \upharpoonright x+1. \tag{5.3}$$

The requirements are ordered by  $R_{2e} = P_e$  and  $R_{2e+1} = Q_e$ . The strategy for meeting the inaccessibility requirements  $Q_e$  is exactly as in the proof of Theorem 2.7, and  $e$ -(pre)eligibility and  $e$ -states are defined as there. The strategy for meeting the noncomputability requirements  $P_e$  has to be refined, since now the enumeration of a (realized) follower into  $A_i$  requires permitting by  $B_i$  (according to condition (5.3)). So a single follower will not be sufficient, and — as usual for constructions by permitting — we appoint a new follower if all existing followers are realized and the requirement is not satisfied. Since, for the sake of the inaccessibility requirements, the  $e$ -states of the  $P_e$ -followers have to be optimized, this requires that a declared state is assigned to each follower (not just to the requirement as

in the basic construction). We let  $y_p(e, s)$  be the  $p$ th follower of  $P_e$  in order of magnitude at the end of stage  $s$  (and write  $y_p(e, s) \uparrow$  if there is no such follower). Then, if  $y_p(e, s)$  is defined, a declared  $e$ -state  $\hat{\sigma}_p(e, s)$  is assigned to this follower at the end of stage  $s$ . This leads to the following revision of the definition for a noncomputability requirement requiring attention and the corresponding action.

For  $e = ne' + i$ ,  $P_e$  requires attention at stage  $s + 1$  if  $e \leq s$ ,  $P_e$  is not satisfied at (the end of) stage  $s$ , and one of the following holds.

There is a realized follower  $y$  of  $P_e$  at the end of stage  $s$  (i.e., a follower  $y$  such that  $\{e'\}_s(y) = 0$ ) and  $B_{i,s+1} \upharpoonright y + 1 \neq B_{i,s} \upharpoonright y + 1$ . (5.4)

There is a follower  $y$  of  $P_e$  at the end of stage  $s$ , say  $y = y_p(e, s)$ , and there is a number  $y'$  such that  $y < y' \leq s$ ,  $y' \notin A_s$ , and  $\sigma(e, y', s) < \hat{\sigma}_p(e, s)$ . (5.5)

All followers of  $P_e$  at the end of stage  $s$  (if any) are realized. (5.6)

If  $P_e$  receives attention then  $P_e$  becomes active via the first of the above clauses which holds, and the action is as follows. If (5.4) holds then the least  $y$  as there is enumerated into  $A_i$  and the requirement  $P_e$  is declared to be satisfied. If (5.5) holds (and (5.4) does not hold), then for the least  $y$  as there, for the corresponding  $p$  and for the least corresponding  $y'$ ,  $y$  is replaced by  $y'$ , the declared state of  $y_p(e, s + 1)$  is set to  $\hat{\sigma}_p(e, s + 1) = \sigma(e, y', s)$  and all  $P_e$ -followers  $y'' > y$  which exist at the end of stage  $s$  are cancelled (note that, by  $y < y'$ , the latter ensures that  $y' = y_p(e, s + 1)$ ). Finally, if (5.6) holds (and (5.4) and (5.5) do not hold), then  $y = s + 1$  is appointed as follower and, for  $p$  such that  $y = y_p(e, s + 1)$ , the declared state of  $y$  is set to  $\hat{\sigma}_p(e, s + 1) = 1^e$ .

If  $P_e$  becomes active at stage  $s + 1$  then, just as in the basic construction, all requirements of lower priority are initialized, where, for a non-computability requirement  $P_n$ , becoming initialized now means that all followers and their declared states are cancelled and the requirement is declared to be unsatisfied. Moreover, we say that  $P_e$  becomes active via  $p$  at stage  $s + 1$  if either  $P_e$  becomes active according to clause (5.4) or (5.5) and  $y = y_p(e, s)$  for the least  $y$  as there or  $P_e$  becomes active according to clause (5.6) and  $y_p(e, s + 1) = s + 1$  is appointed at stage  $s + 1$ .

Up to this modification of  $P_e$  requiring attention and the corresponding changes in the activity of  $P_e$  the construction is the same as in the proof of

Theorem 2.7. The proof of correctness follows the lines of the corresponding part of the proof of Theorem 2.7 whence we only give a rough sketch in the following.

The observations on the relations among the followers for different requirements made there directly carry over. For the followers  $y_0(e, s), \dots, y_p(e, s)$  of a requirement  $P_e$  at the end of stage  $s$  at which the requirement is not satisfied, one should observe that for any follower  $y_l(e, s)$  ( $l \leq p$ ),  $y_l(e, s) \notin A_s$  and  $y_l(e, s)$  is not a follower of any other requirement at stage  $s$ . Moreover, for  $l < p$ , the stage at which  $y_l(e, s)$  is appointed (according to clause (5.5) or (5.6)) precedes the corresponding stage for  $y_{l+1}(e, s)$  and  $\hat{\sigma}_l(e, s) \leq \hat{\sigma}_{l+1}(e, s)$ .

Since at any stage  $s + 1$  at most one follower is enumerated into at most one of the sets  $A_i$ , the above in particular implies that the sets  $A_i$  are pairwise disjoint.

The argument that any requirement requires attention only finitely often (see Claim 3.6) now becomes a bit more sophisticated for the noncomputability requirements: Given  $P_e$  ( $e = ne' + i$ ), by inductive hypothesis, fix a stage  $s_0 > e$  such that no higher priority requirement requires attention after stage  $s_0$ , and, for a contradiction, assume that  $P_e$  requires attention infinitely often. Then  $P_e$  is not initialized after stage  $s_0$ ,  $P_e$  is not satisfied after stage  $s_0$ , and  $P_e$  receives attention at any stage  $s + 1 > s_0$  at which it requires attention. Note that once  $y_p(e, s)$  is appointed and not cancelled afterwards,  $P_e$  can become active via  $p$  at most  $2^e$  times (since, whenever this happens, the declared state  $\hat{\sigma}_p(e, s')$  is decreased). Since, by assumption,  $P_e$  acts infinitely often, it follows, by induction on  $p$ , that, for any  $p$  there is a permanent follower  $y_p(e)$  with a permanent declared state  $\hat{\sigma}_p(e)$  and  $A_i(y_p(e)) = \{e'\}(y_p(e)) = 0$ . Moreover,  $y_p(e, s') = y_p(e)$  for all  $s' \geq s$  where  $s > s_0$  is minimal such that, for all  $p' \leq p$ ,  $y_{p'}(e, s) = y_{p'}(e)$  and  $\hat{\sigma}_{p'}(e, s) = \hat{\sigma}_{p'}(e)$ . Since  $\hat{\sigma}_p(e)$  is nondecreasing in  $p$  and bounded by  $1^e$ ,  $\hat{\sigma}_p(e)$  comes to a limit for all sufficiently large  $p$  hence is computable in  $p$ . It follows that the least stage  $s(p) > s_0$  such that  $y_p(e) = y_p(e, s)$  for  $s \geq s(p)$  and  $y_p(e)$  is realized at stage  $s(p)$  can be computed. Since, by choice of  $s_0$ , (5.4) fails for all stages  $s \geq s_0$  and since  $y_p(e) \geq p$ , it follows that  $B_i \upharpoonright p = B_{i, s(p)} \upharpoonright p$ . So  $B_i$  is computable contrary to assumption, giving the desired contradiction.

As in the proof of Theorem 2.7, the fact that all requirements require attention only finitely often easily implies that the noncomputability requirements are met (see Claim 3.7). So it only remains to show that the

inaccessibility requirements  $Q_e$  are met too. Here the proof given in Theorem 2.7 (see Claim 3.9) directly carries over. Note that Claim 3.2, Claim 3.3, Claim 3.4 and Claim 3.5 proven there hold in the given context too. So, as in the proof of Claim 3.9, given a stage  $s_0$  such that neither  $Q_e$  nor a higher priority requirement requires attention after stage  $s_0$ , it suffices to show that — assuming that  $Q_e$  is not satisfied at any stage  $s \geq s_0$  and that the clauses (i) and (ii) in  $Q_e$  hold while clause (\*) fails — there is an infinite computable set  $S$  of stages  $s$  satisfying (3.26). Such a set  $S$  is obtained as in the proof Theorem 2.7 by making some obvious changes: in the definition of stage  $e_0$  there, condition (3.60) has to be replaced by the condition that  $P_{e_0}$  is not permanently satisfied (note that in the old context (3.60) is equivalent to this fact), and, correspondingly, the set  $S$  now consists of the stages  $s > t_{e_0}$  satisfying

$$\begin{aligned} \forall e'' > e_0 \forall p \geq 0 (y_p(e'', s) \downarrow \ \& \ P_{e''} \text{ is not satisfied at stage } s \\ \Rightarrow \hat{\sigma}_p(e'', s+1) \upharpoonright e+1 = \sigma^*) \end{aligned}$$

where now  $t_{e_0} + 1$  is the last stage at which  $P_{e_0}$  becomes active.

## 6. Open Problems

We conclude the chapter with some open problems.

Open Problem 6.1: Can Theorem 2.8 be extended to show that any high degree can be split into two high s.m.i. degrees?

An affirmative answer to Open Problem 6.1 would show that any generator of the high degrees is a join generator of the high degrees.

The next open problem asks if Theorem 2.8 can be generalized in a different direction.

Open Problem 6.2: Can every  $\text{high}_2$  or even every non- $\text{low}_2$  degree be split into two s.m.i. degrees?

An affirmative answer to Open Problem 6.2 would show that every generator of the c.e. degrees generates the  $\text{high}_2$  (or even the non- $\text{low}_2$ ) degrees under join.

Open Problem 6.3: Is the class of s.m.i. degrees definable in  $\mathbf{R}$ ?

Neither the definition of strong meet inaccessibility nor any of the three equivalent conditions given in Lemma 2.2 are obviously first-order.

Open Problem 6.4: Does every strongly meet inaccessible degree satisfy Condition (2.3)?

Since Condition (2.3) is clearly first-order, an affirmative answer to Open Problem 6.4 together with Lemma 2.3 would imply an affirmative answer to Open Problem 6.3.

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