We will cover these parts of the book (8th edition):

```
10.3
10.4.1-10.4.3
10.4.5
11.1.1-11.1.3 (up to page 788)
11.2.1, 11.2.2
11.4.1
11.5
12.1
12.2.1
```

12.3.1

UMASS

Representing Graphs (Adjacency list)

d d c



Vertex	Adjacent Vertices
a	b, c, d
b	a, d
С	a, d
d	a, b, c

Initial Vertex	Terminal Vertices
a	С
b	a
С	
d	a, b, c

• **Definition:** Let G = (V, E) be a simple graph with |V| = n. Suppose that the vertices of G are listed in arbitrary order as $v_1, v_2, ..., v_n$.

► The adjacency matrix A (or A_G) of G, with respect to this listing of the vertices, is the n×n zero-one matrix with 1 as its (i, j)th entry when v_i and v_j are adjacent, and 0 otherwise.

In other words, for an adjacency matrix $A = [a_{ii}]$,

► $a_{ij} = 1$ if { v_i , v_j } is an edge of G, $a_{ij} = 0$ otherwise.



Example: What is the adjacency matrix A_G for the following graph G based on the order of vertices a, b, c, d ?



Solution:

$$A_G = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

Note: Adjacency matrices of undirected graphs are always symmetric.



►For the representation of graphs with multiple edges, we can no longer use zero-one matrices.

Instead, we use matrices of natural numbers.

►The (i, j)th entry of such a matrix equals the number of edges that are associated with {v_i, v_i}.

Example: What is the adjacency matrix A_G for the following graph G based on the order of vertices a, b, c, d ?



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Solution:

$$A_G = \begin{bmatrix} 0 & 1 & 1 & 2 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 0 & 3 \\ 2 & 1 & 3 & 0 \end{bmatrix}$$

Note: For undirected graphs, adjacency matrices are symmetric.

UMASS

► **Definition:** Let G = (V, E) be a directed graph with |V| = n. Suppose that the vertices of G are listed in arbitrary order as $v_1, v_2, ..., v_n$.

The adjacency matrix A (or A_G) of G, with respect to this listing of the vertices, is the n×n zero-one matrix with 1 as its (i, j)th entry when there is an edge from v to v_i, and 0 otherwise.

In other words, for an adjacency matrix $A = [a_{ij}]$,

► $a_{ij} = 1$ if (v_i, v_j) is an edge of G, $a_{ij} = 0$ otherwise.



Example: What is the adjacency matrix A_G for the following graph G based on the order of vertices a, b, c, d ?



Solution:



▶ **Definition:** Let G = (V, E) be an undirected graph with |V| = n and |E| = m. Suppose that the vertices and edges of G are listed in arbitrary order as $v_1, v_2, ..., v_n$ and $e_1, e_2, ..., e_m$, respectively.

The incidence matrix of G with respect to this listing of the vertices and edges is the n×m zero-one matrix with 1 as its (i, j)th entry when edge e_j is incident with vertex v_i , and 0 otherwise.

In other words, for an incidence matrix $M = [m_{ij}]$,

►
$$m_{ij} = 1$$
 if edge e_j is incident with v_i
 $m_{ij} = 0$ otherwise.



Example: What is the incidence matrix M for the following graph G based on the order of vertices a, b, c, d and edges 1, 2, 3, 4, 5, 6?



Solution:

Note: Incidence matrices of directed graphs contain two 1s per column for edges connecting two vertices and one 1 per column for loops.



• **Definition:** The simple graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are **isomorphic** if there is a bijection (an one-to-one and onto function) f from V_1 to V_2 with the property that a and b are adjacent in G_1 if and only if f(a) and f(b) are adjacent in G_2 , for all a and b in V_1 .

Such a function f is called an **isomorphism**.

In other words, G_1 and G_2 are isomorphic if their vertices can be ordered in such a way that the adjacency matrices M_{G_1} and M_{G_2} are identical.

►From a visual standpoint, G₁ and G₂ are isomorphic if they can be arranged in such a way that their displays are identical (of course without changing adjacency).

• Unfortunately, for two simple graphs, each with n vertices, there are n! possible isomorphisms that we have to check in order to show that these graphs are isomorphic.

However, showing that two graphs are not isomorphic can be easy.



For this purpose we can check invariants, that is, properties that two isomorphic simple graphs must both have.

- For example, they must have
- the same number of vertices,
- the same number of edges, and
- the same degrees of vertices.

Note that two graphs that differ in any of these invariants are not isomorphic, but two graphs that match in all of them are not necessarily isomorphic. 13



Example I: Are the following two graphs isomorphic?



Solution: Yes, they are isomorphic, because they can be arranged to look identical. You can see this if in the right graph you move vertex b to the left of the edge $\{a, c\}$. Then the isomorphism f from the left to the right graph is: f(a) = e, f(b) = a, f(c) = b, f(d) = c, f(e) = d.

Example II: How about these two graphs?



Solution: No, they are not isomorphic, because they differ in the degrees of their vertices.

Vertex d in right graph is of degree one, but there is no such vertex in the left graph.



▶ Definition: A path of length n from u to v, where n is a positive integer, in an undirected graph is a sequence of edges $e_1, e_2, ..., e_n$ of the graph such that $f(e_1) = \{x_0, x_1\}, f(e_2) = \{x_1, x_2\}, ..., f(e_n) =$ $\{x_{n-1}, x_n\}$, where $x_0 = u$ and $x_n = v$.

•When the graph is simple, we denote this path by its vertex sequence $x_0, x_1, ..., x_n$, since it uniquely determines the path.

The path is a circuit if it begins and ends at the same vertex, that is, if u = v.



▶ Definition (continued): The path or circuit is said to pass through or traverse $x_1, x_2, ..., x_{n-1}$.

► A path or circuit is **simple** if it does not contain the same edge more than once.



• **Definition:** A **path** of length n from u to v, where n is a positive integer, in a **directed multigraph** is a sequence of edges $e_1, e_2, ..., e_n$ of the graph such that $f(e_1) = (x_0, x_1), f(e_2) = (x_1, x_2), ..., f(e_n) =$ (x_{n-1}, x_n) , where $x_0 = u$ and $x_n = v$.

•When there are no multiple edges in the path, we denote this path by its vertex sequence $x_0, x_1, ..., x_n$, since it uniquely determines the path.

The path is a circuit if it begins and ends at the same vertex, that is, if u = v.

► A path or circuit is called **simple** if it does not contain the same edge more than once.



Let us now look at something new:

Definition: An undirected graph is called connected if there is a path between every pair of distinct vertices in the graph.

For example, any two computers in a network can communicate if and only if the graph of this network is connected.

Note: A graph consisting of only one vertex is always connected, because it does not contain any pair of distinct vertices.



Example: Are the following graphs connected?









- Theorem: There is a simple path between every pair of distinct vertices of a connected undirected graph.
- Definition: A graph that is not connected is the union of two or more connected subgraphs, each pair of which has no vertex in common. These disjoint connected subgraphs are called the connected components of the graph.



Example: What are the connected components in the following graph?



Solution: The connected components are the graphs with vertices {a, b, c, d}, {e}, {f}, {i, g, h, j}.



Definition: A directed graph is strongly connected if there is a path from a to b and from b to a whenever a and b are vertices in the graph.

Definition: A directed graph is weakly connected if there is a path between any two vertices in the underlying undirected graph.

Example: Are the following directed graphs strongly or weakly connected?



Weakly connected, because, for example, there is no path from b to d.

Strongly connected, because there are paths between all possible pairs of vertices.



Idea: The number and size of connected components and circuits are further invariants with respect to isomorphism of simple graphs.

• **Example:** Are these two graphs isomorphic?



Solution: No, because the right graph contains circuits of length 3, while the left graph does not.



Frees



Definition: A tree is a connected undirected graph with no simple circuits.

► Since a tree cannot have a simple circuit, a tree cannot contain multiple edges or loops.

Therefore, any tree must be a simple graph.

Theorem: An undirected graph is a tree if and only if there is a unique simple path between any of its vertices.

Example: Are the following graphs trees?





Definition: An undirected graph that does not contain simple circuits and is not necessarily connected is called a forest.

In general, we use trees to represent hierarchical structures.

•We often designate a particular vertex of a tree as the **root**. Since there is a unique path from the root to each vertex of the graph, we direct each edge away from the root.

Thus, a tree together with its root produces a directed graph called a rooted tree.



Tree Terminology

If v is a vertex in a rooted tree other than the root, the parent of v is the unique vertex u such that there is a directed edge from u to v.

► When u is the parent of v, v is called the child of u.

Vertices with the same parent are called siblings.

The ancestors of a vertex other than the root are the vertices in the path from the root to this vertex, excluding the vertex itself and including the root.



Tree Terminology

► The descendants of a vertex v are those vertices that have v as an ancestor.

A vertex of a tree is called a leaf if it has no children.

Vertices that have children are called internal vertices.

If a is a vertex in a tree, then the subtree with a as its root is the subgraph of the tree consisting of a and its descendants and all edges incident to these descendants.



Tree Terminology

The level of a vertex v in a rooted tree is the length of the unique path from the root to this vertex.

The level of the root is defined to be zero.

The height of a rooted tree is the maximum of the levels of vertices.



Example I: Family tree





Example II: File system



Example III: Arithmetic expressions



This tree represents the expression $(y + z) \times (x - y)$.

► **Definition:** A rooted tree is called an **m-ary tree** if every internal vertex has no more than m children.

► The tree is called a **full m-ary tree** if every internal vertex has exactly m children.

► An m-ary tree with m = 2 is called a **binary tree**.

► Theorem: A tree with n vertices has (n – 1) edges.

Theorem: A full m-ary tree with i internal vertices contains n = mi + 1 vertices.
If we want to perform a large number of searches in a particular list of items, it can be worthwhile to arrange these items in a binary search tree to facilitate the subsequent searches.

A binary search tree is a binary tree in which each child of a vertex is designated as a right or left child, and each vertex is labeled with a key, which is one of the items.

When we construct the tree, vertices are assigned keys so that the key of a vertex is both larger than the keys of all vertices in its left subtree and smaller than the keys of all vertices in its right subtree.



Example: Construct a binary search tree for the strings math, computer, power, north, zoo, dentist, book.

math



















Example: Construct a binary search tree for the strings math, computer, power, north, zoo, dentist, book.



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Example: Construct a binary search tree for the strings math, computer, power, north, zoo, dentist, book.



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► To perform a search in such a tree for an item x, we can start at the root and compare its key to x. If x is **less** than the key, we proceed to the **left** child of the current vertex, and if x is **greater** than the key, we proceed to the **right** one.

This procedure is repeated until we either found the item we were looking for, or we cannot proceed any further.

In a balanced tree representing a list of n items, search can be performed with a maximum of [log(n + 1)] steps (compare with binary search).



ALGORITHM 1 Locating an Item in or Adding an Item to a Binary Search Tree.

procedure *insertion*(*T*: binary search tree, x: item) v := root of T{a vertex not present in T has the value *null* } while $v \neq null$ and $label(v) \neq x$ if x < label(v) then **if** left child of $v \neq null$ **then** v := left child of velse add *new vertex* as a left child of v and set v := null else **if** right child of $v \neq null$ **then** v := right child of v else add *new vertex* as a right child of v and set v := null if root of T = null then add a vertex v to the tree and label it with x else if v is null or *label*(v) $\neq x$ then label *new vertex* with x and let v be this new vertex **return** $v \{v = \text{location of } x\}$



Definition: Let G be a simple graph. A spanning tree of G is a subgraph of G that is a tree containing every vertex of G.

► Note: A spanning tree of G = (V, E) is a connected graph on V with a minimum number of edges (|V| - 1).

Example: Since winters in Boston can be very cold, six universities in the Boston area decide to build a tunnel system that connects their libraries.

The complete graph including all possible tunnels:



The spanning trees of this graph connect all libraries with a minimum number of tunnels.



• Example for a spanning tree:



Since there are 6 libraries, 5 tunnels are sufficient to connect all of them.



Theorem: A simple graph is connected if and only if it has a spanning tree.

Now imagine that you are in charge of the tunnel project. How can you determine a tunnel system of minimal cost that connects all libraries?

Definition: A minimum spanning tree in a connected weighted graph is a spanning tree that has the smallest possible sum of weights of its edges.

• How can we find a minimum spanning tree?



The complete graph with cost labels (in billion \$):



The least expensive tunnel system costs \$20 billion.



Prim's Algorithm:

- Begin by choosing any edge with smallest weight and putting it into the spanning tree,
- successively add to the tree edges of minimum weight that are incident to a vertex already in the tree and not forming a simple circuit with those edges already in the tree,
- stop when (n 1) edges have been added.

Example: Use Prim's algorithm to design a minimum spanning tree for the libraries' graph.



Kruskal's Algorithm:

Kruskal's algorithm is identical to Prim's algorithm, except that it does not demand new edges to be incident to a vertex already in the tree.

Both algorithms are guaranteed to produce a minimum spanning tree of a connected weighted graph.



• Example: Use Kruskal's algorithm to design a minimum spanning tree for the libraries' graph.



Prim vs. Kruskal:

The two algorithms differ in the way they can be implemented and their efficiency under different conditions.

As a rule of thumb, Prim's algorithm is more efficient when initially there are many more edges than vertices.

For graphs with initially only few edges in comparison to the number of vertices, Kruskal's algorithm typically performs more efficiently.



Boolean Algebra



Boolean Algebra

Boolean algebra provides the operations and the rules for working with the set {0, 1}.

These are the rules that underlie electronic circuits, and the methods we will discuss are fundamental to VLSI design.

- •We are going to focus on three operations:
- Boolean complementation,
- Boolean sum, and
- Boolean product

Boolean Operations

• The complement is denoted by a bar. It is defined by • $\overline{0} = 1$ and $\overline{1} = 0$.

The Boolean sum, denoted by + or by OR, has the following values:

▶1 + 1 = 1, 1 + 0 = 1, 0 + 1 = 1, 0 + 0 = 0

The Boolean product, denoted by · or by AND, has the following values:

▶ $1 \cdot 1 = 1$, $1 \cdot 0 = 0$, $0 \cdot 1 = 0$, $0 \cdot 0 = 0$



Definition: Let B = {0, 1}. The variable x is called a
 Boolean variable if it assumes values only from B.

►A function from B^n , the set {($x_1, x_2, ..., x_n$) $|x_i \in B$, 1 ≤ i ≤ n}, to B is called a Boolean function of degree n.

 Boolean functions can be represented using expressions made up from Boolean variables and Boolean operations.



Question: How many different Boolean functions of degree 1 are there?

Solution: There are four of them, F_1 , F_2 , F_3 , and F_4 :





Question: How many different Boolean functions of degree 2 are there?

Solution: There are 16 of them, F_1 , F_2 , ..., F_{16} :





Question: How many different Boolean functions of degree n are there?

Solution:

- ► There are 2ⁿ different n-tuples of 0s and 1s.
- ►A Boolean function is an assignment of 0 or 1 to each of these 2ⁿ different n-tuples.
- ► Therefore, there are 2^{2ⁿ} different Boolean functions



► The Boolean expressions in the variables x₁, x₂, ..., x_n are defined recursively as follows:

- 0, 1, x_1 , x_2 , ..., x_n are Boolean expressions.
- If E_1 and E_2 are Boolean expressions, then $(\overline{E_1})$, (E_1E_2) , and $(E_1 + E_2)$ are Boolean expressions.

Each Boolean expression represents a Boolean function. The values of this function are obtained by substituting 0 and 1 for the variables in the expression.



For example, we can create Boolean expression in the variables x, y, and z using the "building blocks"
0, 1, x, y, and z, and the construction rules:

- Since x and y are Boolean expressions, so is xy.
- Since z is a Boolean expression, so is (\overline{z}) .
- Since xy and (\overline{z}) are Boolean expressions, so is xy + (\overline{z}) .

▶ ... and so on...

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Example: Give a Boolean expression for the Boolean function F(x, y) as defined by the following table:

X	у	F(x, y)
0	0	0
0	1	1
1	0	0
1	1	0

Possible solution: $F(x, y) = (\overline{x}) \cdot y$

Another Example:

X	у	z	F(x, y, z)
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	0

Possible solution I: $F(x, y, z) = \overline{(xz + y)}$

Possible solution II: $F(x, y, z) = \overline{(xz)} \overline{(y)}$



• **Definition:** The Boolean functions F and G of n variables are **equal** if and only if $F(b_1, b_2, ..., b_n) =$ $G(b_1, b_2, ..., b_n)$ whenever $b_1, b_2, ..., b_n$ belong to B.

Two different Boolean expressions that represent the same function are called equivalent.

► For example, the Boolean expressions xy, xy + 0, and xy·1 are equivalent.



► The complement of the Boolean function F is the function \overline{F} , where $\overline{F}(b_1, b_2, ..., b_n) = \overline{F(b_1, b_2, ..., b_n)}$.

Let F and G be Boolean functions of degree n. The Boolean sum F+G and Boolean product FG are then defined by

► (F + G)(
$$b_1, b_2, ..., b_n$$
) = F($b_1, b_2, ..., b_n$)+G($b_1, b_2, ..., b_n$)

► (FG)($b_1, b_2, ..., b_n$) = F($b_1, b_2, ..., b_n$) G($b_1, b_2, ..., b_n$)



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Identities

There are useful identities of Boolean expressions that can help us to transform an expression A into an equivalent expression B, e.g.:

Identity Name	AND Form	OR Form
Identity Law	1x = x	0+x=x
Null (or Dominance) Law	0x = 0	1+ <i>x</i> = 1
Idempotent Law	XX = X	X + X = X
Inverse Law	$x\overline{x} = 0$	$x + \overline{x} = 1$
Commutative Law	xy = yx	x+y=y+x
Associative Law	(XY)Z = X(YZ)	(X+y)+Z=X+(y+Z)
Distributive Law	x+yz = (x+y)(x+z)	x(y+z) = xy+xz
Absorption Law	x(x+y) = x	X+XY = X
DeMorgan's Law	$(\overline{XY}) = \overline{X} + \overline{Y}$	$(\overline{X+Y}) = \overline{XY}$
Double Complement Law	$\overline{X} =$	X 70



Identities

These identities come in pairs. To explain the relationship between the two identities in each pair we use the concept of a dual.

The dual of a Boolean expression is obtained by interchanging Boolean sums and Boolean products and interchanging 0s and 1s.

- The dual of a Boolean function F represented by a Boolean expression is the function represented by the dual of this expression.
- This dual function, denoted by F^d , does not depend on the particular Boolean expression used to represent F.

An identity between functions represented by Boolean expressions remains valid when the duals of both sides of the identity are taken. This result, called the duality principle, is useful for obtaining new identities.



Definition of a Boolean Algebra

 All the properties of Boolean functions and expressions that we have discovered also apply to other mathematical structures such as propositions and sets and the operations defined on them.

If we can show that a particular structure is a Boolean algebra, then we know that all results established about Boolean algebras apply to this structure.

For this purpose, we need an abstract definition of a Boolean algebra.


Definition of a Boolean Algebra

• **Definition:** A Boolean algebra is a set B with two binary operations \lor and \land , elements 0 and 1, and a unary operation ⁻ such that the following properties hold for all x, y, and z in B:

► x ∨ 0 = x and x ∧ 1 = x (identity laws)
► x ∨ (\bar{x}) = 1 and x ∧ (\bar{x}) = 0 (domination laws)
► (x ∨ y) ∨ z = x ∨ (y ∨ z) and (x ∧ y) ∧ z = x ∧ (y ∧ z) (associative laws)
► x ∨ y = y ∨ x and x ∧ y = y ∧ x (commutative laws)
► x ∨ (y ∧ z) = (x ∨ y) ∧ (x ∨ z) and (x ∧ y ∨ z) = (x ∧ y) ∨ (x ∧ z) (distributive laws)



Representing Boolean Functions

There is a simple method for deriving a Boolean expression for a function that is defined by a table. This method is based on minterms.

► **Definition:** A **literal** is a Boolean variable or its complement. A **minterm** of the Boolean variables x_1 , x_2 , ..., x_n is a Boolean product $y_1y_2...y_n$, where $y_i = x_i$ or $y_i = \overline{x_i}$.

Hence, a minterm is a product of n literals, with one literal for each variable.



Representing Boolean Functions

► Consider F(x,y,z) again:

X	у	z	F(x, y, z)
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	0

F(x, y, z) = 1 if and only if: x = y = z = 0 or x = y = 0, z = 1 or x = 1, y = z = 0Therefore, F(x, y, z) = $(\overline{x})(\overline{y})(\overline{z}) + (\overline{x})(\overline{y})z + x(\overline{y})(\overline{z})$

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Logic Gates

Electronic circuits consist of so-called gates.
There are three basic types of gates:



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