

# Relations

CS 220 — Applied Discrete Mathematics

February {12, 19, 24}, 2025



# Predicate Logic

Let  $P$  be a set of people. Here are some **predicates** on  $P$ :

$\text{SiblingOf}(a, b) = \text{"}a \text{ and } b \text{ are siblings"}$

where  $a, b \in P$

$\text{ChildOf}(c, p) = \text{"}c \text{ is a child of } p\text{"}$

where  $c, p \in P$

$\text{DescendantOf}(a, d) = \text{"}d \text{ is a descendant of } a\text{"}$

where  $a, d \in P$

$\text{RelatedTo}(a, b) = \text{"}a \text{ and } b \text{ are related (or equal)"}$

where  $a, b \in P$

Interesting facts about these predicates:

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ChildOf( $c, p$ ) = " $c$  is a child of  $p$ " where  $c, p \in P$

DescendantOf( $a, d$ ) = " $d$  is a descendant of  $a$ " where  $a, d \in P$

RelatedTo( $a, b$ ) = " $a$  and  $b$  are related (or equal)" where  $a, b \in P$

Interesting facts about these predicates:

- ▶ (**Symmetry**) If SiblingOf( $a, b$ ), then SiblingOf( $b, a$ ).
- ▶ (**Transitivity**) If DescendantOf( $a, b$ ) and DescendantOf( $b, c$ ), then DescendantOf( $a, c$ ).
- ▶ RelatedTo has both **symmetry** and **transitivity**.
- ▶ If you have ChildOf, you could generate DescendantOf. (How?)

# Predicate Logic

numbers are objects

sets are objects

predicates represent properties of objects  
represent relationships between objects

??? represents properties of predicates

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(We can't define predicates or functions on predicates.)

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In **first-order** predicate logic, predicates are not objects.  
(We can't define predicates or functions on predicates.)

Solutions:

- ▶ higher-order logic (not in this class; see CS 420, CS 720)
- ▶ find a way to represent properties and relationships as *objects*

Represent properties and relationships as **objects** — specifically, sets.

- ▶ Represent a property of elements of  $A$  as a subset of  $A$ .

Examples:

- ▶ Represent a relationship on elements of  $A$  as a subset of  $A \times A$ .

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- ▶ Represent a relationship between  $A$  and  $B$  as a subset of  $A \times B$ .

Examples:

- ▶ ...

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Examples:  $Even \subseteq \mathbb{Z}$ ,  $Prime \subseteq \mathbb{N}$
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Examples:  $(<) \subseteq \mathbb{R} \times \mathbb{R}$ ,  $SiblingOf \subseteq Person \times Person$
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- ▶ ...

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Examples:  $(<) \subseteq \mathbb{R} \times \mathbb{R}$ ,  $SiblingOf \subseteq Person \times Person$
- ▶ Represent a relationship between  $A$  and  $B$  as a subset of  $A \times B$ .  
Examples:  $ActedIn \subseteq Actors \times Movies$ ,  $HasLivedIn \subseteq Person \times City$
- ▶ ...

# Relations

# Relations

## Definition (Binary Relation)

Let  $A$  and  $B$  be sets.

- ▶ A **binary relation on  $A$**  is a subset of  $A \times A$ .
- ▶ A **binary relation from  $A$  to  $B$**  is a subset of  $A \times B$ .

We often drop the qualifier “binary”, but there are other kinds of **relations**.

We use **relations** to model relationships between things.

## Examples

Example **relations on *Person***:

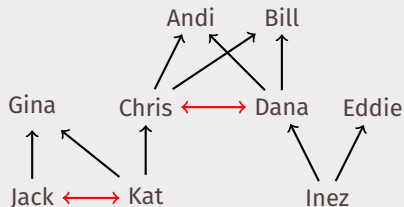
- ▶ is a child of
- ▶ is friends with
- ▶ is older than

Example **relations from *Person* to *City***:

- ▶ lives in
- ▶ was born in
- ▶ has visited

# Example: Relations on People

$$\text{ChildOf}, \text{SiblingOf} \subseteq \text{People} \times \text{People}$$



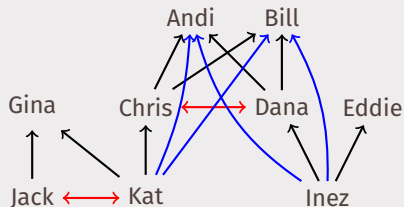
(relations drawn as a **directed graph**, aka **digraph**)

$$\begin{aligned} \text{ChildOf} = \{ & (\text{Chris}, \text{Andi}), (\text{Chris}, \text{Bill}), \\ & (\text{Dana}, \text{Andi}), (\text{Dana}, \text{Bill}), \\ & (\text{Inez}, \text{Dana}), (\text{Inez}, \text{Eddie}), \\ & (\text{Jack}, \text{Gina}), \\ & (\text{Kat}, \text{Gina}), (\text{Kat}, \text{Chris}) \} \end{aligned}$$

$$\text{SiblingOf} = \{ (\text{Chris}, \text{Dana}), (\text{Dana}, \text{Chris}), \\ (\text{Jack}, \text{Kat}), (\text{Kat}, \text{Jack}) \}$$

# Example: Relations on People

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(relations drawn as a **directed graph**, aka **digraph**)

$$\begin{aligned} \text{ChildOf} = \{ & (\text{Chris}, \text{Andi}), (\text{Chris}, \text{Bill}), \\ & (\text{Dana}, \text{Andi}), (\text{Dana}, \text{Bill}), \\ & (\text{Inez}, \text{Dana}), (\text{Inez}, \text{Eddie}), \\ & (\text{Jack}, \text{Gina}), \\ & (\text{Kat}, \text{Gina}), (\text{Kat}, \text{Chris}) \} \end{aligned}$$

$$\text{SiblingOf} = \{ (\text{Chris}, \text{Dana}), (\text{Dana}, \text{Chris}), \\ (\text{Jack}, \text{Kat}), (\text{Kat}, \text{Jack}) \}$$

$$\begin{aligned} \text{DescendantOf} = \{ & (\text{Inez}, \text{Andi}), (\text{Inez}, \text{Bill}), \\ & (\text{Kat}, \text{Andi}), (\text{Kat}, \text{Bill}) \} \\ & \cup \text{ChildOf} \end{aligned}$$

## Example: Relations on Numbers

Let  $H = \{1, 2, 3, 4, 5\}$ , and let  $S$ ,  $LT$ , and  $LE$  be defined as follows:

$$S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$$

$$LT = \{(1, 2), (1, 3), (1, 4), (1, 5), \\ (2, 3), (2, 4), (2, 5), \\ (3, 4), (3, 5), \\ (4, 5)\}$$

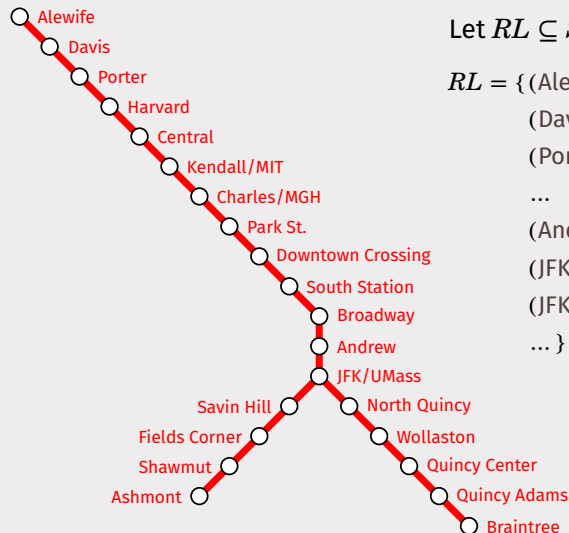
$$LE = \{(1, 1), (2, 2), (3, 3), (4, 4), (5, 5)\} \cup LT$$

$S$  represents the “successor” relation on  $H$ .

$LT$  represents the “less than” relation on  $H$ .

$LE$  represents “less than or equal to” relation on  $H$ .

# Example: Relation on T Stations



Let  $St = \{\text{Alewife, Davis, ...}\}$

Let  $RL \subseteq St \times St$  be defined as follows:

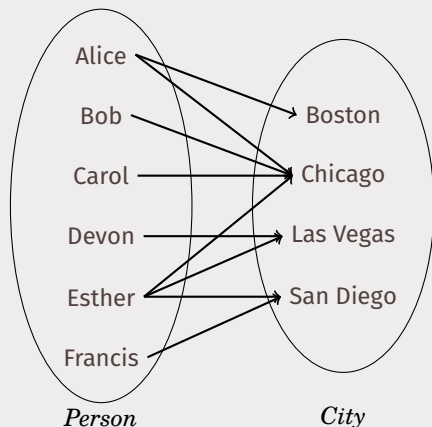
$RL = \{(\text{Alewife, Davis}),$   
     $(\text{Davis, Porter}), (\text{Davis, Alewife}),$   
     $(\text{Porter, Davis}), (\text{Porter, Harvard}),$   
     $\dots$   
     $(\text{Andrew, JFK/UMass}), (\text{Andrew, Broadway}),$   
     $(\text{JFK/UMass, Andrew}), (\text{JFK/UMass, Savin Hill}),$   
     $(\text{JFK/UMass, North Quincy}),$   
     $\dots \}$

$(s_1, s_2) \in RL$  means  
“can get from  $s_1$  to  $s_2$   
in one step on Red Line”



# Examples: Relation from Person to City

$$\textit{HasLivedIn} \subseteq \textit{Person} \times \textit{City}$$



*HasLivedIn* =

{ (Alice, Boston), (Alice, Chicago),  
(Bob, Chicago),  
(Carol, Chicago),  
(Devon, Las Vegas),  
(Esther, Chicago), (Esther, Las Vegas),  
(Esther, San Diego),  
(Francis, San Diego) }

# Relations vs Predicates

**Relations** and **predicates** serve the same purpose: to represent relationships between things. Keep the formal distinction in mind:

- ▶ A **relation** is a **set**. It may contain some **tuples** and not others.
- ▶ A **predicate** is used in an **open proposition**, which may be true for some values of **object variables** and false for others.

A **relation** can be defined by an **open proposition** using **set-builder notation**:

$$\underbrace{Divides = \{(d, n) \mid d \in \mathbb{N}, n \in \mathbb{N}, \underbrace{\exists k \in \mathbb{N}, kd = n}_{\text{open proposition on } d, n}\}}_{\text{relation}}$$

For example,  $(3, 27) \in Divides$  because  $\exists k \in \mathbb{N}, 3k = 27$ . ( $k = 9$  works.)

# Notation for Relations

Some relations are named by symbols.

If  $R$  is a binary relation, it is common to write  $xRy$  instead of  $(x,y) \in R$ .

## Example

$<$  is a relation on  $\mathbb{R}$

We typically write  $3 < 5$  instead of  $(3,5) \in <$ .

# Properties of Relations

# Reflexivity

## Definition (Reflexive)

A **relation**  $R$  on a set  $A$  is **reflexive** iff  $(a, a) \in R$  for every  $a \in A$ .  
That is, every element is related to itself.

## Examples (Reflexive)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations on  $S$  is reflexive?

1.  $\{(1, 1), (1, 2), (2, 3), (3, 3), (4, 4)\}$
2.  $\{(1, 1), (2, 2), (2, 3), (3, 3), (4, 1), (4, 4)\}$
3.  $\{(1, 1), (2, 2), (3, 3)\}$

## Definition (Irreflexive)

A **relation**  $R$  on  $A$  is **irreflexive** if  $(a, a) \notin R$  for all  $a \in R$ .  
That is, no element is related to itself.

# Reflexivity

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## Examples (Reflexive)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations on  $S$  is reflexive?

1.  $\{(1, 1), (1, 2), (2, 3), (3, 3), (4, 4)\}$  no, missing  $(2, 2)$
2.  $\{(1, 1), (2, 2), (2, 3), (3, 3), (4, 1), (4, 4)\}$  yes
3.  $\{(1, 1), (2, 2), (3, 3)\}$  no\*, missing  $(4, 4)$

## Definition (Irreflexive)

A **relation**  $R$  on  $A$  is **irreflexive** if  $(a, a) \notin R$  for all  $a \in R$ .  
That is, no element is related to itself.

# Symmetry

## Definition (Symmetric)

A **relation**  $R$  on a set  $A$  is **symmetric** iff  $(b, a) \in R$  whenever  $(a, b) \in R$ .

## Examples (Symmetric)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations is symmetric?

1.  $\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1)\}$
2.  $\{(1, 2), (2, 3), (3, 4)\}$
3.  $\{(2, 2), (3, 3)\}$

## Definitions (Antisymmetric, Asymmetric)

A **relation**  $R$  on  $A$  is **antisymmetric** iff whenever  $(a, b) \in R$  and  $(b, a) \in R$ ,  $a = b$ .

A **relation**  $R$  on  $A$  is **asymmetric** iff  $(a, b) \in R$  implies that  $(b, a) \notin R$ .

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## Examples (Symmetric)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations is symmetric?

- |   |                            |
|---|----------------------------|
| 1. $\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1)\}$ | yes                        |
| 2. $\{(1, 2), (2, 3), (3, 4)\}$                 | no, missing $(2, 1)$ , etc |
| 3. $\{(2, 2), (3, 3)\}$                         | yes                        |

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# Transitivity

## Definition (Transitive)

A relation  $R$  on  $A$  is **transitive** iff  $(a, c) \in R$  whenever  $(a, b) \in R$  and  $(b, c) \in R$ .

$$\forall a, b, c \in A, (a, b) \in R \wedge (b, c) \in R \Rightarrow (a, c) \in R$$

## Examples (Transitive)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations is transitive?

1.  $\{(1, 1), (1, 2), (2, 2), (2, 1), (3, 3)\}$
2.  $\{(1, 3), (3, 2), (2, 1)\}$
3.  $\{(2, 4), (4, 3), (2, 3), (4, 1)\}$

Transitivity means

**if** you can get from one point to another **in two hops**,  
**then** you can also get there directly **in one hop**

# Transitivity

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## Examples (Transitive)

Let  $S = \{1, 2, 3, 4\}$ . Which of the following relations is transitive?

1.  $\{(1, 1), (1, 2), (2, 2), (2, 1), (3, 3)\}$  yes
2.  $\{(1, 3), (3, 2), (2, 1)\}$  no, missing  $(1, 2)$ , etc
3.  $\{(2, 4), (4, 3), (2, 3), (4, 1)\}$  no, missing  $(2, 1)$

Transitivity means

**if** you can get from one point to another **in two hops**,  
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# Example: Properties of Relations

Recall  $H$  and its relations:

$$H = \{1, 2, 3, 4, 5\}$$

$$S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$$

$$LT = \{(1, 2), (1, 3), (1, 4), (1, 5), \\ (2, 3), (2, 4), (2, 5), \\ (3, 4), (3, 5), \\ (4, 5)\}$$

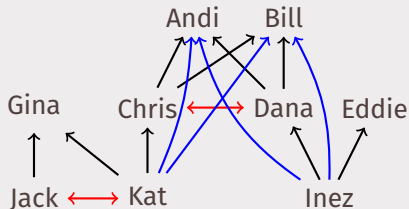
$$LE = \{(1, 1), (2, 2), (3, 3), (4, 4), (5, 5)\} \cup LT$$

Of the relations  $S$ ,  $LT$ , and  $LE$ , which are

- ▶ reflexive?
- ▶ symmetric?
- ▶ transitive?

## Example: Properties of Relations

Recall the family tree example:



Of  $ChildOf(\uparrow)$ ,  $SiblingOf(\uparrow)$ , and  $DescendantOf(\uparrow \cup \uparrow)$ , which are

- ▶ reflexive?
- ▶ symmetric?
- ▶ transitive?

# Operations on Relations

# Set Operations on Relations

**Relations** are **sets**, so we can apply **set operations** to them.

Suppose  $A$  and  $B$  are sets, and  $R \subseteq A \times B$  and  $S \subseteq A \times B$ .

- ▶  $R \cup S$  relates  $a$  to  $b$  if either  $R$  or  $S$  relates them.
- ▶  $R \cap S$  relates  $a$  to  $b$  if both  $R$  and  $S$  relate them.
- ▶  $R - S$  relates  $a$  to  $b$  if  $R$  relates them and  $S$  does not.

That is:

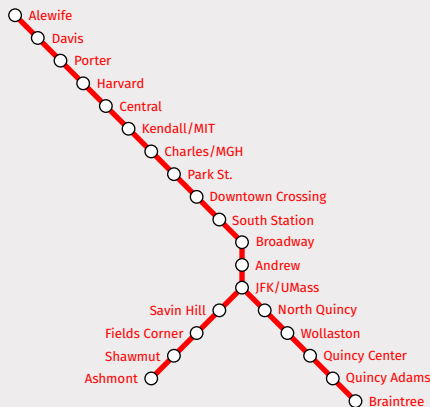
$$R \cup S = \{(a, b) \mid (a, b) \in R \vee (a, b) \in S\}$$

$$R \cap S = \{(a, b) \mid (a, b) \in R \wedge (a, b) \in S\}$$

$$R - S = \{(a, b) \mid (a, b) \in R \wedge (a, b) \notin S\}$$

(It is uncommon to take a **Cartesian product** ( $\times$ ) or **power set** ( $\mathcal{P}$ ) of a **relation**.)

# Example: Set Operations on the Red Line



$RL \subseteq St \times St$  is “one step on the Red Line”.

---

Let  $St' = \{\text{South Station, Broadway, Andrew}\}$ .

$RL \cap (St' \times St')$  represents the Red Line restricted to those stations.

---

Suppose  $GL \subseteq St \times St$  represents the “one step” relation for the Green Line.

$RL \cup GL$  represents “reachable in one step using either the Red or Green Line”.

---

Suppose  $BL \subseteq St \times St$  and  $OL \subseteq St \times St$  represent the “one step” relations for the Blue and Orange Lines, respectively.

Let  $T \subseteq St \times St$  be  $T = RL \cup GL \cup BL \cup OL$ .  
 $T$  means “reachable in one step using the subway”.

# Composing Relations

## Definition (Composite)

Let  $R \subseteq A \times B$  and  $S \subseteq B \times C$ . The **composite** of  $R$  and  $S$ , written  $S \circ R$ , is defined as  $\{(a, c) \mid (a, b) \in R, (b, c) \in S\}$ . That is,

$$\forall a \in A, \forall c \in C, [(a, c) \in S \circ R \iff \exists b \in B, (a, b) \in R \wedge (b, c) \in S]$$

*If you can get from  $a$  to  $b$  by  $R$ ,  
and you can get from  $b$  to  $c$  by  $S$ ,  
then you can get from  $a$  to  $c$  by  $S \circ R$ .*

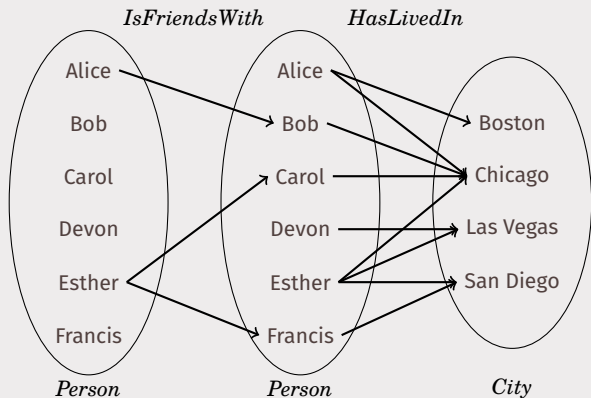
$S \circ R$  means “ $R$  first, then  $S$ ”

Be careful of the order. The notation follows **function composition** notation, where  $(g \circ f)(x) = g(f(x))$ .



# Example: Composing Relations

$$\textit{HasLivedIn} \circ \textit{IsFriendsWith}$$

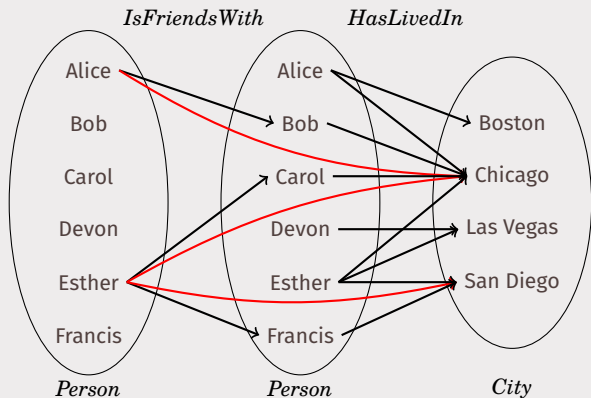


$$= \{(Alice, Chicago), \\ (Esther, Chicago), \\ (Esther, San Diego)\}$$

Note that Bob, Carol, and Francis are not part of the result!

# Example: Composing Relations

$HasLivedIn \circ IsFriendsWith$



$= \{(Alice, Chicago),$   
 $(Esther, Chicago),$   
 $(Esther, San Diego)\}$

Note that Bob, Carol, and Francis are not part of the result!

# Powers of Relations

## Definition (Powers of a Relation)

Let  $A$  be a set, and  $R \subseteq A \times A$ . The **powers** of  $R$  are defined as follows:

- ▶  $R^1 = R$
- ▶  $R^{n+1} = R^n \circ R$

That is,  $R^n = \underbrace{R \circ R \circ \dots \circ R}_{n \text{ times}}$ .

## Example: Composition & Powers, Numbers

Recall  $H = \{1, 2, 3, 4, 5\}$ . We have

$$S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$$

$$S^2 = S \circ S = \{(1, 3), (2, 4), (3, 5)\}$$

$$S^3 = S^2 \circ S = \{(1, 4), (2, 5)\}$$

$$S^4 = S^3 \circ S = \{(1, 5)\}$$

$$S^5 = S^4 \circ S = \emptyset$$

$$LT = S \cup S^2 \cup S^3 \cup S^4$$

What properties does  $LT$  have, again?

# Example: Composition & Powers, the Red Line



$RL$  is “reachable in one step using the Red Line”, etc.

Let  $T \subseteq S = RL \cup GL \cup BL \cup OL$ .

$T$  means “reachable in one step using the subway”.

---

$GL \circ RL$  means “reachable by riding one step on the Red Line, then one step on the Green Line”.

---

$RL^2 = RL \circ RL$  means “reachable by riding exactly two steps on the Red Line”.

$T^2 = T \circ T$  means “reachable by riding exactly two steps on the subway”.

$T \cup T^2$  means “reachable by riding one or two steps on the subway”.

# Composition and Transitivity

## Theorem

Let  $R$  be a **relation** on  $A$ .  $R$  is **transitive** iff  $R^n \subseteq R$  for all  $n \in \mathbb{Z}^+$ .

Actually, it's even simpler:  $R$  is **transitive** iff  $R^2 \subseteq R$ .

Recall:  $R$  is **transitive** iff whenever  $R$  contains  $(a, b)$  and  $(b, c)$  it also contains  $(a, c)$ . Those  $(a, c)$  pairs are exactly what  $R^2 = R \circ R$  computes.

## Observation

Let  $R$  be a **relation** on  $A$ . Even if  $R$  is not already **transitive**, we can create a **transitive** relation by taking

$$R^+ = R \cup R^2 \cup R^3 \cup R^4 \cup \dots = \bigcup_{n=1}^{\infty} R^n$$

$R^+$  is called the **transitive closure** of  $R$ .

# Closures of Relations

## Definitions

Let  $R$  be a **relation** on  $A$ . That is,  $R \subseteq A \times A$ . Then

- ▶ the **reflexive closure** of  $R$  (wrt  $A$ ) is the smallest relation  $R'$  such that  $R \subseteq R'$  and  $R'$  is **reflexive** (wrt  $A$ )
- ▶ the **symmetric closure** of  $R$  is the smallest relation  $R'$  such that  $R \subseteq R'$  and  $R'$  is **symmetric**
- ▶ the **transitive closure** of  $R$  is the smallest relation  $R'$  such that  $R \subseteq R'$  and  $R'$  is **transitive**
- ▶ the **reflexive-reflexive closure** of  $R$  is the smallest relation  $R'$  such that  $R \subseteq R'$  and  $R'$  is **reflexive** (wrt  $A$ ) and **transitive**
- ▶ ...

# Examples: Closures

Relations on  $\mathbb{R}$ :

- ▶ the reflexive closure of  $<$  (wrt  $\mathbb{R}$ ) is  $\leq$
- ▶ the symmetric closure of  $<$  is  $\neq$
- ▶ the transitive closure of  $<$  is  $<$ , because  $<$  is already transitive
- ▶ the transitive closure of  $\leq$  is  $\leq$ , because  $\leq$  is already transitive

Recall:  $H = \{1, 2, 3, 4, 5\}$ , and  $S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$ .

- ▶ the transitive closure of  $S$  is  $LT$
- ▶ the reflexive closure of  $LT$  (wrt  $H$ ) is  $LE$
- ▶ the reflexive-transitive closure of  $S$  is  $LE$



# Examples: Closures (on the Red Line)

Recall  $RL \subseteq St \times St$ , meaning “reachable in one step on the Red Line”.

- ▶ the **reflexive closure** of  $RL$  is  
 $RL \cup \{(s, s) \mid s \in St\}$ , meaning “reachable in zero or one steps”
- ▶ the **symmetric closure** of  $RL$  is  
 $RL$ , because  $RL$  is already **symmetric**
- ▶ the **transitive closure** of  $RL$  is  
 $RL^+ = \bigcup_{k=1}^{\infty} RL^k$ , meaning “reachable in one or more steps”
- ▶ the **reflexive-transitive closure** of  $RL$  is  
 $RL^* = RL^+ \cup \{(s, s) \mid s \in RL\}$ , “reachable in zero or more steps”  
In fact,  $RL^* = RL^+$ , because  $RL^+$  is already **reflexive**. (Why?)

The **transitive closure** of “can get there in one step”  
is “can get there in **one or more** steps”.

The **reflexive-transitive closure** of “can get there in one step”  
is “can get there in **zero or more** steps”.

# Calculating Closures

Let  $R$  be a relation on  $A$ .

- ▶ The reflexive closure of  $R$  is

$$R \cup \{(a, a) \mid a \in A\}$$

- ▶ The symmetric closure of  $R$  is

$$R \cup \{(b, a) \mid (a, b) \in R\}$$

- ▶ The transitive closure of  $R$  is

$$R \cup R^2 \cup R^3 \cup \dots = \bigcup_{n=1}^{\infty} R^n$$

# Transitive Closure of a Directed Graph

If you think of a relation  $R$  as a **directed graph**, it is **transitive** if

- ▶ for every **path** from  $a$  to  $b$  containing two or more edges, there is an **edge** directly connecting  $a$  and  $b$

To compute the **transitive closure** of  $R$ :

1. start with the graph of  $R$
2. while there is a **path** from  $a$  to  $b$  with two edges but no edge from  $a$  to  $b$   
add an edge from  $a$  to  $b$

## More Kinds of Relations

# Partial Orders

**Partial orders** are a relaxed generalization of  $\leq$ -like relations.

## Definition (Partial Order, Partial Ordering)

Let  $\sqsubseteq$  be a **relation** on  $A$ .  $\sqsubseteq$  is a **partial order** (aka, **partial ordering**) if it is

- ▶ reflexive,  $\forall a \in A, a \sqsubseteq a$
- ▶ transitive,  $\forall a, b, c \in A, (a \sqsubseteq b \wedge b \sqsubseteq c) \Rightarrow a \sqsubseteq c$
- ▶ and antisymmetric  $\forall a, b \in A, (a \sqsubseteq b \wedge b \sqsubseteq a) \Rightarrow a = b$

Recall:  $R$  is **antisymmetric** if it doesn't contain any "mirrored pairs".  
That is, if  $a \neq b$ , then  $R$  cannot contain both  $(a, b)$  and  $(b, a)$ .

## Definition (Partially Ordered Set, poset)

A set  $A$  with a **partial order**  $\sqsubseteq$  on  $A$  is called a **partially ordered set**, often shortened to **poset**.

# Examples: Partial Orders

A **partial order** is **reflexive**, **transitive**, and **antisymmetric**.

## Examples

Which of the following are **partial orders** on  $H$ ?

- ▶  $LT = \{(a, b) \mid a \in H, b \in H, a < b\}$
- ▶  $LE = \{(a, b) \mid a \in H, b \in H, a \leq b\}$
- ▶  $S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$
- ▶  $\{(1, 1), (1, 2), (2, 2), (3, 3), (4, 4), (5, 4), (5, 5)\}$
- ▶  $\{(1, 1), (2, 2), (3, 3), (4, 4), (5, 1), (5, 2), (5, 3), (5, 4), (5, 5)\}$

# Examples: Partial Orders

A **partial order** is **reflexive**, **transitive**, and **antisymmetric**.

## Examples

Which of the following are **partial orders** on  $H$ ?

- ▶  $LT = \{(a, b) \mid a \in H, b \in H, a < b\}$  no, not reflexive
- ▶  $LE = \{(a, b) \mid a \in H, b \in H, a \leq b\}$  yes
- ▶  $S = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$  no, not reflexive, not transitive
- ▶  $\{(1, 1), (1, 2), (2, 2), (3, 3), (4, 4), (5, 4), (5, 5)\}$  yes!
- ▶  $\{(1, 1), (2, 2), (3, 3), (4, 4), (5, 1), (5, 2), (5, 3), (5, 4), (5, 5)\}$  yes!

# Examples: Partial Orders

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- ▶  $\{(1, 1), (2, 2), (3, 3), (4, 4), (5, 1), (5, 2), (5, 3), (5, 4), (5, 5)\}$  yes!

## Observation

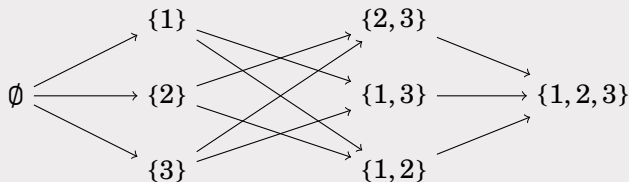
If  $(S, \sqsubseteq)$  is a finite **partially ordered set**, then the elements of  $S$  can be written down so that all  $\sqsubseteq$  arrows (except self-loops) go from left to right. (This is related to a concept called **topological sort**.)



# Examples: Partial Orders, the Inclusion Order

For any set  $A$ ,  $\subseteq$  is a **partial order** on  $\mathcal{P}(A)$ .

For example, here's the diagram\* of  $\subseteq$  on  $\mathcal{P}(\{1, 2, 3\})$ :

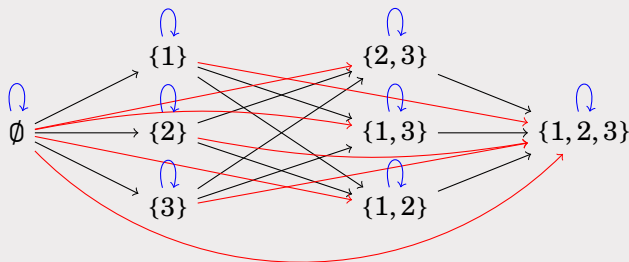


\*I've left out some arrows. This is the **Hasse diagram** or **transitive reduction** of the actual partial order.

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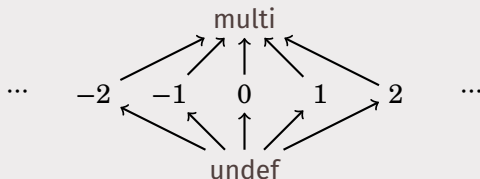
\*I've left out some arrows. This is the **Hasse diagram** or **transitive reduction** of the actual partial order. **Here are the rest of the arrows.**

# Examples: Partial Orders, the Flat Lattice

Here is a **partial order** (in fact, a **lattice**) on

$$\{\text{undef}, \text{multi}\} \cup \mathbb{Z}$$

This **lattice** is useful for **constant propagation** in an optimizing compiler.



Usually, **undef** is written  $\perp$ , and **multi** is written  $\top$ .

## Definition (Comparable)

Let  $(S, \sqsubseteq)$  be a **partially ordered set**, and let  $a \in S$  and  $b \in S$ . Then  $a$  and  $b$  are **comparable** if either  $a \sqsubseteq b$  or  $b \sqsubseteq a$ . Otherwise they are **incomparable**.

## Examples $(H, R)$

Are the following **comparable** in

$$R = \{(1, 1), (1, 2), (2, 2), (3, 3), \\ (4, 4), (5, 4), (5, 5)\}$$

- ▶ 1 and 2
- ▶ 3 and 4
- ▶ 4 and 5

## Examples $(\mathcal{P}(\{1, 2, 3\}), \subseteq)$

Are the following **comparable**?

- ▶  $\emptyset$  and  $\{1, 2, 3\}$
- ▶  $\{1, 2\}$  and  $\{1, 3\}$
- ▶  $\{1\}$  and  $\{1, 3\}$
- ▶  $\{2\}$  and  $\{3\}$

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Are the following **comparable** in

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- ▶ 1 and 2                      yes
- ▶ 3 and 4                      no
- ▶ 4 and 5                      yes

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$$R = \{(1, 1), (1, 2), (2, 2), (3, 3), \\ (4, 4), (5, 4), (5, 5)\}$$

- |           |     |
|-----------|-----|
| ▶ 1 and 2 | yes |
| ▶ 3 and 4 | no  |
| ▶ 4 and 5 | yes |

### Examples $(\mathcal{P}(\{1, 2, 3\}), \subseteq)$

Are the following **comparable**?

- |                                 |     |
|---------------------------------|-----|
| ▶ $\emptyset$ and $\{1, 2, 3\}$ | yes |
| ▶ $\{1, 2\}$ and $\{1, 3\}$     | no  |
| ▶ $\{1\}$ and $\{1, 3\}$        | yes |
| ▶ $\{2\}$ and $\{3\}$           | no  |

# Total Orders

**Total orders** are a less relaxed generalization of  $\leq$ -like relations.

## Definition (Total Order)

Let  $\sqsubseteq$  be a **relation** on  $S$ .  $\sqsubseteq$  is a **total order** (aka **linear order**) if

- ▶  $\sqsubseteq$  is a **partial order**, and
- ▶ every  $a$  and  $b$  in  $S$  are **comparable** (by  $\sqsubseteq$ )

Then  $(S, \sqsubseteq)$  is a **totally ordered set**.

## Examples

- ▶  $\leq$  and  $\geq$  are **total orders** on  $\mathbb{R}$  (and on  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$ , etc)
- ▶  $\subseteq$  on  $\mathcal{P}(S)$  is a **partial order** but generally not a **total order** (When is it a total order?)

# Equivalence Relations

Equivalence relations are a generalization of  $=$ -like relations.

## Definition (Equivalence Relation)

Let  $\sim$  be a relation on  $A$ . It is an **equivalence relation** iff it is

► reflexive

$$\forall a \in A, a \sim a$$

► symmetric

$$\forall a, b \in A, a \sim b \Rightarrow b \sim a$$

► transitive

$$\forall a, b, c \in A, (a \sim b \wedge b \sim c) \Rightarrow a \sim c$$

Then if  $a \sim b$ , we say  $a$  and  $b$  are **equivalent** (according to  $\sim$ ).

## Examples

Some **equivalence relations** on the natural numbers ( $\mathbb{N}$ ):

►  $=$

► “have the same quotient when divided by 7”

► “have the same last digit” = “have same remainder if divided by 10”



# Examples: Equivalence Relations on Strings

Some possible **equivalence relations** ( $\equiv$ ) on **strings**:

- ▶ if they have both the same sequence of characters

`"Apple"  $\not\equiv$  "apple"`

- ▶ if, after both strings are case-folded (roughly, letters converted to lowercase), both have the same sequence of characters

`"Apple"  $\equiv$  "apple", "Straße"  $\equiv$  "strasse", "two fish"  $\not\equiv$  "twofish"`

- ▶ if, after case folding and whitespace removal, both have the same sequence of characters

`"Apple"  $\equiv$  "apple", "Straße"  $\equiv$  "strasse", "two fish"  $\equiv$  "twofish"`

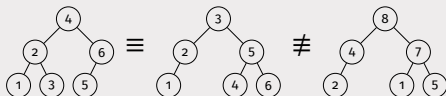
# Examples: Equivalence Relations on Binary Trees

Some possible **equivalence relations** ( $\equiv$ ) on **binary trees**:

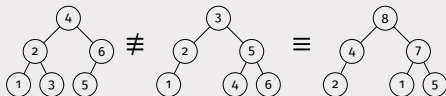
- ▶ if both have the same elements and the same tree structure



- ▶ if both have the same elements in the same left-to-right order (but maybe different structures)



- ▶ if both have the same tree structure (but maybe different elements)



# Equivalence Classes

## Definition (Equivalence Class)

Let  $\sim$  be an **equivalence relation** on  $S$ , and let  $a \in S$ .

The **equivalence class** of  $a$  (under  $\sim$ ), written  $[a]_{\sim}$ , is the set of all elements **equivalent** to  $a$ :

$$[a]_{\sim} = \{b \mid a \sim b\}$$

(If the relation is clear from context, we can omit the subscript and just write  $[a]$ .)

## Examples

- ▶ For  $\mathbb{N}$  with the “have the same last digit” relation:  
 $[24] =$
- ▶ For  $\mathbb{N}$  with the “have the same quotient when divided by 7” relation:  
 $[24] =$

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## Examples

- ▶ For  $\mathbb{N}$  with the “have the same last digit” relation:  
 $[24] = \{4, 14, \mathbf{24}, 34, 44, \dots\}$  (last digit is 4)
- ▶ For  $\mathbb{N}$  with the “have the same quotient when divided by 7” relation:  
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## Examples

- ▶ For  $\mathbb{N}$  with the “have the same last digit” relation:  
 $[24] = \{4, 14, \mathbf{24}, 34, 44, \dots\}$  (last digit is 4)
- ▶ For  $\mathbb{N}$  with the “have the same quotient when divided by 7” relation:  
 $[24] = \{21, 22, 23, \mathbf{24}, 25, 26, 27\}$  (quotient is 3)

# Equivalence Classes

## Facts about Equivalence Classes

Let  $\sim$  be a **equivalence relation** on  $S$ . Then

- ▶  $a \in [a]$  for every  $a \in S$
- ▶  $a \sim b \iff [a] = [b]$
- ▶  $a \not\sim b \iff [a] \cap [b] = \emptyset$
- ▶  $\{[a] \mid a \in S\}$  is a **partition** of  $S$

## Example

The **equivalence relation** “ends with the same digit” **partitions**  $\mathbb{N}$  into 10 **equivalence classes**:

$$\{\{0, 10, 20, \dots\}, \{1, 11, 21, \dots\}, \{2, 12, 32, \dots\}, \dots, \{9, 19, 29, \dots\}\}$$

# Topic List

- ▶ relations as sets of pairs
- ▶ digraph (directed graph) representation
- ▶ relation properties: reflexive, symmetric, antisymmetric, transitive
- ▶ relation composition ( $\circ$ ), powers
- ▶ {reflexive,symmetric,transitive}-closure
- ▶ partial order, total order
- ▶ equivalence relation, equivalent
- ▶ equivalence classes