

Compilation

Outline

- 1 Compilers
- 2 Why Study Compilers?
- 3 Phases of Compilation
- 4 The *j--* Compiler
- 5 Adding New Constructs to *j--*

Compilers

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A compiler translates a source language program into a target language program



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Examples of source language: C, Java

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Examples of source language: C, Java

Examples of target language: MIPS instructions, JVM instructions (aka bytecode)

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A programming language specification consists of:

- Syntax of tokens
- Syntax of constructs such as classes, methods, statements, and expressions
- Semantics (ie, meaning) of the constructs

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Examples of machine architectures:

- Intel i386: a complex instruction set computer (CISC)
- MIPS: a reduced instruction set computer (RISC)
- Java Virtual Machine (JVM): a virtual machine

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An interpreter executes a source language program directly



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Examples of interpreters: Bash, Python

Why Study Compilers?

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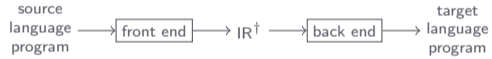
Compiler writing is a case study in software engineering

Compilers are programs and writing programs is fun

Phases of Compilation

Phases of Compilation

A compiler can be broken into a front end and a back end



† Intermediate Representation

Phases of Compilation

Phases of Compilation

The front end can be decomposed into a sequence of analysis phases



† Abstract Syntax Tree

Phases of Compilation

Phases of Compilation

The back end can be decomposed into a sequence of synthesis phases



Phases of Compilation

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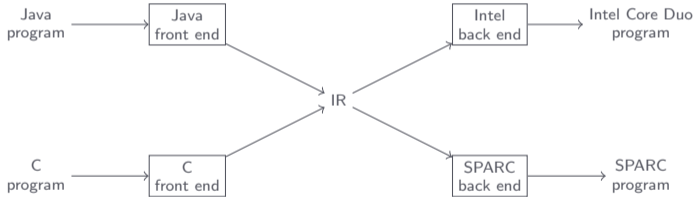
A compiler sometimes has an optimizer between the front end and the back end



Phases of Compilation

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Separating the front end from the back end enables code re-use



The j-- Compiler

j-- is a compiler for a subset of Java, also called *j--*, with support for classes, methods, fields, statements, and expressions

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Compiling a *j--* program `$j/j--/tests/jvm/HelloWorld.java` for the JVM

```
>_ ~/workspace/j--
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$ /bin/bash ./bin/j-- tests/jvm/HelloWorld.java
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Running the JVM program `HelloWorld.class`

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```
$ java HelloWorld
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Running the JVM program `HelloWorld.class`

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Compiling a *j--* program `$j/j--/tests/spim/HelloWorld.java` for the MIPS machine

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>_ ~/workspace/j--  
$ /bin/bash ./bin/j-- -s naive tests/spim/HelloWorld.java
```

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Compiling a *j--* program `$j/j--/tests/spim/HelloWorld.java` for the MIPS machine

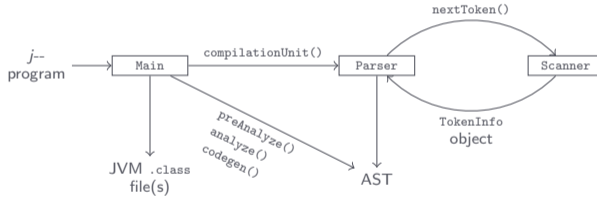
```
>_ ~/workspace/j--  
$ /bin/bash ./bin/j-- -s naive tests/spim/HelloWorld.java
```

Running the MIPS program `HelloWorld.s`

```
>_ ~/workspace/j--  
$ spim -f HelloWorld.s
```


The j-- Compiler

The j-- compiler is organized in an object-oriented fashion



The *j--* Compiler

The scanner breaks down a *j--* program into a sequence of tokens

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For example, the following program

```
HelloWorld.java
// Copyright 2012- Bill Campbell, Swami Iyer and Bahar Akbal-Delibas
//
// Writes to standard output the message "Hello, World".

import java.lang.System;

public class HelloWorld {
    // Entry point.
    public static void main(String[] args) {
        System.out.println("Hello, World");
    }
}
```

is broken down into `import, java, ., lang, ., System,;, public, class, HelloWorld, {, . . . , ;, }, }`

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`., ;, etc` are separators with distinct names `DOT, SEMI, etc`

`"Hello, World"` is a `STRING_LITERAL` token with the image `"Hello, World"`

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Grammar rules describing a compilation unit and a qualified identifier

```
compilationUnit ::= [ PACKAGE qualifiedIdentifier SEMI ]  
                  { IMPORT qualifiedIdentifier SEMI }  
                  { typeDeclaration }  
                  EOF  
  
qualifiedIdentifier ::= IDENTIFIER { DOT IDENTIFIER }
```


The j-- Compiler

Parser.java

```
public JCompilationUnit compilationUnit() {
    int line = scanner.token().line();
    String fileName = scanner.fileName();
    TypeName packageName = null;
    if (have(PACKAGE)) {
        packageName = qualifiedIdentifier();
        mustBe(SEMI);
    }
    ArrayList<TypeName> imports = new ArrayList<TypeName>();
    while (have(IMPORT)) {
        imports.add(qualifiedIdentifier());
        mustBe(SEMI);
    }
    ArrayList<JAST> typeDeclarations = new ArrayList<JAST>();
    while (!see(EOF)) {
        JAST typeDeclaration = typeDeclaration();
        if (typeDeclaration != null) {
            typeDeclarations.add(typeDeclaration);
        }
    }
    mustBe(EOF);
    return new JCompilationUnit(fileName, line, packageName, imports, typeDeclarations);
}

private TypeName qualifiedIdentifier() {
    int line = scanner.token().line();
    mustBe(IDENTIFIER);
    String qualifiedIdentifier = scanner.previousToken().image();
    while (have(DOT)) {
        mustBe(IDENTIFIER);
        qualifiedIdentifier += "." + scanner.previousToken().image();
    }
    return new TypeName(line, qualifiedIdentifier);
}
```


The j-- Compiler

```
{
  "JCompilationUnit:5":
  {
    "source": "tests/jvm/HelloWorld.java",
    "imports": ["java.lang.System"],
    "JClassDeclaration:7":
    {
      "modifiers": ["public"],
      "name": "HelloWorld",
      "super": "java.lang.Object",
      "JMethodDeclaration:9":
      {
        "name": "main",
        "returnType": "void",
        "modifiers": ["public", "static"],
        "parameters": [["args", "String[]"]],
        "JBlock:9":
        {
          "JStatementExpression:10":
          {
            "JMessageExpression:10":
            {
              "ambiguousPart": "System.out", "name": "println",
              "Argument":
              {
                "JLiteralString:10":
                {
                  "type": "", "value": "Hello, World"
                }
              }
            }
          }
        }
      }
    }
  }
}
```


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- Constructor (wraps `java.lang.reflect.Constructor`)

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Types in *j--* are represented using:

- Type (wraps `java.lang.Class`)
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In some places *j--* uses `TypeName` and `ArrayTypeName` to denote a type by its name, before the type is known

An ambiguous expression such as `x.y.z` in `x.y.z.w()` is denoted as `AmbiguousName` by the parser and is reclassified during analysis

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A `MethodContext` (subclass of `LocalContext`) object represents the scopes of methods/constructors

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Example (analysis of a while-statement)

JWhileStatement.java

```
public JWhileStatement analyze(Context context) {
    condition = condition.analyze(context);
    condition.type().mustMatchExpected(line(), Type.BOOLEAN);
    body = (JStatement) body.analyze(context);
    return this;
}
```

The j-- Compiler

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Each time a method is called, the JVM:

- Allocates a stack frame — contiguous block of memory locations on top of the stack
- Assigns positions on the frame for formal parameters and substitutes actual arguments for the parameters

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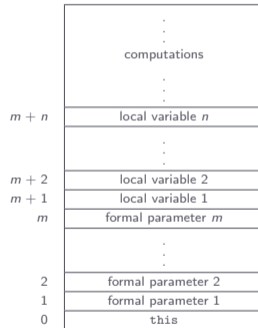
Each time a method is called, the JVM:

- Allocates a stack frame — contiguous block of memory locations on top of the stack
- Assigns positions on the frame for formal parameters and substitutes actual arguments for the parameters
- Assigns positions on the frame for values of local variables and temporary results

The j-- Compiler

The j-- Compiler

Stack frame for an instance method call with m formal parameters and n local variables



The j-- Compiler

A *j--* method

```
public static int multiply(int x, int y) {  
    int z = x * y;  
    return z;  
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JVM code for the method

```
public static int multiply(int, int);  
stack=2, locals=3, args_size=2  
0: iload_0  
1: iload_1  
2: imul  
3: istore_2  
4: iload_2  
5: ireturn
```

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Stack frame for the call `multiply(6, 7)`

2	z :
1	y : 7
0	x : 6

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Stack frame for the call `multiply(6, 7)`

	6
2	z :
1	y : 7
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	7
	6
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Stack frame for the call `multiply(6, 7)`

	42
2	z :
1	y : 7
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Stack frame for the call `multiply(6, 7)`

2	z : 42
1	y : 7
0	x : 6

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```

Stack frame for the call `multiply(6, 7)`

	42
2	z : 42
1	y : 7
0	x : 6

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poof!

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JVM code for the method

```
public static int multiply(int, int);  
stack=2, locals=3, args_size=2  
0: iload_0  
1: iload_1  
2: imul  
3: istore_2  
4: iload_2  
5: ireturn
```

Stack frame for the call `multiply(6, 7)`

poof!

The j-- Compiler

GenFactorial.java

```
import java.util.ArrayList;

import jminusminus.CLEmitter;

import static jminusminus.CLConstants.*;

/**
 * This class programatically generates the class file for the following Java application:
 *
 * <pre>
 * public class Factorial {
 *     public static void main(String[] args) {
 *         int n = Integer.parseInt(args[0]);
 *         int result = factorial(n);
 *         System.out.println(n + "! = " + result);
 *     }
 *
 *     private static int factorial(int n) {
 *         if (n <= 1) {
 *             return 1;
 *         }
 *         return n * factorial(n - 1);
 *     }
 * }
 * </pre>
 */
public class GenFactorial {
    public static void main(String[] args) {
        // Create a CLEmitter instance
        CLEmitter e = new CLEmitter(true);

        // Create an ArrayList instance to store modifiers
        ArrayList<String> modifiers = new ArrayList<String>();

        // public class Factorial {
```

The j-- Compiler

GenFactorial.java

```
modifiers.add("public");
e.addClass(modifiers, "Factorial", "java/lang/Object", null, true);

// public static void main(String[] args) {
modifiers.clear();
modifiers.add("public");
modifiers.add("static");
e.addMethod(modifiers, "main", "([Ljava/lang/String;)V", null, true);

// int n = Integer.parseInt(args[0]);
e.addNoArgInstruction(ALOAD_0);
e.addNoArgInstruction(ICONST_0);
e.addNoArgInstruction(AALOAD);
e.addMemberAccessInstruction(INVOKESTATIC, "java/lang/Integer", "parseInt",
    "(Ljava/lang/String;)I");
e.addNoArgInstruction(ISTORE_1);

// int result = factorial(n);
e.addNoArgInstruction(ILOAD_1);
e.addMemberAccessInstruction(INVOKESTATIC, "Factorial", "factorial", "(I)I");
e.addNoArgInstruction(ISTORE_2);

// System.out.println(n + "! = " + result);

// Get System.out on stack
e.addMemberAccessInstruction(GETSTATIC, "java/lang/System", "out", "Ljava/io/PrintStream;");

// Create an instance (say sb) of StringBuffer on stack for string concatenations
// sb = new StringBuffer();
e.addReferenceInstruction(NEW, "java/lang/StringBuffer");
e.addNoArgInstruction(DUP);
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/lang/StringBuffer", "<init>", "()V");

// sb.append(n);
e.addNoArgInstruction(ILOAD_1);
```

The j-- Compiler

GenFactorial.java

```
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/lang/StringBuffer", "append",
    "(I)Ljava/lang/StringBuffer;");

// sb.append("!=");
e.addLDCInstruction("!=");
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/lang/StringBuffer", "append",
    "(Ljava/lang/String;)Ljava/lang/StringBuffer;");

// sb.append(result);
e.addNoArgInstruction(ILOAD_2);
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/lang/StringBuffer", "append",
    "(I)Ljava/lang/StringBuffer;");

// System.out.println(sb.toString());
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/lang/StringBuffer",
    "toString", "()Ljava/lang/String;");
e.addMemberAccessInstruction(INVOKEVIRTUAL, "java/io/PrintStream", "println",
    "(Ljava/lang/String;)V");

// return;
e.addNoArgInstruction(RETURN);

// private static int factorial(int n) {
modifiers.clear();
modifiers.add("private");
modifiers.add("static");
e.addMethod(modifiers, "factorial", "(I)I", null, true);

// if (n > 1) branch to "Recurse"
e.addNoArgInstruction(ILOAD_0);
e.addNoArgInstruction(ICONST_1);
e.addBranchInstruction(IF_ICMPGT, "Recurse");

// Base case: return 1;
e.addNoArgInstruction(ICONST_1);
```

The j-- Compiler

GenFactorial.java

```
e.addNoArgInstruction(IRETURN);

// Recursive case: return n * factorial(n - 1);
e.addLabel("Recurse");
e.addNoArgInstruction(ILOAD_0);
e.addNoArgInstruction(ILOAD_0);
e.addNoArgInstruction(ICONST_1);
e.addNoArgInstruction(ISUB);
e.addMemberAccessInstruction(INVOKESTATIC, "Factorial", "factorial", "(I)I");
e.addNoArgInstruction(IMUL);
e.addNoArgInstruction(IRETURN);

// Write Factorial.class to file system
e.write();
}
}
```


The j-- Compiler

Compile GenFactorial.java

```
>_ ~/workspace/j--
```

```
$ /bin/bash ./bin/clemmitter tests/clemmitter/GenFactorial.java
```

The j-- Compiler

Compile GenFactorial.java

```
>_ ~/workspace/j--
```

```
$ /bin/bash ./bin/clemmitter tests/clemmitter/GenFactorial.java
```

Run Factorial.class

```
>_ ~/workspace/j--
```

```
$ java Factorial 5  
5! = 120
```


The j-- Compiler

The `codegen()` method, starting at the root, recursively descends the AST, generating JVM bytecode

The j-- Compiler

The `codegen()` method, starting at the root, recursively descends the AST, generating JVM bytecode

Example (code generation for a method declaration)

JMethodDeclaration.java

```
public void codegen(CLEmitter output) {
    output.addMethod(mods, name, descriptor, null, false);
    if (body != null) {
        body.codegen(output);
    }
    if (returnType == Type.VOID) {
        output.addNoArgInstruction(RETURN);
    }
}
```


The j-- Compiler

The zip file `j--.zip` for the base `j--` compiler may be unzipped into any directory (referred to as `$j`) of your choosing

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The directory `$j/j--/src/jminusminus` contains:

The j-- Compiler

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The directory `$j/j--/src/jminusminus` contains:

- `Main.java`, the driver program

The j-- Compiler

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The directory `$j/j--/src/jminusminus` contains:

- `Main.java`, the driver program
- A hand-crafted scanner (`Scanner.java`) and parser (`Parser.java`)

The j-- Compiler

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The directory `$j/j--/src/jminusminus` contains:

- `Main.java`, the driver program
- A hand-crafted scanner (`Scanner.java`) and parser (`Parser.java`)
- `J*.java` files defining classes representing the AST nodes

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- `CL*.java` files for creating JVM bytecode

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- `N*.java` files for translating JVM bytecode into MIPS code

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- `N*.java` files for translating JVM bytecode into MIPS code
- `j--.jj`, the JavaCC specification file for generating a scanner and parser

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- `j--.jj`, the JavaCC specification file for generating a scanner and parser
- `JavaCCMain.java`, the driver program that uses the generated scanner and parser

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- Other supporting Java files

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The directory `$j/j--/bin` contains wrapper scripts

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- `J*.java` files defining classes representing the AST nodes
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- `N*.java` files for translating JVM bytecode into MIPS code
- `j--.jj`, the JavaCC specification file for generating a scanner and parser
- `JavaCCMain.java`, the driver program that uses the generated scanner and parser
- Other supporting Java files

The directory `$j/j--/bin` contains wrapper scripts

The directory `$j/j--/tests` contains test programs

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The directory `$j/j--/src/jminusminus` contains:

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- A hand-crafted scanner (`Scanner.java`) and parser (`Parser.java`)
- `J*.java` files defining classes representing the AST nodes
- `CL*.java` files for creating JVM bytecode
- `N*.java` files for translating JVM bytecode into MIPS code
- `j--.jj`, the JavaCC specification file for generating a scanner and parser
- `JavaCCMain.java`, the driver program that uses the generated scanner and parser
- Other supporting Java files

The directory `$j/j--/bin` contains wrapper scripts

The directory `$j/j--/tests` contains test programs

The file `$j/j--/build.xml` is the Ant build configuration file

The j-- Compiler

John R. Cook

John R. Cook

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The j-- Compiler

Usage syntax for the j-- compiler (`$j/j--/bin/j--`)

```
>_ ~/workspace/j--
```

```
$ /bin/bash ./bin/j--
```

```
Usage: j-- <options> <source file>
```

```
Where possible options include:
```

- t Only tokenize input and print tokens to STDOUT
- p Only parse input and print AST to STDOUT
- pa Only parse and pre-analyze input and print AST to STDOUT
- a Only parse, pre-analyze, and analyze input and print AST to STDOUT
- s <naive|linear|graph> Generate SPIM code
- r <num> Physical registers (1-18) available for allocation; default = 8
- d <dir> Specify where to place output files; default = .

The j-- Compiler

Usage syntax for the *j--* compiler (`$j/j--/bin/j--`)

```
>_ ~/workspace/j--  
  
$ /bin/bash ./bin/j--  
Usage: j-- <options> <source file>  
Where possible options include:  
-t Only tokenize input and print tokens to STDOUT  
-p Only parse input and print AST to STDOUT  
-pa Only parse and pre-analyze input and print AST to STDOUT  
-a Only parse, pre-analyze, and analyze input and print AST to STDOUT  
-s <naive|linear|graph> Generate SPIM code  
-r <num> Physical registers (1-18) available for allocation; default = 8  
-d <dir> Specify where to place output files; default = .
```

For example, to just tokenize the *j--* program `$j/j--/tests/jvm/HelloWorld.java`, run

```
>_ ~/workspace/j--  
  
$ /bin/bash ./bin/j-- -t tests/jvm/HelloWorld.java
```

The j-- Compiler

Usage syntax for the *j--* compiler (`$j/j--/bin/j--`)

```
>_ ~/workspace/j--  
  
$ /bin/bash ./bin/j--  
Usage: j-- <options> <source file>  
Where possible options include:  
-t Only tokenize input and print tokens to STDOUT  
-p Only parse input and print AST to STDOUT  
-pa Only parse and pre-analyze input and print AST to STDOUT  
-a Only parse, pre-analyze, and analyze input and print AST to STDOUT  
-s <naive|linear|graph> Generate SPIM code  
-r <num> Physical registers (1-18) available for allocation; default = 8  
-d <dir> Specify where to place output files; default = .
```

For example, to just tokenize the *j--* program `$j/j--/tests/jvm/HelloWorld.java`, run

```
>_ ~/workspace/j--  
  
$ /bin/bash ./bin/j-- -t tests/jvm/HelloWorld.java
```

And to compile the program for the JVM, run

```
>_ ~/workspace/j--  
  
$ /bin/bash ./bin/j-- tests/jvm/HelloWorld.java
```

Adding New Constructs to j--

Adding New Constructs to j--

j-- provides an elaborate framework for adding new Java constructs to the *j--* language

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- Modify the (lexical and syntactic) grammar and semantics files

Adding New Constructs to j--

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For example, to add the division operator (*/*) to *j--*, we must:

- Modify the (lexical and syntactic) grammar and semantics files
- Modify the scanner

Adding New Constructs to j--

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For example, to add the division operator (*/*) to *j--*, we must:

- Modify the (lexical and syntactic) grammar and semantics files
- Modify the scanner
- Modify the parser

Adding New Constructs to j--

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For example, to add the division operator (*/*) to *j--*, we must:

- Modify the (lexical and syntactic) grammar and semantics files
- Modify the scanner
- Modify the parser
- Implement type checking (aka semantic analysis)

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For example, to add the division operator (*/*) to *j--*, we must:

- Modify the (lexical and syntactic) grammar and semantics files
- Modify the scanner
- Modify the parser
- Implement type checking (aka semantic analysis)
- Implement code generation

Adding New Constructs to j--

j-- provides an elaborate framework for adding new Java constructs to the *j--* language

For example, to add the division operator (*/*) to *j--*, we must:

- Modify the (lexical and syntactic) grammar and semantics files
- Modify the scanner
- Modify the parser
- Implement type checking (aka semantic analysis)
- Implement code generation
- Test the changes

Adding New Constructs to j--

Adding New Constructs to j--

lexicalgrammar

```
DIV      ::= "/"
```


Adding New Constructs to j--

lexicalgrammar

```
DIV      ::= "/"
```

grammar

```
multiplicativeExpression ::= unaryExpression  
                           { ( STAR | DIV ) unaryExpression }
```

semantics

```
JBinaryExpression:  
- JDivideOp  
  - lhs and rhs must be integers.
```

Adding New Constructs to j--

Adding New Constructs to j--

TokenInfo.java

```
enum TokenKind {  
    DIV ("/"),  
}
```

Adding New Constructs to j--

TokenInfo.java

```
enum TokenKind {  
    DIV ("/"),  
}
```

Scanner.java

```
    if (ch == '/') {  
        nextCh();  
        if (ch == '/') {  
            // CharReader maps all new lines to '\n'.  
            while (ch != '\n' && ch != EOFCH) {  
                nextCh();  
            }  
        } else {  
            return new TokenInfo(DIV, line);  
        }  
    }  
}
```

Adding New Constructs to j--

Adding New Constructs to j--

JBinaryExpression.java

```
class JDivideOp extends JBinaryExpression {
    public JDivideOp(int line, JExpression lhs, JExpression rhs) {
        super(line, "/", lhs, rhs);
    }

    public JExpression analyze (Context context) {
        // TODO
        return this;
    }

    public void codegen(CLEmitter output) {
        // TODO
    }
}
```

Adding New Constructs to j--

Adding New Constructs to j--

Parser.java

```
private JExpression multiplicativeExpression() {
    int line = scanner.token().line();
    boolean more = true;
    JExpression lhs = unaryExpression();
    while (more) {
        if (have(STAR)) {
            lhs = new JMultiplyOp(line, lhs, unaryExpression());
        }
        else if (have(DIV)) {
            lhs = new JDivideOp(line, lhs, unaryExpression());
        }
        else {
            more = false;
        }
    }
    return lhs;
}
```

Adding New Constructs to j--

Adding New Constructs to j--

JBinaryExpression.java

```
class JDivideOp extends JBinaryExpression {
    public JExpression analyze(Context context) {
        lhs = (JExpression) lhs.analyze(context);
        rhs = (JExpression) rhs.analyze(context);
        lhs.type().mustMatchExpected(line(), Type.INT);
        rhs.type().mustMatchExpected(line(), Type.INT);
        type = Type.INT;
        return this;
    }

    public void codegen(CLEmitter output) {
        lhs.codegen(output);
        rhs.codegen(output);
        output.addNoArgInstruction(IDIV);
    }
}
```

Adding New Constructs to j--

Adding New Constructs to j--

✎ Division.java

```
import java.lang.Integer;
import java.lang.System;

public class Division {
    public static void main(String[] args) {
        int a = Integer.parseInt(args[0]);
        int b = Integer.parseInt(args[1]);
        System.out.println(a / b);
    }
}
```

Adding New Constructs to j--

Adding New Constructs to j--

To compile the changes to the *j--* compiler, go to `$j/j--`, and run

```
>_ ~/workspace/j--
```

```
$ ant
```

Adding New Constructs to j--

To compile the changes to the *j--* compiler, go to `$j/j--`, and run

```
>_ ~/workspace/j--
```

```
$ ant
```

To compile the test program using *j--*, run

```
>_ ~/workspace/j--
```

```
$ /bin/bash ./bin/j-- tests/jvm/Division.java
```


Adding New Constructs to j--

To compile the changes to the *j--* compiler, go to `$j/j--`, and run

```
>_ ~/workspace/j--
```

```
$ ant
```

To compile the test program using *j--*, run

```
>_ ~/workspace/j--
```

```
$ /bin/bash ./bin/j-- tests/jvm/Division.java
```

To run the test program (`Division.class`), run

```
>_ ~/workspace/j--
```

```
$ java Division 42 6  
7
```