CS624 - Analysis of Algorithms

Spring 2018 – BFS

November 2, 2018
Graphs – Basic Definitions

- **Graph** $G = (V, E)$
  - $V =$ set of vertices, $E =$ set of edges $\subseteq (V \times V)$
  - **Undirected graph**: edge $(u, v) = (v, u)$; for all $v$, $(v, v) \notin E$ (No self loops.)
  - **Directed graph**: $(u, v)$ is edge from $u$ to $v$, denoted as $u \rightarrow v$. Self loops are allowed.
  - **Weighted graph**: each edge has an associated weight, given by a weight function $w : E \rightarrow \mathbb{R}$.
- **Dense graph**: $|E| \approx |V|^2$.
- **Sparse**: $|E| \ll |V|^2$.
- $|E| = O(|V|^2)$
If \((u, v) \in E\), then vertex \(v\) is adjacent to vertex \(u\).

- Adjacency relationship is symmetric if \(G\) is undirected, not necessarily so if \(G\) is directed.
- \(G\) is connected if there is a path between every pair of vertices.
- In this case \(|E| \geq |V| - 1\).
- Furthermore, if \(|E| = |V| - 1\), then \(G\) is a tree.
- Other definitions in Appendix B (B.4 and B.5) as needed.
Graph Search Algorithms

- Searching a graph: Systematically follow the edges of a graph to visit the vertices of the graph.
- Used to discover the structure of a graph.
- Standard graph-searching algorithms:
  - Breadth-first Search (BFS).
  - Depth-first Search (DFS).
Breadth-First Search (BFS)

- Let $G$ be an undirected graph.
- One way to represent a graph is by a set adjacency lists, one for each vertex.
- For each vertex $v \in V$, we have a list $Adj[v]$ consisting of those vertices $u$ such that $(v, u) \in E$.
- It is actually a set, but usually implemented as a list.
- This representation works just as well for directed graphs.
- In this case, the edge $(v, u)$ means the edge starting from $v$ and ending at $u$.
- BFS scans the graph $G$, starting from some arbitrary node $s$.
- The key mechanism in this algorithm is the use of a queue, denoted by $Q$. 
Algorithm 1 \textit{BFS}(G, s)

1: \textbf{for} each vertex \( u \in V[G] \setminus s \) \textbf{do}
2: \hspace{1em} \text{Color}[u] \leftarrow \text{White}
3: \hspace{1em} d[u] \leftarrow \infty
4: \hspace{1em} \pi[u] \leftarrow \text{nil}
5: \hspace{1em} \textbf{end for}
6: \text{Color}[s] \leftarrow \text{Gray}
7: \hspace{1em} d[s] \leftarrow 0
8: \hspace{1em} \pi[s] \leftarrow \text{nil}
9: \text{Q} \leftarrow \emptyset
10: \text{Enqueue}(Q, s)
11: \textbf{while} Q \neq \emptyset \textbf{do}
12: \hspace{1em} u \leftarrow \text{Dequeue}(Q)
13: \hspace{1em} \textbf{for} each \( v \in \text{Adj}[u] \) \textbf{do}
14: \hspace{2em} \textbf{if} Color[v] == \text{White} \textbf{then}
15: \hspace{3em} Color[v] \leftarrow \text{Gray}
16: \hspace{3em} d[v] \leftarrow d[u] + 1
17: \hspace{3em} \pi[v] \leftarrow u
18: \hspace{3em} \text{Mark the edge from } \pi[v] \text{ to } u \text{ as a “tree edge”}
19: \hspace{3em} \text{Enqueue}(Q, v)
20: \hspace{2em} \textbf{end if}
21: \hspace{1em} \textbf{end for}
22: \hspace{1em} \text{Color}[u] \leftarrow \text{Black}
23: \hspace{1em} \textbf{end while}
The BFS Algorithm

- Expands the frontier between discovered and undiscovered vertices uniformly across the breadth of the frontier.
- A vertex is “discovered” the first time it is encountered during the search.
- A vertex is “finished” if all vertices adjacent to it have been discovered.
- Colors the vertices to keep track of progress.
  - White – Undiscovered.
  - Gray – Discovered but not finished.
  - Black – Finished.
- Colors are required only to reason about the algorithm. Can be implemented without colors.
Note that all nodes are initially colored white.

A node is colored gray when it is placed on the queue.
A node is colored black when taken off the queue.

Nodes colored white have not yet been visited. The nodes colored black are “finished” and the nodes colored gray are still being processed.
When a node is placed on the queue, the edge from the first node in the queue (which is being taken off the queue) to that node is marked as a *tree edge* in the breadth-first tree.

These edges actually do form a tree (called the breadth-first tree) whose root is the start node $s$. 
The BFS Algorithm – Example

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The BFS Algorithm – Runtime and Properties

- Each node is visited once and each edge is examined at most twice.
- Therefore the cost is $O(|V| + |E|)$.
- Proof of correctness:

**Lemma**

*If G is connected, then the breadth-first tree constructed by this algorithm*

- *Really is a tree*
- *It contains all the nodes in the graph.*
The BFS Algorithm – Proof of Correctness

Proof.

- A node becomes the target of a tree edge when it is placed on the queue.
- Since that only happens once, no node is the target of two tree edges.
- Next, let us show that every node that is processed by the algorithm is reachable by a chain of tree edges from the root. It is enough to prove the following statement:
  - When a node is placed on the queue, it is reachable by a chain of tree edges from the root.
  - It is clearly true at the beginning: There is only one node in the queue and it is the root. The rest can be shown by induction.
Suppose it is true up to some point.

When the next node $v$ is placed on the queue, $v$ is an endpoint of an edge whose other endpoint is the node at the head of the queue, and that edge is made a tree edge.

By the inductive assumption, the node at the head of the queue is reachable by a path of tree edges from the root.

Appending the new edge to the path gives a path of tree edges from the root to $v$. 
Every node that is processed by the algorithm is reachable by a chain of edges from the root – so the edges form a tree.

Suppose there was one node \( v \) that was not reached by this process.

Since \( G \) is connected, there would have to be a path from the root to \( v \).

On that path there is a \textit{first} node (\( w \)) which was not in the tree.

That node might be \( v \), or it might come earlier in the path.

That means that the edge in the path leading to that node starts from a node in the tree.

At some point, that node in the tree was at the head of the queue.

Therefore, \( w \) would have been placed in the queue by the algorithm, and the edge to \( w \) would have been a tree edge – a contradiction.
Lemma

If at any point in the execution of the BFS algorithm the queue consists of the vertices \( \{v_1, v_2, \ldots, v_n\} \), where \( v_1 \) is at the head of the queue, then \( d[v_i] \leq d[v_{i+1}] \) for \( 1 \leq i \leq n - 1 \), and \( d[v_n] \leq d[v_1] + 1 \).

- In other words, the assigned depth numbers increase as one walks down the queue, and there are at most two different depths in the queue at any one time.
- If there are two, they are consecutive.
Proof.

- The result is true trivially at the start of the program, since there is only one element in the queue. The rest by induction.
- At any step, a vertex is added to the tail of the queue only when it is reachable from the vertex at the head (which is being taken off).
- The depth assigned to the new vertex at the tail is 1 more than that of the vertex at the head.
- By the inductive hypothesis it is greater than or equal to the depths of any other vertex on the queue, and no more than 1 greater than any of them.
**Lemma**

If two nodes in $G$ are joined by an edge in the graph (which might or might not be a tree edge), their $d$ values differ by at most 1.

**Proof.**

- Let the nodes be $v$ and $u$. One of them is reached first in the breadth-first walk.
- w.l.o.g, say $v$ is reached first. So $v$ is put on the queue first, and reaches the head of the queue before $u$ does. When $v$ reaches the head of the queue, there are two possibilities:
  - $u$ has not yet been reached. In that case, when we take $v$ off the queue, since there is an edge from $v$ to $u$, $u$ will be put on the queue and we will have $d[u] = d[v] + 1$.
  - $u$ has been reached and therefore is on the queue. In this case, we know from the previous lemma that $d[v] \leq d[u] \leq d[v] + 1$. 


The BFS Algorithm – Proof of Correctness

Theorem

If $G$ is connected, then the breadth-first search tree gives the shortest path from the root to any node.

Proof.

- We know there is a path in the tree from the root to any node.
- The depth of any node in the tree is the length of the path in the tree from the root to that node.
- So for each node $v$ in the tree, we have

$$d[v] = \text{the length of the path in the tree from the root to } v$$

and let us set

$$s[v] = \text{the length of the shortest path in } G \text{ from the root to } v$$
The BFS Algorithm – Proof of Correctness

Cont.

- We are trying to prove that \( d[v] = s[v] \) for all \( v \in G \).
- We know just by the definition of \( s[v] \) that \( s[v] \leq d[v] \) for all \( v \).
- Suppose there is at least one node for which the theorem is not true.
- All the nodes \( w \) for which the statement of the theorem is not true satisfy \( s[w] < d[w] \).
- Among all those nodes, pick one – call it \( v \) – for which \( s[v] \) is smallest.
Let $u$ be the node preceding $v$ on a shortest path from the root to $v$.

We have

$$d[v] > s[v]$$
$$s[v] = s[u] + 1$$
$$s[u] = d[u]$$


But by former lemma, this is impossible.
We assume that $BFS(G, s)$ has already been run, so that each node $x$ has been assigned its depth $d[x]$.

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**Algorithm 2** $PrintPath(G, s, v)$

1. if $v = s$ then
2. PRINT $s$
3. else
4. if $\pi[v] == \text{nil}$ then
5. PRINT “no path from” $s$ “to” $v$ “exists”
6. else
7. $PrintPath(G, s, \pi[v])$
8. PRINT $v$
9. end if
10. end if

The cost of this algorithm is proportional to the number of vertices in the path, so it is $O(d[v])$. 