

### Homework 3

posted November 6, 2017

due November 20, 2017

Let  $B_n = \{0, 1\}^n$  and let  $K \subseteq B_n$ . The *sequence of Chow parameters* of  $K$  is  $\text{chow}(K) = (c_1, \dots, c_n, c_K) \in \mathbb{N}^n$  defined as  $c_K = |K|$  and  $c_i = |\{\mathbf{x} \in K \mid x_i = 1\}|$ . For example, for  $n = 4$  and  $K = \{(0, 1, 1, 1), (0, 0, 1, 1), (0, 0, 0, 1)\}$  we have  $\text{chow}(K) = (0, 1, 2, 3, 3)$ .

Two subsets  $K, G$  of  $B_n$  are *equipollent* if they have the same Chow parameters.

The subsets  $K$  and  $B_n - K$  are *linearly separable* if there exists a pair  $(\mathbf{w}, t) \in \mathbb{R}^n \times \mathbb{R}$  such that

$$K = \{\mathbf{x} \in B_n \mid \mathbf{w}'\mathbf{x} \geq t\} \text{ and } B_n - K = \{\mathbf{x} \in B_n \mid \mathbf{w}'\mathbf{x} < t\}.$$

We say that  $K$  is *linearly separable* if  $K$  and  $B_n - K$  are linearly separable.

1. Let  $K \subseteq B_n$ . Prove that  $\text{chow}(K) = (\sum_{\mathbf{x} \in K} \mathbf{x}, |K|)$ .
2. A *diagonal* of  $B_n$  is a pair  $(\mathbf{u}, \mathbf{v}) \in B_n^2$  such that  $\mathbf{u} = \mathbf{1}_n - \mathbf{v}$ , where  $\mathbf{1}_n = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}$ . Prove that if  $K$  is a linearly separable subset of  $B_n$  that contains a diagonal of  $B_n$ , then it contains a point of every other diagonal of  $B_n$ .
3. The optimization problem of the separable data case that seeks to determine a separating hyperplane in  $\mathbb{R}^n$  can be transformed into an equivalent optimization problem in  $\mathbb{R}^{n+1}$  that seeks to identify a separating subspace. Given a data set  $\mathbf{s} = ((\mathbf{x}_1, y_1), \dots, (\mathbf{x}_m, y_m))$  prove that there exists  $\mathbf{r} \in \mathbb{R}^n$  such that  $\mathbf{s}$  is separable by a hyperplane if and only if the set  $\tilde{\mathbf{s}} = ((\mathbf{x}_1 + \mathbf{r}, y_1), \dots, (\mathbf{x}_m + \mathbf{r}, y_m))$  is separable by a subspace  $M$  of  $\mathbb{R}^n$ .
4. Consider the data set  $D$  in  $\mathbb{R}^2$  shown in Figure 1, where  $C$  is a circle centered in  $(6, 4)$  having radius 3. Define a transformation  $\phi : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  such that  $\phi(D)$  is linearly separable.
5. There are 16 functions of the form  $f : \{0, 1\}^2 \rightarrow \{0, 1\}$ . For each such function consider the sequence  $S_f = ((\mathbf{x}_1, y_1), \dots, (\mathbf{x}_4, y_4))$ , where

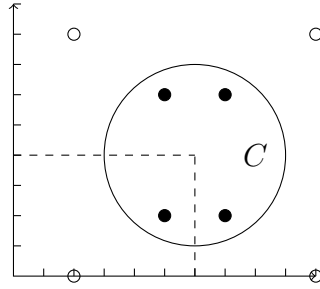


Figure 1: Non-linearly separable data; positive examples are filled circles.

$\mathbf{x}_i \in \{0, 1\}^2$  and

$$y_i = \begin{cases} -1 & \text{if } f(\mathbf{x}_i) = 0, \\ 1 & \text{if } f(\mathbf{x}_i) = 1 \end{cases}$$

for  $1 \leq i \leq 4$ .

- (a) For how many of these functions is  $S_f$  linearly separable?
  - (b) Note that for  $f : \{0, 1\}^2 \rightarrow \{0, 1\}$  defined by  $f(\mathbf{x}) = \min\{x_1, x_2\}$  is linearly separable. For  $\eta = 0.1$  and  $\eta = 0.8$  draw the sequence of weights of the perceptron during the learning process.
6. (optional) Provide a robust implementation in R of the perceptron algorithm that will cycle through a prescribed number of iterations (and, thus, will cope with the case when data is not linearly separable). Map the error count as a function of the number of epochs and run some experiments.